

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Newman, Jon O.	2. Court or Organization U.S. Court of Appeals for the Second Circuit	3. Date of Report 09/23/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge senior status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 40 Foley Square New York, NY 10007		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Corporator	Hartford Hospital
2.	Corporator	Institute of Living
3.	Elector	Hartford Atheneum
4.	Life Regent	University of Hartford
5.	Trustee	Martha Newman Family Trust (assets listed in Part VII, lines 117-135 for Trust No. 1)

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 9/1/18	North Carolina Univ. Press, book royalty	\$109.00
2. 10/26/18	William S. Hein & Co., book royalty	\$2,621.83
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 9/19/18	University of North Carolina Press royalty
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Yale University	Sept. 16-19, 2018	New Haven, CT	attend Global Constitutional Seminar	breakfasts and parking three days
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Yale University	three nights lodging, lunches, and dinners at Global Constitutional seminar	\$900.00
2.	Duke University	five nights lodging, lunches, and dinners at Jurist in Residence program	\$1,325.00
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank accounts and cash (H):									
2. Bank of America Sarasota FL	A	Int./Div.	M	T					
3. Wells Fargo Bank	A	Int./Div.	J	T					
4. Morgan Stanley account (H):									
5. Morgan Stanley Active Assets Tax Free Trust	A	Interest	P1	T					
6. stocks (H):									
7. Adient		None	J	T					
8. Air Products	C	Dividend	M	T					
9. Cigna		None	L	T	Buy	12/21/18	L		
10. Cisco	B	Dividend	M	T					
11. Cummins	C	Dividend	M	T					
12. Devon Energy	B	Dividend	K	T					
13. Express Scripts		None			Sold	12/21/18	L	F	
14. Johnson and Johnson	C	Dividend	M	T					
15. Johnson Controls	C	Dividend	M	T					
16. Johnson Control Intl		None	M	T					
17. Merck	D	Dividend	N	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Microsoft	B	Dividend	M	T					
19. Minnesota Mining and Manufacturing	C	Dividend	M	T					
20. Royal Dutch Shell	D	Dividend	M	T					
21. Total Fina	D	Dividend	M	T					
22. Versum		None	K	T					
23. mutual funds and Exchange-Traded Funds (H):									
24. Calamos Growth & Income C Fund	D	Distribution	M	T					
25. Dreyfus Premier Technology Fund	A	Distribution	K	T					
26. Fed Max Cap Fund	B	Distribution	L	T					
27. Henderson Int'l Op. Fund (see note part VIII)		None			Expired	06/01/18	K		
28. Invesco Balanced Risk Alloc. C Fund	B	Distribution	M	T					
29. Janus Henderson Intl Fund (see note Part VIII)		None	K	T					
30. Mainstay Large Cap Fund	B	Dividend	L	T					
31. Oppenheimer Mid Cap Revenue Fund	A	Dividend	L	T					
32. Oppenheimer Roch AMT Free Mu C Fund		None	L	T					
33. Oppenheimer Small Cap Revenue Fund ?????	A	Dividend	L	T					
34. Prudential Jennison Small Cap C1 C Fund	C	Distribution	L	T					

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35. Schwab 1000 Fund	B	Distribution	L	T					
36. SPDR Barcap Short Term Hi Yield Fund	B	Dividend	K	T					
37. bonds (H):									
38. Bound Brook NJ '21 4.0	B	Interest	L	T					
39. Clark Cty Nev. '21 5.0	B	Interest			Matured	06/15/18	K		
40. CT Health & Ed. '19 5.0	A	Interest			Redeemed	07/02/18	L		
41. Danbury CT. '19 2.5	B	Interest	L	T					
42. Davenport Iowa '21 2.0	B	Interest	L	T					
43. Duncanville TX '18 0		None			Matured	02/15/18	K		
44. Elkhorn Minn '23 2.0		None	K	T	Buy	10/05/18	K		
45. Harris Cnty TX '22 30	B	Interest	L	T					
46. Hawaii G.O. '18 4.0	A	Interest			Matured	06/15/18	K		
47. Holdingford Minn School '23 5.0		None	L	T	Buy	10/05/18	L		
48. Jackson County '18 4.0	B	Interest			Matured	07/02/18	K		
49. Kenton Cnty KY '20 2.0	A	Interest	K	T					
50. LaPorte Ind	A	Interest	K	T					
51. Mich St Strat. '20 5.0	C	Interest	M	T					

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52. N.Y. Housing Develop. '18 2.25	A	Interest			Matured	05/01/18	K		
53. Oxford Mass G.O. '19 2.0	A	Interest	L	T					
54. Penn. Higher Ed.	A	Interest			Redeemed	06/15/18	K		
55. Phoenix Ariz '19 3.0	B	Interest	K	T					
56. Peoria IL Pub. Bldg. '21 0.0		None	K	T					
57. Rhode Island Comm Corp '22 5.0	B	Interest	L	T	Buy	02/02/18	L		
58. South Amboy NJ '22 4.0	B	Interest	L	T					
59. Spokane Wash. '20 5.0	B	Interest			Redeemed	06/01/18	K		
60. Tampa Bay FL. Water '18 5.0	B	Interest			Matured	10/01/18	K		
61. Univ. CT '22 5.0	B	Interest	L	T	Buy	02/02/18	L		
62. West Wildwood, NJ -21 3.0	B	Interest	L	T					
63. Wells Fargo Account (H):									
64. Wells Fargo Advisors, Sarasota FL Money Market Acct	A	Interest	J	T					
65. stocks (H):									
66. Aflac	A	Dividend	J	T					
67. AT&T	A	Dividend	J	T	Buy (add'l)	09/21/18	J		
68. Amgen	A	Dividend	J	T					

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69. Apple	A	Dividend	J	T	Buy (add'l)	11/05/18	J		
70. Berkshire Hathaway		None	J	T					
71. Broadcom		None	J	T	Buy	07/10/18	J		
72.					Buy (add'l)	07/12/18	J		
73. Cisco	A	Dividend	J	T					
74. Colgate-Palmolive	A	Dividend			Sold	11/05/18	J	A	
75. Dow Chemical	A	Dividend	J	T					
76. ExxonMobil	A	Dividend	J	T					
77. General Mills	A	Dividend			Buy (add'l)	09/21/18	J		
78.					Sold (part)	10/15/18	J		
79.					Sold	11/05/18	J		
80. Home Depot	A	Dividend	J	T					
81. Illinois Tool Works	A	Dividend			Sold	07/10/18	J	C	
82. Intel	A	Dividend	J	T					
83. Johnson & Johnson	A	Dividend	J	T					
84. JP Morgan Chase	A	Dividend	J	T					
85. Kimberly-Clark	A	Dividend	J	T	Sold (part)	07/24/18	J	A	

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86. Merck	A	Dividend	J	T					
87. Minnesota Mining & Manufacturing	A	Dividend	J	T					
88. Newell Brands		None	J	T	Buy	11/05/18	J		
89. Nextera Energy	A	Dividend	J	T					
90. Pfizer	A	Dividend	J	T					
91. Procter & Gamble	A	Dividend	J	T					
92. Raytheon	A	Dividend			Sold	12/18/18	J		
93. US Bancorp	A	Dividend	J	T					
94. Verizon	A	Dividend	J	T					
95. Merrill Edge Account (H)									
96. Merrill Lynch direct deposit program		None	J	T					
97. ETF and Mutual Funds (H)									
98. AB Large Capital Growth Fund		None	K	T					
99. Ishares core S&P small cap etf fund	A	Dividend	K	T					
100. Ishares S&P small cap 600 growth fund	A	Dividend	K	T					
101. Janus Henderson Enterprise Fund	A	Dividend	K	T					
102. Powershares QQQ Trust Units Ser. 1 Fund	A	Dividend	K	T					

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103. Vanguard Mid-Cap Value Index Fund	A	Dividend	K	T					
104. bonds (H)									
105. Mass. G.O. 5.5 '19	A	Interest	K	T	Buy	09/28/18	K		
106. Wisc. Environmental 5.0 '20	A	Interest	K	T	Buy	09/28/18	K		
107. Miami-Dade Cty. 5.0 '20	A	Interest	K	T	Buy	10/04/18	K		
108. N.Y.St. Dorm. '21 5.0	A	Interest	L	T	Buy	10/04/18	K		
109. stocks (H)									
110. Apple Inc.	A	Dividend	K	T					
111. Other (H):									
112. 1/2 Int. W. Htfd. CT., Condo purchased 8/9/88 \$115,000		None			Donated				
113. Thames & Hudson Royalty Contract	A	Royalty	J	W					
114. AIG Annuity (IRA)	B	Interest	K	T					
115. AIG Annuity (IRA)	A	Interest	J	T					
116. Trust No. 1 (H):									
117. - Morgan Stanley Active Assets Tax Free Trust	B	Interest	N	T					
118. - stocks (H):									
119. - Corning	B	Dividend	L	T					

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. - Exxon Mobil	D	Dividend	M	T					
121. - Honeywell	B	Dividend	L	T					
122. - Insteel	C	Dividend	L	T					
123. - Johnson Controls Intl	A	Dividend	J	T					
124. - Koninklijke Phillips	A	Dividend	K	T					
125. - M & T Bank	C	Dividend	M	T					
126. - Medtronic	A	Dividend	K	T					
127. - Norfolk Southern	C	Dividend	M	T					
128. - Pfizer	B	Dividend	K	T					
129. - mutual funds (H):									
130. - Federated Municipal High Yield Advantage A Fund	D	Int./Div.	N	T					
131. - bonds (H):									
132. - Bridgeport, CT '19 5.5	D	Interest	M	T					
133. - CT St Spl Tax '20 3.9	B	Interest	L	T					
134. - Penn. ST Higher ed. '18 5.0	B	Interest			Matured	05/15/18	K		
135. - Washington State mtr fuel '20 5.0	B	Interest			Redeemed	01/02/18	K		
136. - Trust No. 2 (H):									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. - brokerage account No. 1 (H):									
138. - Morgan Stanley Active Assets Tax Free Trust	C	Interest	O	T					
139. - stocks (H):									
140. - 3M	C	Dividend	M	T					
141. - Adobe Systems		None	M	T					
142. - Agilent	A	Dividend	L	T					
143. - American Express	A	Dividend	M	T					
144. - Automatic Data Processing	C	Dividend	M	T					
145. - Baxter Int'l	C	Dividend	N	T					
146. - Becton Dickinson	C	Dividend	N	T					
147. - CDK Global	A	Dividend	K	T					
148. - Cigna	A	Dividend	N	T					
149. - Dominion Resources	D	Dividend	M	T					
150. - Edwards Life Resources		None	N	T					
151. - Emerson Electric	B	Dividend	L	T					
152. - Express Scripts		None			Redeemed	12/24/18	K	E	
153. - General Mills	D	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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Newman, Jon O.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. - Home Depot	D	Dividend	O	T					
155. - Intel	C	Dividend	M	T					
156. - IBM	A	Dividend	K	T					
157. - Keysight Technologies		None	K	T					
158. - McDonalds	C	Dividend	M	T					
159. - Microsoft	D	Dividend	N	T					
160. - Procter & Gamble	C	Dividend	L	T					
161. - RPM Int'l	D	Dividend	N	T					
162. - Shire	A	Dividend	M	T					
163. - Travelers	B	Dividend	L	T					
164. - T Rowe Price	B	Dividend	L	T					
165. - Weyerhaeuser		None	K	T					
166. - bonds (H):									
167. - Baltimore MD '22 5.0	B	Interest	L	T					
168. - Brainard Minn '21 1.75	A	Interest	K	T					
169. - Cape Coral FL '40 6.0	C	Interest	M	T					
170. - Carbon Cnty PA '20 5.0	B	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. - Cromwell CT '20 4.0	B	Interest	L	T					
172. - Delton Kellogg MY Sch '22 4.0	B	Interest	L	T					
173. - FYI PPTYS Wash. Lease '18 5.0	B	Interest			Matured	06/01/18	K		
174. - Harrison NJ '20 3.0	B	Interest	L	T					
175. - Indiana St Fin '19 5.0	C	Interest	L	T					
176. - Morgan Stanley Active AssetsTax Free Trust (see note Part VIII)		None			Closed	01/15/18	O		
177. - New York City Trust for Cultural Reserve '21 5.0	B	Interest	K	T					
178. - New York ST Urban Dev '19 5.50	C	Interest	L	T					
179. - Oklahoma '19 5.0	B	Interest	L	T					
180. - Tampa FL '18 4.0	B	Interest			Matured	11/15/18	K		
181. - Vancouver Wash. '22 5.0	B	Interest	L	T					
182. - Washington Health '23 5.25	C	Interest			Redeemed	08/01/18	K		
183. - West Orange NY '22 2.0	B	Interest	K	T					
184. - brokerage account No. 2 (H):									
185. - Morgan Stanley, New Haven, CT Money Market Acct.	A	Interest	K	T					
186. - stocks (H):									
187. - Abbott Labs	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. - Abbvie	A	Dividend	J	T	Buy (add'l)	02/06/18	J		
189.					Buy (add'l)	05/14/18	J		
190.					Buy (add'l)	06/29/18	J		
191. - Air Products	A	Dividend	J	T	Sold (part)	05/11/18	J	A	
192. - Alphabet Inc.		None	J	T					
193. - Amazon		None	J	T	Buy	06/26/18	J		
194.					Buy (add'l)	09/28/18	J		
195. - American Express	A	Dividend	J	T	Sold (part)	05/11/18	J	A	
196.					Buy (add'l)	06/26/18	J		
197. - Anheuser Busch	A	Dividend	J	T	Buy (add'l)	05/11/18	J		
198.					Sold (part)	06/26/18	J		
199. - Apple	A	Dividend	J	T	Sold (part)	05/11/18	J	C	
200.					Buy (add'l)	12/31/18	J		
201. - ASML Holding	A	Dividend	J	T	Sold (part)	05/11/18	J	A	
202.					Buy (add'l)	06/26/18	J		
203.					Buy (add'l)	12/31/18	J		
204. - Automatic Data Processing	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. - Blackrock	A	Dividend	J	T	Buy (add'l)	12/31/18	J		
206. - CDN Pacific RY	A	Dividend	J	T					
207. - Chevron	A	Dividend	J	T					
208. - Chubb	A	Dividend	J	T	Buy (add'l)	05/14/18	J		
209.					Buy (add'l)	06/29/18	J		
210. - Coca-cola	A	Dividend	J	T	Buy (add'l)	05/14/18	J		
211.					Sold (part)	09/28/18	J	A	
212. - Comcast	A	Dividend	J	T	Buy (add'l)	02/02/18	J		
213.					Buy (add'l)	05/11/18	J		
214.					Sold (part)	06/26/18	J	A	
215. - Conoco	A	Dividend	J	T	Sold (part)	05/11/18	J	A	
216. - Constellation Brands	A	Dividend	J	T	Sold (part)	09/28/18	J	A	
217. - Estee Lauder	A	Dividend	J	T	Sold (part)	05/11/18	J	A	
218. - Exxon Mobil	B	Dividend	J	T	Buy (add'l)	05/14/18	J		
219.					Sold (part)	09/28/18	J		
220.					Buy (add'l)	12/31/18	J		
221. - Facebook		None	J	T	Buy (add'l)	06/26/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34–60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222.					Buy (add'l)	12/31/18	J		
223. - Infineon	A	Dividend	J	T	Buy	02/06/18	J		
224.					Buy (add'l)	05/11/18	J		
225.					Buy (add'l)	06/29/18	J		
226.					Buy (add'l)	12/31/18	J		
227. - Intercontinental Exchange Group	A	Dividend	J	T	Sold (part)	09/28/18	J	A	
228. - Intuitive Surgical		None	J	T					
229. - JP Morgan Chase	A	Dividend	J	T	Sold (part)	05/11/18	J	A	
230.					Buy (add'l)	06/29/18	J		
231. - Linde PLC	A	Dividend	J	T	Buy	09/01/18	J		
232. - Mastercard	A	Dividend	J	T	Buy	09/28/18	J		
233.					Buy (add'l)	12/31/18	J		
234. - McDonalds	A	Dividend	J	T	Sold (part)	05/11/18	J	A	
235.					Buy (add'l)	06/26/18	J		
236. - Microsoft	A	Dividend	J	T					
237.									
238. - Nestle	A	Dividend	J	T	Buy (add'l)	05/14/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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239.					Sold (part)	06/26/18	J	A	
240. - Nike	A	Dividend	J	T					
241. - Novartis	A	Dividend			Buy (add'l)	05/14/18	J		
242.					Sold	06/26/18	J		
243. - Novo-Nordisk	A	Dividend	J	T					
244. - Oracle	A	Dividend			Sold	02/02/18	J	A	
245. - PepsiCo	A	Dividend	J	T	Buy (add'l)	05/14/18	J		
246.					Sold (part)	06/26/18	J	A	
247. - Phillip Morris	A	Dividend	J	T	Buy (add'l)	05/11/18	J		
248.					Sold (part)	06/26/18	J	A	
249.					Sold (part)	09/28/18	J		
250.					Buy (add'l)	12/31/18	J		
251. - Praxair	A	Dividend			Sold	10/31/18	J	A	
252. - Procter & Gamble	A	Dividend			Sold	10/31/18	J	A	
253. - Roche Holding	A	Dividend	J	T	Buy (add'l)	05/11/18	J		
254.					Sold (part)	06/26/18	J	A	
255. - Sherwin Williams	A	Dividend	J	T	Buy	09/28/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. - State St. Corp.	A	Dividend	J	T	Buy (add'l)	02/06/18	J		
257.					Buy (add'l)	06/29/18	J		
258.					Buy (add'l)	12/31/18	J		
259. - Texas Instruments	A	Dividend	J	T	Sold (part)	05/11/18	J	A	
260.					Buy (add'l)	06/26/18	J		
261.					Buy (add'l)	12/31/18	J		
262. - Total	A	Dividend	J	T					
263. - Twenty-first Century Fox	A	Dividend	J	T	Sold (part)	05/11/18	J	A	
264.					Buy (add'l)	06/26/18	J		
265. - Union Pacific	A	Dividend	J	T					
266. - United Health	A	Dividend	J	T					
267. - United Technologies	A	Dividend	J	T					
268. - Verisk Analytics		None	J	T	Buy	02/06/18	J		
269. - Visa	A	Dividend	J	T					
270. - Walgreen	A	Dividend	J	T	Sold (part)	05/10/18	J	A	
271. - Walt Disney	A	Dividend	J	T	Buy (add'l)	05/14/18	J		
272.					Sold (part)	06/26/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Newman, Jon O.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273.					Sold (part)	09/28/18	J	A	
274. - Wells Fargo	A	Dividend	J	T	Buy (add'l)	05/14/18	J		
275.					Sold (part)	09/28/18	J	A	
276. - exchange traded and closed end funds (H):									
277. - Alerian MLP Exchange Traded Fund	C	Interest	K	T	Buy (add'l)	05/14/18	J		
278.					Buy (add'l)	06/29/18	J		
279.					Buy (add'l)	12/31/18	J		
280. - PowerShares Russell Midcap Pure Growth Fund	A	Dividend	K	T	Sold (part)	05/11/18	J	C	
281. - PowerShares Russell Midcap Pure Value Fund	A	Dividend	K	T	Buy (add'l)	05/14/18	J		
282. - SPDR Dow Jones Wilshire Int'l Real Est. Fund	A	Dividend	K	T	Buy (add'l)	05/14/18	J		
283.					Buy (add'l)	06/29/18	J		
284.					Buy (add'l)	12/31/18	J		
285. - SPDR Nuveen Barclays Mun. Fund (see Part VIII)	A	Int./Div.	K	T	Buy (add'l)	05/14/18	J		
286. -Vanguard TTL World Stk Index Fund	B	Dividend	L	T					
287. - mutual funds (H):									
288. - Brandes Emergins Markets I Fund	A	Dividend	K	T					
289. - Cohen & Steers Realty Fund	B	Distribution	K	T	Buy (add'l)	05/14/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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Newman, Jon O.

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. - Davis NY Venture Fund	D	Distribution	K	T	Buy (add'l)	05/14/18	J		
291.					Buy (add'l)	06/29/18	J		
292.					Buy (add'l)	12/31/18	J		
293. - Invesco Russell Midcap Fund	B	Dividend	J	T	Buy	12/31/18	J		
294. - Franklin Conv. Sec. Adv. Fund	B	Distribution	K	T					
295. - Invesco Premier Tx Exempt Inst. Fund	A	Int./Div.	K	T	Buy (add'l)	05/14/18	J		
296. - Invesco Small Cap Value Fund	C	Distribution	K	T	Buy (add'l)	05/14/18	J		
297.					Buy (add'l)	06/29/18	J		
298.					Buy (add'l)	12/31/18	K		
299. - JHF Seaport Long/Short Fund	B	Distribution	K	T	Buy	10/29/18	K		
300. - JP Morgan Dynamic Sm Cp Gr Sel Fund	D	Distribution	K	T	Buy (add'l)	12/31/18	J		
301. - Legg Mason WA Emerg. Mkt. Debt I Fund	B	Dividend	K	T	Buy (add'l)	05/14/18	J		
302.					Buy (add'l)	06/29/18	J		
303. - Mainstay Large Cap Grw I Fund	D	Distribution	K	T	Buy (add'l)	12/31/18	J		
304. - Mainstay Marketfield I Fund		None			Buy (add'l)	06/29/18	J		
305.					Sold	10/29/18	K		
306. - Nuveen limited term muni bond I Fund	A	Int./Div.	L	T	Buy (add'l)	05/14/18	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. - Oppenheimer Int'l Bond Y Fund	A	Distribution	K	T	Buy (add'l)	05/14/18	J		
308.					Buy (add'l)	06/29/18	J		
309. - Prudential Jennison Mid Cap GW Z Fund	C	Distribution	K	T	Buy (add'l)	05/14/18	J		
310.					Buy (add'l)	06/29/18	J		
311.					Buy (add'l)	12/31/18	J		
312. - Trust No. 3 (H):									
313. - Brokerage Account No. 1 (H):									
314. - Morgan Stanley Active Assets Tax Free Trust	C	Interest	O	T					
315. - stocks (H):									
316. - 3M Co.	D	Dividend	M	T					
317. - Air Products	B	Dividend	M	T					
318. - Apple	B	Dividend	M	T					
319. - Danaher	A	Dividend	L	T					
320. - Fortive	A	Dividend	K	T					
321. - Johnson & Johnson	B	Dividend	L	T					
322. - Versum	A	Dividend	J	T					
323. - bonds (H):									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324. - Bay County FL School '18 3.5	B	Interest			Matured	07/02/18	K		
325. - Griswold '18 3.0	A	Interest			Matured	02/01/18	K		
326. - Janesville Wis. Sch. '19 4.125	B	Interest	L	T					
327. - Okla. Water Resources '19 5.0	B	Interest	L	T					
328. - brokerage account No. 2 (H):									
329. - Morgan Stanley Active Assets Tax Free Trust	A	Interest	M	T					
330. - stocks (H):									
331. - American Express	A	Dividend	J	T	Sold (part)	06/01/18	J	A	
332. - Amerisourcebergen		None	J	T	Buy	09/11/18	J		
333.					Buy (add'l)	12/28/18	J		
334. - Annaly Capital Mgmt	A	Dividend			Buy (add'l)	02/08/18	J		
335.					Buy (add'l)	09/28/18	J		
336.					Sold	12/13/18	J	A	
337. - Cisco	A	Dividend	J	T	Sold (part)	03/01/18	J	C	
338.					Buy (add'l)	05/21/18	J		
339.					Sold (part)	11/12/18	J	A	
340.					Buy (add'l)	12/20/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

341. - Conoco Phillips	A	Dividend	J	T	Sold (part)	05/11/18	J	C	
342.					Buy (add'l)	05/31/18	J		
343. - CVS Health	A	Dividend			Buy (add'l)	02/08/18	J		
344.					Sold	05/10/18	J		
345. - Diamond Offshore Drilling		None	J	T	Sold (part)	05/10/18	J	C	
346.					Buy (add'l)	12/31/18	J		
347. - Ebay		None	J	T	Sold (part)	03/06/18	J	C	
348.					Buy (add'l)	05/10/18	J		
349.					Buy (add'l)	06/13/18	J		
350.					Buy (add'l)	06/28/18	J		
351.					Buy (add'l)	08/13/18	J		
352.					Buy (add'l)	12/31/18	J		
353. - Exelon	A	Dividend	J	T	Sold (part)	08/20/18	J	B	
354. - Exxon Mobil	A	Dividend	J	T	Buy (add'l)	02/08/18	J		
355.					Buy (add'l)	12/31/18	J		
356. - Facebook		None	J	T	Buy	08/06/18	J		
357.					Buy (add'l)	09/28/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358.					Buy (add'l)	10/30/18	J		
359.					Buy (add'l)	12/28/18	J		
360. - Franklin Resources	A	Dividend	J	T	Buy (add'l)	02/08/18	J		
361.					Buy (add'l)	05/10/18	J		
362.					Buy (add'l)	06/28/18	J		
363.					Buy (add'l)	09/28/18	J		
364. - Glaxo Smith Kline	A	Dividend	K	T	Buy (add'l)	01/18/18	J		
365. - Hartford Financial Services		None	J	T	Buy	12/13/18	J		
366. - Honda	A	Dividend	J	T	Buy (add'l)	06/28/18	J		
367.					Buy (add'l)	12/31/18	J		
368. - Johnson & Johnson	A	Dividend	J	T	Buy (add'l)	01/31/18	J		
369.					Buy (add'l)	02/08/18	J		
370.					Buy (add'l)	05/10/18	J		
371.					Buy (add'l)	06/28/18	J		
372.					Buy (add'l)	12/27/18	J		
373. - Kroger	A	Dividend	J	T	Buy (add'l)	05/10/18	J		
374.					Sold (part)	08/10/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375.					Buy (add'l)	09/13/18	J		
376. - Lowes	A	Dividend	J	T	Sold (part)	08/22/18	J	B	
377.					Buy (add'l)	12/31/18	J		
378. - Mack Cali Realty	A	Dividend	J	T	Buy (add'l)	02/08/18	J		
379.					Buy (add'l)	05/10/18	J		
380. - McKesson	A	Dividend	J	T	Buy (add'l)	02/08/18	J		
381.					Buy (add'l)	05/10/18	J		
382.					Buy (add'l)	06/28/18	J		
383.					Buy (add'l)	09/28/18	J		
384. - Medtronic	A	Dividend	J	T					
385. - Microsoft	A	Dividend			Sold (part)	05/11/18	J	B	
386.					Sold	06/04/18	J	D	
387. - Mohawk		None	J	T	Buy	09/17/18	J		
388.					Buy (add'l)	12/28/18	J		
389. - Molson	A	Dividend	J	T	Buy	05/10/18	J		
390.					Buy (add'l)	09/28/18	J		
391.					Buy (add'l)	12/31/18	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

392. - National Grid	A	Dividend	J	T	Buy	03/01/18	J		
393.					Buy (add'l)	03/01/18	J		
394. - Pepsico	A	Dividend	J	T	Buy (add'l)	02/05/18	J		
395.					Buy (add'l)	05/10/18	J		
396. - PNC Financial	A	Dividend	J	T	Sold (part)	02/23/18	J	C	
397.					Buy (add'l)	06/28/18	J		
398.					Buy (add'l)	09/28/18	J		
399.					Buy (add'l)	12/27/18	J		
400. - PPG	A	Dividend	J	T					
401. - Procter & Gamble	A	Dividend	J	T	Buy (add'l)	02/05/18	J		
402.					Buy (add'l)	05/10/18	J		
403.					Buy (add'l)	06/12/18	J		
404.					Sold (part)	12/04/18	J	A	
405. - Qualcomm	A	Dividend	J	T					
406. - Schlumberger	A	Dividend	J	T	Buy (add'l)	05/29/18	J		
407.					Buy (add'l)	09/28/18	J		
408.					Buy (add'l)	12/31/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Newman, Jon O.

Date of Report

09/23/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409. - Suntrust	A	Dividend	J	T	Buy (add'l)	12/12/18	J		
410.					Buy (add'l)	12/31/18	J		
411. - Taiwan Semiconductor	A	Dividend			Sold	08/06/18	J	C	
412. - Target	A	Dividend	J	T	Sold (part)	03/01/18	J	B	
413.					Buy (add'l)	05/10/18	J		
414.					Buy (add'l)	12/31/18	J		
415. - Torchmark	A	Dividend	J	T	Sold (part)	05/11/18	J	B	
416.					Buy (add'l)	12/31/18	J		
417. - Travelers	A	Dividend	J	T					
418. - US Bancorp	A	Dividend	J	T	Buy (add'l)	02/23/18	J		
419.					Buy (add'l)	05/10/18	J		
420.					Buy (add'l)	06/28/18	J		
421.					Buy (add'l)	12/27/18	J		
422. - United Parcel	A	Dividend	J	T	Buy (add'l)	02/06/18	J		
423.					Buy (add'l)	06/28/18	J		
424.					Buy (add'l)	12/04/18	J		
425.					Buy (add'l)	12/31/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Newman, Jon O.

Date of Report

09/23/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
426. - Verizon	A	Dividend	J	T	Buy (add'l)	02/08/18	J		
427.					Buy (add'l)	05/10/18	J		
428.					Buy (add'l)	06/12/18	J		
429. - Wal-Mart	A	Dividend			Buy (add'l)	03/06/18	J		
430.					Buy (add'l)	05/10/18	J		
431.					Sold	08/06/18	J	C	
432. - Wells Fargo	A	Dividend	J	T	Buy (add'l)	05/10/18	J		
433.					Buy (add'l)	06/28/18	J		
434.					Buy (add'l)	09/28/18	J		
435.					Buy (add'l)	12/31/18	J		
436. - Exchange Traded & Closed End Funds (H):									
437. - Deutsche X-Trackers MSCI Fund	D	Dividend	M	T	Buy (add'l)	02/08/18	J		
438.					Buy (add'l)	12/31/18	J		
439. - iShares MSCI Small Cap Fund	B	Dividend	K	T					
440. - iShares JP Morgan EM bond Fund	B	Dividend	L	T	Buy (add'l)	02/08/18	J		
441.					Buy (add'l)	05/10/18	J		
442.					Buy (add'l)	06/28/18	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

443.					Buy (add'l)	09/28/18	J		
444. - iShares Short Treasury bond fund	A	Dividend	K	T	Buy	05/10/18	K		
445.					Buy (add'l)	05/28/18	J		
446.					Sold (part)	09/19/18	J	A	
447. - Mutual Funds (H):									
448. - American Intl Lnkd Fund	B	Dividend	L	T	Buy (add'l)	02/08/18	J		
449.					Buy (add'l)	09/28/18	J		
450. - Brandes Int'l small cap equity\ I Fund	B	Dividend	K	T	Buy (add'l)	02/08/18	J		
451.					Buy (add'l)	06/28/18	J		
452.					Buy (add'l)	09/28/18	J		
453.					Buy (add'l)	12/31/18	J		
454. - Franklin Fed. Intm. Trm. Tx. Fr. Adv. Fund	B	Int./Div.	M	T	Buy (add'l)	02/08/18	J		
455.					Buy (add'l)	06/28/18	J		
456.					Buy (add'l)	09/28/18	J		
457. - Gabelli Equity Fund	B	Dividend	M	T	Buy (add'l)	02/08/18	K		
458.					Buy (add'l)	05/10/18	J		
459.					Buy (add'l)	06/28/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

460.					Buy (add'l)	09/28/18	K		
461.					Buy (add'l)	12/31/18	J		
462. - Invesco Premier Fund	A	Int./Div.	K	T	Buy (add'l)	02/08/18	J		
463.					Buy (add'l)	06/28/18	J		
464.					Buy (add'l)	09/28/18	J		
465. - Lord Abbett Conv. Fund	D	Dividend	M	T					
466. - Nuance Mid Cap Value Fund	B	Dividend	M	T	Buy (add'l)	02/08/18	J		
467.					Buy (add'l)	06/28/18	J		
468.					Buy (add'l)	12/31/18	J		
469. - Nuveen Ltd. Term Mun. Bond Fund	A	Int./Div.	L	T	Buy (add'l)	02/08/18	J		
470.					Buy (add'l)	09/28/18	J		
471. - Oppenheimer Int'l Bond Fund	B	Dividend	L	T	Buy (add'l)	02/08/18	J		
472.					Buy (add'l)	05/10/18	J		
473.					Buy (add'l)	06/28/18	J		
474.					Buy (add'l)	09/28/18	J		
475. - Tweedy Browne Fund	B	Dividend	L	T	Buy (add'l)	12/31/18	J		
476. - Trust No. 4 (H):									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

477. - brokerage account No. 1 (H):									
478. - Morgan Stanley Active Assets Tax Free Trust	C	Interest	N	T					
479. - stocks (H):									
480. - Apple	B	Dividend	L	T					
481. - Automatic Data Processing	B	Dividend	K	T					
482. - CDK Global	A	Dividend	J	T					
483. - Danaher	A	Dividend	K	T					
484. - Ecolab	B	Dividend	M	T					
485. - Fortive	A	Dividend	J	T					
486. - Nike Class B	A	Dividend	L	T					
487. - United Technologies	B	Dividend	L	T					
488. - bonds (H):									
489. - Kentucky St Prop '19 4.0	A	Interest	L	T					
490. - U.S. Treasury '18 9.0	B	Interest			Matured	11/15/18	K		
491. - brokerage account No. 2 (H):									
492. - Morgan Stanley Active Assets Tax Free Trust		None	J	T					
493. - stocks (H):									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

494. - AIA Group	A	Dividend	J	T	Sold (part)	12/21/18	J	A	
495. - Air Liquide	A	Dividend	J	T	Sold (part)	11/29/18	J	A	
496. - Alfa Laval	A	Dividend	J	T					
497. - Allergan	A	Dividend	J	T	Buy (add'l)	02/14/18	J		
498. - Allianz	A	Dividend	J	T					
499. - Ambev		None	J	T	Buy	08/17/18	J		
500. - AMC		None	J	T					
501. - AMN Healthcare		None	J	T	Buy	02/01/18	J		
502. - Anadarko Petroleum	A	Dividend	J	T					
503. - Anasys		None	J	T					
504. - Aspen Pharmacare	A	Dividend	J	T	Buy (add'l)	02/20/18	J		
505.					Buy (add'l)	12/31/18	J		
506. - AspenTechnology		None	J	T	Sold (part)	06/04/18	J	B	
507. - Atlas Copco	A	Dividend	J	T	Buy (add'l)	12/21/18	J		
508.					Buy (add'l)	12/27/18	J		
509. - Autodesk		None	J	T					
510. - Baidu		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

511. - Banco Bilbao	A	Dividend	J	T					
512. - Bank of the Ozaeks	A	Dividend			Sold	10/23/18	J		
513. - Bayer	A	Dividend	J	T					
514. - Bayerische Motoren Werke	A	Dividend	J	T					
515. - BBA Aviation	A	Dividend			Sold (part)	11/30/18	J	A	
516.					Sold	12/04/18	J	A	
517. - Berkley W.R.	A	Dividend	J	T					
518. - Biogen		None	J	T					
519. - Bioverativ	A	Dividend			Sold	01/22/18	J	A	
520. - Broadcom	A	Dividend			Sold	01/22/18	J	A	
521. - Canadian Nat. Ry.	A	Dividend	J	T					
522. - Carlisle	A	Dividend			Buy (add'l)	08/31/18	J		
523.					Sold	09/04/18	J	A	
524. - CDW	A	Dividend	J	T	Sold (part)	08/31/18	J	B	
525. - Charles River Labs		None	J	T	Buy	08/31/18	J		
526.					Buy (add'l)	09/04/18	J		
527.					Buy (add'l)	9/4/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

528. - Check Point		None	J	T	Buy (add'l)	12/20/18	J		
529. - Cheese Cake Factory	A	Dividend	J	T					
530. - Citrix	A	Dividend	J	T					
531. - Comcast	A	Dividend	J	T					
532. - Cooper Co.	A	Dividend	J	T					
533. - Copart		None	J	T					
534. - Core Labs	A	Dividend			Sold (part)	06/04/18	J	A	
535.					Sold	10/30/18	J		
536. - Cotiviti		None			Buy (add'l)	01/30/18	J		
537.					Sold	08/27/18	J	A	
538. - Cree		None	J	T					
539. - CSL		None			Sold (part)	11/30/18	J		
540.					Sold	12/04/18	J		
541. - Daito Tr Constr Co.	A	Dividend			Buy	01/09/18	J		
542.					Sold	11/06/18	J		
543. - Dassault	A	Dividend	J	T	Sold (part)	11/06/18	J	A	
544. - DBS	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	T = Cash Market
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

545. - Discovery Communications		None	J	T					
546. - Dolby	A	Dividend	J	T					
547. - DrillQuip		None			Sold	05/10/18	J		
548. - Elanco Animal Health		None	J	T	Buy	09/21/18	J		
549. - Epiroc Aktiebolag		None	J	T	Buy	12/21/18	J		
550.					Buy (add'l)	12/27/18	J		
551. - Expeditors Int'l	A	Dividend	J	T					
552. - Fanuc	A	Dividend	J	T					
553. - Flir Systems	A	Dividend	J	T	Buy	05/10/18	J		
554.					Buy (add'l)	08/31/18	J		
555.					Buy (add'l)	09/04/18	J		
556. - Fluor	A	Dividend	J	T	Buy (add'l)	12/31/18	J		
557. - Fomento Economico		None	J	T	Buy	11/29/18	J		
558.					Buy (add'l)	12/03/18	J		
559. - Freeport McMoran	A	Dividend	J	T					
560. - Fresenius	A	Dividend			Sold	12/21/18	J		
561. - Fuchs Petrolub	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
562. - GCI Liberty Class A (see note, part VIII)		None	J	T	Spinoff (from line 584)	03/12/18	J		
563. - Grifols S.A.	A	Dividend	J	T					
564. - Grupo Financiero	A	Dividend	J	T					
565. - HDFC Bank	A	Dividend	J	T					
566. - HSBC Holdings	A	Dividend	J	T					
567. - Icici	A	Dividend	J	T					
568. - Immunogen		None	J	T					
569. - Infineon Technologies	A	Dividend	J	T	Buy (add'l)	12/21/18	J		
570. - Interactive Brokers Group		None	J	T	Buy	12/27/18	J		
571.					Buy (add'l)	12/31/18	J		
572. - Ionis Pharmaceuticals		None	J	T					
573. - Itau Unibanco	A	Dividend	J	T					
574. - Jack Henry	A	Dividend	J	T					
575. - JGC	A	Dividend	J	T					
576. - Johnson Controls Intl	A	Dividend	J	T	Buy (add'l)	06/11/18	J		
577.					Buy (add'l)	12/31/18	J		
578. - Kubota	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
579. - L'Oreal	A	Dividend	J	T					
580. - L3 Comm.	A	Dividend	J	T					
581. - Landstar	A	Dividend			Sold	10/30/18	J	A	
582. - Lennox Int'l	A	Dividend	J	T					
583. - Liberty Broadband		None	J	T	Buy (add'l)	06/11/18	J		
584. - Liberty Interactive Co. Inter A (see note Part VIII_		None			Closed	04/10/18	J		
585. - Liberty Media		None	J	T					
586. - Linde PLC	A	Dividend	J	T	Buy	11/29/18	J		
587. - Lions Gate	A	Dividend	J	T	Buy (add'l)	06/11/18	J		
588.					Buy (add'l)	12/31/18	J		
589. - Logmein	A	Dividend	J	T	Buy (add'l)	12/31/18	J		
590. - Lonza Group		None	J	T	Buy	05/10/18	J		
591.					Buy (add'l)	12/31/18	J		
592. - LVMH Moet	A	Dividend	J	T					
593. - Manhattan Assoc.		None			Sold	05/10/18	J		
594. - Medtronic	A	Dividend	J	T					
595. - Mitsubishi		None			Sold	01/05/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
596. - Monotaro	A	Dividend	J	T					
597. - MSCI Inc Com	A	Dividend	J	T					
598. - Naspers Ltd.	A	Dividend	J	T	Sold (part)	02/20/18	J	A	
599. - National Oilwell	A	Dividend	J	T	Buy (add'l)	12/31/18	J		
600. - Nestle	A	Dividend	J	T					
601. - Nordson	A	Dividend	J	T					
602. - Novozymes		None	J	T	Buy	05/10/18	J		
603. - NOW Inc.		None	J	T					
604. - Nuance Comm.		None	J	T	Buy (add'l)	05/11/18	J		
605. - Nucor	A	Dividend	J	T					
606. - Park24	A	Dividend	J	T					
607. - Pentair	A	Dividend	J	T	Buy (add'l)	06/11/18	J		
608.					Buy (add'l)	12/31/18	J		
609. - Pool Corp.	A	Dividend	J	T					
610. - Primerica	A	Dividend	J	T	Sold (part)	10/30/18	J	A	
611. -Qurate Retail Inc. (see note Part VIII)		None	J	T	Open	04/10/18	J		
612.					Buy (add'l)	12/31/18	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
613. - RBC Bearings		None	J	T	Sold (part)	01/30/18	J	B	
614.					Sold (part)	10/30/18	J	A	
615. - Roche	A	Dividend	J	T					
616. - Royal Dutch Shell	A	Dividend	J	T					
617. - SAP AG	A	Dividend	J	T	Sold (part)	02/23/18	J	A	
618. - Sasol	A	Dividend	J	T					
619. - Schlumberger	A	Dividend	J	T					
620. - Seagate Tech.	A	Dividend	J	T					
621. - Shire	A	Dividend			Sold	05/10/18	J		
622. - Signature Bank	A	Dividend	J	T					
623. - Siteone Landscape Supply		None	J	T	Buy	10/10/18	J		
624.					Buy (add'l)	12/10/18	J		
625. - Snap-on	A	Dividend	J	T					
626. - Sonova	A	Dividend	J	T					
627. - Symrise	A	Dividend	J	T					
628. - Sysmex	A	Dividend	J	T					
629. - Taiwan Semiconductor	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
630. - TE Connectivity	A	Dividend	J	T					
631. - Temenos		None	J	T	Buy	05/31/18	J		
632. - Tenaris	A	Dividend	J	T					
633. - Teradyne	A	Dividend	J	T	Buy (add'l)	06/01/18	J		
634.					Buy (add'l)	10/30/18	J		
635. - The Scotts Miracle-Gro	A	Dividend	J	T	Buy	02/01/18	J		
636.					Buy (add'l)	06/11/18	J		
637.					Buy (add'l)	08/31/18	J		
638.					Buy (add'l)	09/04/18	J		
639. - Thor Industries	A	Dividend	J	T	Buy	06/01/18	J		
640.					Buy (add'l)	10/30/18	J		
641. - Türkiye Garanti	A	Dividend			Sold	05/10/18	J		
642. - Twitter		None	J	T					
643. - Unilever	A	Dividend	J	T					
644. - United Health	A	Dividend	J	T					
645. - Vertex		None	J	T					
646. - Wabco Holdings		None	J	T	Buy (add'l)	10/30/18	J		

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
647. - Weatherford Int'l		None	J	T					
648. - Weibo		None	J	T					
649. - Western Digital Corp.	A	Dividend	J	T	Buy (add'l)	12/31/18	J		
650. - WPP	A	Dividend			Sold	11/06/18	J		
651. - Wynn Resorts	A	Dividend			Sold (part)	01/30/18	J	B	
652.					Sold	12/18/18	J	A	
653. - Exchange Traded Closed End Funds (H):									
654. - Ishares MSCI EAFE Value Fund	B	Dividend	K	T	Buy (add'l)	12/31/18	J		
655. - Ishares Russell 3000 Fund	A	Dividend	K	T					
656. - Mutual funds (H):									
657. - Alliance Bernstein Global Bond Advisor Fund	A	Dividend	K	T	Buy (add'l)	12/31/18	J		
658. - Brandes Emerging Markets I Fund	A	Dividend	K	T	Buy (add'l)	12/31/18	J		
659. - Columbia Acorn Fund	A	Dividend	K	T	Buy (add'l)	12/31/18	J		
660. - Franklin Federated Intermediate-Term Tax Free Fund	C	Dividend	L	T	Buy (add'l)	12/31/18	J		
661. - Invesco Premier Tax Exempt	A	Dividend	K	T					
662. - Lateef Fund		None	K	T	Buy (add'l)	12/31/18	J		
663. - Lord Abbett Conv. Fund	B	Dividend	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

664. - Lord Abbett Emer. Mkts. Fund		None			Sold	06/11/18	K		
665. - Pimco Real Return Fund	A	Dividend	K	T	Buy (add'l)	12/31/18	J		
666. - TCW Emerging Markets Fund	A	Dividend	K	T	Buy	06/11/18	K		
667. - TCW Total Return Bond Fund	A	Dividend	K	T	Buy (add'l)	12/31/18	J		
668. - IRA consisting of Stocks and Corporate (H); Bonds:									
669. - brokerage account No. 1 (H):									
670. - Morgan Stanley Bank (see note, part VIII)	A	Interest	K	T					
671. - brokerage account No. 2 (H):									
672. - Morgan Stanley, New Haven, CT liquid asset fund	D	Interest	J	T					
673. - stocks (H):									
674. - Abbott Laboratories	A	Dividend			Buy	01/29/18	J		
675.					Sold	10/16/18	J	A	
676. - Abbvie	A	Dividend			Sold (part)	01/12/18	J	A	
677.					Sold (part)	05/18/18	J	A	
678.					Sold (part)	07/19/18	J	A	
679.					Sold	09/19/18	J	A	
680. - Allstate	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

681.					Buy (add'l)	10/17/18	J		
682.					Buy (add'l)	11/26/18	J		
683. - American Electric Power		None			Sold	01/19/18	J	A	
684. - American Express	A	Dividend			Sold (part)	01/12/18	J	A	
685.					Sold	05/10/18	J	A	
686. - Ameriprise Financial	A	Distribution			Sold (part)	01/12/18	J	A	
687.					Sold (part)	10/26/18	J	A	
688.					Sold	12/17/18	J	A	
689. - Amgen	A	Dividend			Buy	09/19/18	J		
690.					Buy (add'l)	11/26/18	J		
691.					Sold	12/17/18	J		
692. - Anthem	A	Dividend			Sold	12/17/18	J	A	
693. - Apple	A	Dividend			Sold (part)	01/12/18	J	A	
694.					Sold (part)	05/18/18	J	A	
695.					Sold (part)	10/26/18	J	A	
696.					Buy (add'l)	11/26/18	J		
697.					Sold	12/17/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = \$15,001 - \$50,000	E = \$15,001 - \$50,000 J = \$50,001 - \$100,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	T = Cash Market
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
698. - AT&T	A	Dividend			Buy (add'l)	05/21/18	J		
699.					Sold (part)	11/23/18	J	A	
700.					Sold	12/17/18	J		
701. - Bank of America	A	Dividend			Sold (part)	01/12/18	J	A	
702.					Buy (add'l)	11/26/18	J		
703.					Sold	12/17/18	J	A	
704. - BB&T	A	Dividend			Sold (part)	05/18/18	J	A	
705.					Sold	07/19/18	J	A	
706. - Carnival	A	Dividend			Sold	07/02/18	J	A	
707. - Celanese		None			Buy	11/23/18	J		
708.					Sold	12/17/18	J		
709. - Chevron	A	Dividend			Sold (part)	01/12/18	J	A	
710.					Sold	08/24/18	J	A	
711. - Cisco	A	Dividend			Sold (part)	01/12/18	J	A	
712.					Sold (part)	05/18/18	J	A	
713.					Sold (part)	10/26/18	J		
714.					Sold	12/17/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ **NONE** (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
715. - Citizens Financial	A	Dividend			Sold (part)	01/12/18	J	A	
716.					Buy (add'l)	09/28/18	J		
717.					Buy (add'l)	10/17/18	J		
718.					Sold	12/17/18	J		
719. - Comcast	A	Dividend			Buy	06/29/18	J		
720.					Sold (part)	10/26/18	J	A	
721.					Sold (part)	11/23/18	J	A	
722.					Sold	12/17/18	J	A	
723. - Comerica	A	Dividend			Buy	07/31/18	J		
724.					Buy (add'l)	10/17/18	J		
725.					Buy (add'l)	11/26/18	J		
726.					Sold	12/17/18	J		
727. - Conagra Brands		None			Buy	10/16/18	J		
728.					Buy (add'l)	11/26/18	J		
729.					Sold	12/17/18	J		
730. - Conoco Phillips	A	Dividend			Sold (part)	01/12/18	J	A	
731.					Sold (part)	05/18/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
732.					Sold (part)	10/16/18	J	A	
733.					Buy (add'l)	11/26/18	J		
734.					Sold	12/17/18	J		
735. - Devon Energy	A	Dividend			Buy	01/15/18	J		
736.					Sold	06/05/18	J		
737. - Dr. Pepper Snapple Group	A	Dividend			Redeemed	03/02/18	J	A	
738. - Eastman Chemical	A	Dividend			Sold (part)	05/18/18	J	A	
739.					Buy (add'l)	09/28/18	J		
740.					Buy (add'l)	10/17/18	J		
741.					Sold (part)	11/23/18	J	A	
742.					Sold	12/17/18	J		
743. - Eaton	A	Dividend			Sold	12/17/18	J	A	
744. - Eli Lilly	A	Dividend			Buy	06/20/18	J		
745.					Buy (add'l)	07/02/18	J		
746.					Sold (part)	11/23/18	J	A	
747.					Sold	12/17/18	J	A	
748. - Entergy	A	Dividend			Buy (add'l)	05/21/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
749.					Sold (part)	11/23/18	J	A	
750.					Sold	12/17/18	J	A	
751. - Exelon	A	Dividend			Buy	10/26/18	J		
752.					Sold	12/17/18	J	A	
753. - Exxon Mobil		None			Sold	01/12/18	J	A	
754. - General Dynamics	A	Dividend			Sold (part)	05/18/18	J	A	
755.					Sold	07/13/18	J	A	
756. - General Motors	A	Dividend			Sold (part)	06/05/18	J	A	
757.					Buy (add'l)	09/28/18	J		
758.					Buy (add'l)	10/17/18	J		
759.					Sold (part)	11/23/18	J	A	
760.					Sold	12/17/18	J		
761. - Goldman Sachs	A	Dividend			Buy	02/06/18	J		
762.					Sold	06/20/18	J		
763. - Honeywell	A	Dividend			Sold	12/17/18	J	A	
764. - Host Hotels & Resorts	A	Dividend			Buy	08/02/18	J		
765.					Buy (add'l)	10/17/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
766.					Buy (add'l)	11/25/18	J		
767.					Sold	12/17/18	J		
768. - HP Inc	A	Dividend			Sold (part)	06/05/18	J	A	
769.					Sold (part)	10/16/18	J	A	
770.					Buy (add'l)	11/26/18	J		
771.					Sold	12/17/18	J	A	
772. - Ingredion	A	Dividend			Buy (add'l)	05/14/18	J		
773.					Buy (add'l)	05/21/18	J		
774.					Sold	08/03/18	J		
775. - Intel	A	Dividend			Sold (part)	05/11/18	J	A	
776.					Sold (part)	06/05/18	J	A	
777.					Buy (add'l)	09/28/18	J		
778.					Buy (add'l)	10/17/18	J		
779.					Sold	12/17/18	J	A	
780. - International Paper	A	Dividend			Buy (add'l)	09/28/18	J		
781.					Sold (part)	10/24/18	J		
782.					Buy (add'l)	10/17/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
783.					Sold (part)	11/23/18	J		
784.					Sold	12/17/18	J		
785. - Johnson & Johnson	A	Dividend			Sold (part)	11/23/18	J	A	
786.					Sold	12/17/18	J	A	
787. - JP Morgan Chase	A	Dividend			Sold (part)	05/11/18	J	B	
788.					Sold	12/17/18	J	B	
789. - Kansas City Southern	A	Dividend			Buy (add'l)	11/26/18	J		
790.					Sold	11/26/18	J		
791. - Lam Research	A	Dividend			Sold (part)	02/06/18	J	A	
792.					Sold	09/12/18	J		
793. - Lockheed Martin	A	Dividend			Buy	07/13/18	J		
794.					Sold	12/17/18	J		
795. - Medtronic	A	Dividend			Sold	12/17/18	J	A	
796. - Merck	A	Dividend	K	T	Buy	09/13/18	J		
797.					Sold (part)	11/23/18	J	A	
798. - Metlife	A	Dividend			Buy (add'l)	09/28/18	J		
799.					Buy (add'l)	10/17/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
800.					Buy (add'l)	11/26/18	J		
801.					Sold	12/17/18	J		
802. - Mondelez Intl	A	Dividend			Buy	03/02/18	J		
803.					Buy (add'l)	05/14/18	J		
804.					Buy (add'l)	05/21/18	J		
805.					Sold (part)	11/23/18	J	A	
806.					Sold	12/17/18	J		
807. - Morgan Stanley	A	Dividend			Buy (add'l)	09/28/18	J		
808.					Buy (add'l)	11/26/18	J		
809.					Buy (add'l)	11/26/18	J		
810.					Sold	12/17/18	J		
811. - Occidental Petroleum	A	Dividend			Buy	06/05/18	J		
812.					Buy (add'l)	10/17/18	J		
813.					Sold	12/17/18	J		
814. - Oracle	A	Dividend			Sold	05/18/18	J	A	
815. - Pfizer	A	Dividend			Sold (part)	11/23/18	J	A	
816.					Sold	12/17/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000	E = \$15,001 - \$50,000 P1 = \$1,000,001 - \$5,000,000 P2 = \$5,000,001 - \$25,000,000
2. Value Codes (See Columns C1 and D3)	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	O = \$500,001 - \$1,000,000	P4 = More than \$50,000,000	S = Assessment T = Cash Market	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
817. - Procter & Gamble	A	Dividend			Sold	01/26/18	J	A	
818. - Public Service Enterprise	A	Dividend	J	T	Sold (part)	11/23/18	J	A	
819. - Qualcomm	A	Dividend			Buy	09/12/18	J		
820.					Buy (add'l)	11/26/18	J		
821.					Sold	12/17/18	J	A	
822. - Quest Diagnostics	A	Dividend			Sold (part)	01/12/18	J	A	
823.					Sold (part)	05/18/18	J	A	
824.					Sold (part)	06/05/18	J	A	
825.					Buy (add'l)	11/26/18	J		
826.					Sold	12/17/18	J	A	
827. - Reinsurance Corp. of America	A	Dividend			Buy (add'l)	09/28/18	J		
828.					Sold	12/17/18	J		
829. - Royal Dutch Shell	A	Dividend			Sold	12/17/18	J		
830. - Schlumberger	A	Dividend			Buy (add'l)	09/28/18	J		
831.					Buy (add'l)	10/17/18	J		
832.					Sold	11/29/18	J		
833. - Suntrust	A	Dividend			Sold	09/13/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
834. - Target	A	Dividend			Buy	07/02/18	J		
835.					Buy (add'l)	08/24/18	J		
836.					Buy (add'l)	11/26/18	J		
837.					Sold	12/17/18	J		
838. - Time Warner		None			Buy	05/18/18	J		
839.					Sold	12/17/18	J		
840. - Total	A	Dividend			Buy	08/24/18	J		
841.					Buy (add'l)	11/26/18	J		
842.					Sold	12/17/18	J		
843. - United Technologies	A	Dividend			Redeemed (part)	11/23/18	J	A	
844.					Sold	12/17/18	J	A	
845. - U.S. Bancorp	A	Dividend			Sold (part)	11/23/18	J		
846.					Sold	12/17/18	J	A	
847. - Valero	A	Dividend			Buy	05/10/18	J		
848.					Buy (add'l)	10/17/18	J		
849.					Buy (add'l)	11/26/18	J		
850.					Sold	12/17/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
851. - Verizon	A	Dividend			Buy (add'l)	05/21/18	J		
852.					Sold	12/17/18	J	A	
853. - VF Corp.	A	Dividend			Sold (part)	08/24/18	J	A	
854.					Sold	12/17/18	J	A	
855. - Walmart	A	Dividend			Sold	12/17/18	J	A	
856. - Walt Disney		None			Buy	12/03/18	J		
857.					Sold	12/17/18	J		
858. - Exchange Traded and Closed End Funds (H):									
859. - Deutsche X-Trackers MSCI Fund	B	Dividend	L	T	Buy (add'l)	10/17/18	J		
860.					Buy (add'l)	11/26/18	J		
861.					Sold (part)	12/17/18	J		
862. - Invesco Dynamic Large Cap Fund	B	Dividend	L	T	Buy	04/02/18	L		
863.					Buy (add'l)	12/17/18	P1		
864. - iShares Barclays 7-10 Year Treasury Fund	A	Dividend	L	T	Buy (add'l)	05/14/18	J		
865.					Buy (add'l)	05/21/18	J		
866.					Buy (add'l)	09/28/18	J		
867.					Buy (add'l)	10/17/18	J		

1. Income Gain Codes:	A ~\$1,000 or less (See Columns B1 and D4)	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes	J ~\$15,000 or less (See Columns C1 and D3)	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 ~\$25,000,001 - \$50,000,000 (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 ~More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market

VII. INVESTMENTS and TRUSTS -- Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
868.					Sold (part)	12/17/18	J		
869. - Ishares MSCI EAFE Index Fund	B	Dividend	K	T	Buy (add'l)	09/28/18	J		
870.					Buy (add'l)	10/17/18	J		
871.					Buy (add'l)	11/26/18	J		
872.					Sold (part)	12/17/18	J		
873. - Ishares Russell 1000 Index Fund	B	Dividend	L	T	Sold (part)	05/18/18	K	D	
874.					Buy (add'l)	11/26/18	J		
875.					Sold (part)	12/17/18	J	A	
876. - Ishares Russell 1000 Value Fund	B	Dividend	L	T	Sold (part)	06/05/18	J	C	
877.					Sold (part)	12/17/18	J	A	
878. - Ishares Russell 3000 Fund	B	Dividend	L	T	Sold (part)	10/16/18	K		
879.					Buy (add'l)	11/26/18	J		
880.					Sold (part)	12/17/18	J		
881. - Ishares Russell mid-cap Fund	A	Dividend	L	T	Buy (add'l)	11/26/18	J		
882.					Sold (part)	12/17/18	J		
883. - Ishares TIPS Bond Fund	A	Dividend	K	T	Buy (add'l)	05/14/18	J		
884.					Buy (add'l)	05/21/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
885.					Buy (add'l)	09/28/18	J		
886.					Buy (add'l)	10/17/18	J		
887.					Buy (add'l)	10/17/18	J		
888.					Buy (add'l)	11/26/18	J		
889.					Sold (part)	12/17/18	J		
890. - SPDR DJ Wilshire Int'l Real Estate	B	Dividend	K	T	Buy (add'l)	05/21/18	J		
891.					Buy (add'l)	09/28/18	J		
892.					Buy (add'l)	10/17/18	J		
893.					Sold (part)	12/17/18	J		
894. - Vanguard Utilities Fund	C	Distribution	M	T	Sold (part)	11/23/18	J	C	
895.					Sold (part)	12/19/18	J	B	
896. - Mutual Funds (H):									
897. - Cohen & Steers Pref. Sec. & Inc. I	B	Dividend	L	T	Buy (add'l)	05/14/18	J		
898.					Buy (add'l)	05/21/18	J		
899.					Buy (add'l)	09/28/18	J		
900.					Sold (part)	10/26/18	J		
901.					Buy (add'l)	10/17/18	J		

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
902.					Buy (add'l)	11/28/18	J		
903. - Guggenheim Total Return BD 1 Fund	A	Dividend	K	T	Buy (add'l)	05/21/18	J		
904.					Buy (add'l)	09/28/18	J		
905.					Buy (add'l)	10/17/18	J		
906.					Buy (add'l)	11/26/18	J		
907.					Sold (part)	12/17/18	J		
908. - Invesco AIM ATST Premier Fund	A	Dividend	J	T	Buy (add'l)	05/14/18	J		
909.					Buy (add'l)	05/21/18	J		
910.					Buy (add'l)	09/28/18	J		
911.					Buy (add'l)	10/17/18	J		
912.					Buy (add'l)	11/26/18	J		
913. - JHF Seaport Long/Short Fund	C	Distribution	L	T	Buy	10/29/18	J		
914. - Legg Mason Western Asset Emerging Markets Fund	C	Dividend	L	T	Buy (add'l)	05/14/18	J		
915.					Buy (add'l)	05/21/18	J		
916.					Buy (add'l)	09/28/18	J		
917.					Buy (add'l)	10/17/18	J		
918.					Buy (add'l)	11/26/18	J		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ **NONE** (No reportable income, assets, or transactions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

919. - Mainstay Marketfield I Fund		None			Sold	10/29/18	L		
920. - Oppenheimer Int'l Fund	B	Dividend	L	T	Buy (add'l)	05/14/18	J		
921.					Buy (add'l)	05/21/18	J		
922.					Buy (add'l)	09/28/18	J		
923.					Buy (add'l)	10/17/18	J		
924.					Buy (add'l)	10/26/18	J		
925.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII:

Line 27: This mutual fund, which was listed on the 2017 report, changed its name to Janus Henderson Intl Fund, which is listed on this report on line 29.
Line 176: This is a duplicate entry for line 138.
Line 562: This transaction is classified as a spin-off because that was the closest label available; the acquisition was in fact an exchange.
Line 584 and 611. Liberty Interactive changed its name to Qurate Retail Inc.
Line 670: Morgan Stanley Bank account was formerly Citibank deposit

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Jon O. Newman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544