

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                          |                                                                  |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting</b> (last name, first, middle initial)<br><br>Houle, Mark D.                                                                                                                       | <b>2. Court or Organization</b><br><br>U.S. Bankruptcy Court, Central District of California                                                                                                                                                                                             | <b>3. Date of Report</b><br><br>07/31/2013                       |
| <b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)<br><br>Bankruptcy Judge                                                              | <b>5a. Report Type</b> (check appropriate type)<br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>01/01/2012<br>to<br>12/31/2012 |
| <b>7. Chambers or Office Address</b><br><br>U.S. Bankruptcy Court<br>3420 Twelfth Street, Room 365<br>Riverside CA 92501-3819                                                                             |                                                                                                                                                                                                                                                                                          |                                                                  |
| <p align="center"><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</p> |                                                                                                                                                                                                                                                                                          |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

|    |                                                       |
|----|-------------------------------------------------------|
| 1. |                                                       |
| 2. | Trustee [REDACTED] Trust dated as of December 7, 2005 |
| 3. |                                                       |
| 4. |                                                       |
| 5. |                                                       |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

|    |  |
|----|--|
| 1. |  |
| 2. |  |
| 3. |  |

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME  
(yours, not spouse's)

1. \_\_\_\_\_

2. \_\_\_\_\_

3. \_\_\_\_\_

4. \_\_\_\_\_

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. \_\_\_\_\_

2. \_\_\_\_\_

3. \_\_\_\_\_

4. \_\_\_\_\_

**IV. REIMBURSEMENTS** *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED1. National Conference of  
Bankruptcy Judges

Oct 24-26, 2012

San Diego, California

Annual Meeting

Hotel

2. \_\_\_\_\_

3. \_\_\_\_\_

4. \_\_\_\_\_

5. \_\_\_\_\_

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. Vanguard US Value Index Fund (Rollover IRA #1)                                                                           | B                                       | Dividend                                      | L                                               | T                                         | Buy                                             | 02/23/12                | L                               |                                |                                                                   |
| 2. Vanguard Int'l Stk Index Fund (Rollover IRA #1)                                                                          | B                                       | Dividend                                      | L                                               | T                                         | Buy                                             | 02/23/12                | L                               |                                |                                                                   |
| 3. Vanguard Inflation Protected Sec. Fund (Rollover IRA #1)                                                                 | B                                       | Dividend                                      | L                                               | T                                         | Buy                                             | 02/23/12                | L                               |                                |                                                                   |
| 4. Vanguard US Value Fund (401K)                                                                                            | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 02/23/12                | L                               | A                              |                                                                   |
| 5. Vanguard Int'l Stk Index Fund (401K)                                                                                     | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 02/23/12                | L                               | A                              |                                                                   |
| 6. Vanguard Inflation Protected Sec. Fund (401K)                                                                            | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 02/23/12                | L                               | A                              |                                                                   |
| 7. Wells Fargo Bank, N.A. savings checking accounts                                                                         | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 8. Vanguard Total Stk Market Index Fund (Roth IRA #2)                                                                       | B                                       | Dividend                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 9. Vanguard Tax-Mngd Growth & Income Fund                                                                                   | B                                       | Dividend                                      | L                                               | T                                         | Sold (part)                                     | 04/03/12                | J                               | B                              |                                                                   |
| 10. Vanguard Tax-Mngd Small Cap Fund                                                                                        | A                                       | Dividend                                      |                                                 |                                           | Sold (part)                                     | 04/03/12                | J                               | B                              |                                                                   |
| 11.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 07/16/12                | L                               | E                              |                                                                   |
| 12. Vanguard Tax-Mngd Int'l Fund                                                                                            | A                                       | Dividend                                      | K                                               | T                                         | Sold (part)                                     | 04/03/12                | J                               | A                              |                                                                   |
| 13.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold (part)                                     | 07/16/12                | L                               | A                              |                                                                   |
| 14.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold (part)                                     | 07/16/12                | K                               | A                              |                                                                   |
| 15. Vanguard Stock Market Index                                                                                             | C                                       | Dividend                                      | M                                               | T                                         | Sold (part)                                     | 04/03/12                | J                               | A                              |                                                                   |
| 16.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy (add'l)                                     | 12/04/12                | J                               |                                |                                                                   |
| 17. Vanguard Cal. Tax-Exempt Money Market Fund                                                                              | A                                       | Dividend                                      | J                                               | T                                         | Buy (add'l)                                     | 07/16/12                | K                               |                                |                                                                   |

|                                                  |                                                                                     |                                                        |                                                                                              |                                                              |                         |
|--------------------------------------------------|-------------------------------------------------------------------------------------|--------------------------------------------------------|----------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                     | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H = \$1,000,001 - \$5,000,000                                       | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$250,001 - \$500,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                     | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                              | T = Cash Market                                              |                         |

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☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code I<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 18.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 12/04/12                | J                               |                                |                                                                   |
| 19.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 12/04/12                | J                               |                                |                                                                   |
| 20. Vanguard Small-Cap Value Index Fund<br>(Roth IRA #3)                                                                    | B                                       | Dividend                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 21. Cal. Scholarshare 529 #1; age-based<br>investment option                                                                | C                                       | Dividend                                      | L                                               | T                                         | Buy<br>(add'l)                                  | 02/10/12                | J                               |                                |                                                                   |
| 22.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/07/12                | L                               |                                |                                                                   |
| 23.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 12/27/12                | J                               |                                |                                                                   |
| 24. Cal. Scholarshare 529 #2; age-based<br>investment                                                                       | C                                       | Dividend                                      | L                                               | T                                         | Buy<br>(add'l)                                  | 02/10/12                | J                               |                                |                                                                   |
| 25.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/07/12                | L                               |                                |                                                                   |
| 26.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 12/27/12                | J                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I.2

Note: The only asset of the [REDACTED] Trust [REDACTED] is not required to be reported pursuant to the filing instructions.

Part VII: All funds in reporting person's 401(K) listed in rows 4-6 (holding investments in Vanguard Inflation Protected Securities Fund, Vanguard US Value Fund Index Fund, and Vanguard Total International Stock Index Fund), were rolled over into reporting person's Rollover IRA # 1 on 2/23/12, listed in rows 1-3, and are invested in the same funds.

California Scholarshare, the administrator of 529 #1 and 529 #2 listed in rows 21 and 24, does not report dividends, only change in value. That is the amount listed in box 21C and 24C.

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## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Mark D. Houle**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
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