

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Sorokin, Leo T.	2. Court or Organization District Court, Massachusetts	3. Date of Report 11/06/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address U.S. District Court One Courthouse Way Boston, MA 02210 Suite 6130		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2015

Self-employed - psychologist

2.

3.

4.

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Rental/Vacation Property Massachusetts (2001, 273,100)	D	Rent	N	R					
2. Bank of America Accounts	A	Interest	J	T					
3. IRA #1									
4. -Fidelity Contrafund	B	Distribution	K	T					
5. -Fidelity Pacific Basin	A	Distribution	K	T					
6. IRA #2									
7. -Davis NY Venture Fund C	D	Dividend	K	T					
8. -Wells Fargo Cash	A	Dividend	J	T					
9. IRA #3									
10. -Amazon		None	K	T					
11. -Wells Fargo Cash Account	A	Int./Div.	J	T					
12. -Citigroup					Sold	11/15/15	J	A	
13. -Allianz FDS Small Cap	A	Dividend	J	T					
14. -Allianz Mid Cap Fund	B	Dividend	K	T					
15. -PIMCO FDS PAC Dev Invnt Mgmt	B	Dividend	K	T					
16. -Intentionally Blank									
17. Brokerage Acct#1									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Wells Fargo Money Market Fund	A	Interest	J	T					
19. -Home Properties, Inc.		None			Sold	10/08/15	J	B	
20. -Vanguard Total Stock Market ETF	A	Dividend	J	T					
21. -Bristol Myers Squibb	A	Dividend	K	T					
22. -Wisdomtree Investments	A	Dividend	J	T					
23. -Healthcare Realty Trust, Inc.	B	Dividend	K	T					
24. -Intentionally Blank									
25. -Berkshire Hawthaway Series B		None	J	T					
26. -Saul Centers	A	Dividend	J	T					
27. -Alibaba		None							
28. -Apple	B	Dividend	L	T					
29. -Sector Spdr Tech Select Sector	B	Dividend	K	T					
30. -Stratays LTD Sys		None	J	T	Buy	06/29/15	J		
31. -3D SYSCorp		None	J	T	Buy	06/29/15	J		
32. -Intentionally Blank									
33. DWS Scudder High Income Trust	B	Dividend	K	T					
34. Matthews Pacific Tiger Fund	B	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. -Intentionally Blank									
36. Intentionally Blank									
37. IRA #4									
38. -Vanguard 500 Index Fund Adm	B	Dividend	L	T					
39. -Vanguard Intermediate Term Investment Grade Fund	A	Dividend	K	T					
40. -Vanguard U.S. Value Fund	A	Dividend	K	T					
41. - Vanguard Emerging Markets Stock Index Adm	A	Dividend	K	T					
42. Brokerage Acct#2									
43. -Vanguard U.S. Value Fund	A	Dividend	J	T					
44. -Vanguard Total International Stock Index	A	Dividend	J	T	Buy	04/24/15	J		
45. -Vanguard Total Stock Market Index	A	Dividend	J	T	Buy	04/24/15	J		
46. Brokerage Acct#3									
47. -Vanguard 500 Index Fund	A	Dividend	J	T					
48. -Vanguard Long Term treasury Fund	B	Dividend	K	T					
49. -Vanguard Extended Market Index Fund	A	Dividend	J	T					
50. Brokerage Acct#4									
51. -American Century Income and Growth Fund	C	Dividend	K	T					

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52. IRA #5									
53. -American Century Equity Income	B	Dividend	K	T					
54. -American Century Prime Money Market	A	Interest	K	T					
55. -American Century Small Cap Value Fund	B	Dividend	J	T					
56. Brokerage Acct#5									
57. -American Century Equity Income Fund	C	Dividend	K	T					
58. Brokerage Acct#6									
59. -American Century Gifttrust Fund					Redeemed	05/21/15	J		
60. -American Century All Cap Growth	A	Dividend	J	T	Buy	05/21/15	J		
61. Brokerage Acct#7									
62. -American Century Gifttrust Fund	A	Dividend	J	T					
63. Limited Partnership Bear Hollow Lender	B	Distribution	J	U					
64. -Intentionally blank									
65. Intentionally Blank									
66. -Intentionally Blank									
67. Limited Partnership Wolf Paca I	E	Int./Div.	J	U					
68. Limited Partnership Joppa East		None	J	U					

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69. OC, LLC		None	M	T					
70. Brokerage Account 11									
71. -Vanguard 500 Index Fund	A	Dividend	J	T					
72. IRA Account 12A									
73. -Wells Fargo Bank Deposit	A	Interest			Merged (with line 74)	12/02/15	K		
74. -Fidelity Gov't Money Market	A	Int./Div.	K	T					
75. -Matthews Pacific Tiger Fund	B	Distribution	J	T					
76. -Home Properties, Inc.	A	Dividend			Sold	10/08/15	J	C	
77. Brokerage Account 12B									
78. -Wisdomtree Investments	A	Dividend	J	T					
79. -Vanguard Total Stock Market ETF	A	Dividend	J	T					
80. -Sterling Bank Corp.	A	Dividend	J	T					
81. -Sector Spider Technology Select	A	Dividend	J	T					
82. -Wells Fargo Bank Deposit Sweep Option	A	Interest			Merged (with line 83)	12/07/15	J		
83. -Fidelity Gov't Money Market	A	Interest	J	T					
84. IRA Account 13A									
85. -Wells Fargo Bank Deposit Sweep Option	A	Interest	J	T					

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86. -Matthews Pacific Tiger	B	Dividend	J	T					
87. -Sector Spider Technology Select	A	Dividend	J	T					
88. Brokerage Account 13B									
89. -Wells Fargo Bank Deposit Sweep Option	A	Int./Div.	J	T					
90. -Sterling Bank Corp	A	Dividend	J	T					
91. -Vanguard Total Stock Market ETF	A	Dividend	J	T					
92. IRA Account 14A									
93. -Wells Fargo Bank Deposit Sweep Option	A	Interest	J	T					
94. -Matthews Pacific Tiger	B	Dividend	J	T					
95. -Sector Spider Technology Select	A	Dividend	J	T					
96. Brokerage Account 14B									
97. -Wells Fargo Bank Deposit Sweep Option	A	Interest	J	T					
98. -Sterling Bank Corp	A	Dividend	J	T					
99. Trust #4									
100. -Raymond James Bank Deposit Program	A	Int./Div.	K	T					
101. -Williams Companies	A	Int./Div.	J	T					
102. -Fund Royce Opportunity Fund Investment Class N/L	B	Int./Div.	J	T					

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103. -Fund The Third Avenue Value Fund N/L	A	Int./Div.			Sold	12/17/15	J	A	
104. -Fund Oppenheimer DevMkts Fund	A	Int./Div.	J	T					
105. -Kinder Morgan	A	Int./Div.	J	T					
106. -Hartford International Value Fund	A	Int./Div.	J	T					
107. -Berkshire Hathaway B		None	K	T					
108. -Crown Castle	A	Int./Div.	K	T					
109. -Stock Alleghany Corp		None	J	T					
110. -Flow 2006 LLC		None	M	W					
111. -VSECU Bank Account	A	Int./Div.	J	T					
112. -Fund Hartford Capital Appreciation Fund Class A	B	Int./Div.	J	T					
113. -Liberty Media		None	J	T					
114. -Fund Third Avenue Focused Credit Fund Investor Class	B	Int./Div.	J	T					
115. -Longleaf Small-cap Fund	A	Int./Div.	K	T					
116. -Putnam Capital Spectrum	A	Int./Div.	J	T					
117. -Intentionally Blank									
118. Janus Worldwide Fund	A	Dividend	J	T					
119. Trust #5									

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120. -Wells Fargo Bank Deposit Sweep	A	Int./Div.	L	T					
121. -Stock Sector Spdr TR Tech Select Sector XLK	A	Int./Div.	K	T					
122. -Alibaba Group		None	J	T					
123. -Verizon Communications	A	Int./Div.	J	T					
124. -Bear Hollow Lender LLC	D	Distribution	J	W					
125. -Gunpowder W Corp.		None	J	W					
126. -Joppa East Limited Partnership		None	J	W					
127. -Mayfield W, Inc.		None	J	W					
128. -MHW, LLC	D	Distribution	L	W					
129. -Exploration Capital 2005		None	K	W					
130. -Wolf Realty Corp.		None	J	W					
131. -Saul Centers Bond	B	Int./Div.	K	T					
132. -VSECU Bank Account	A	Int./Div.	K	T					
133. -Merck	A	Int./Div.	K	T					
134. -GSV Capital	B	Int./Div.	J	T					
135. -Loan to Trust #4		None	L	W					
136. Brokerage Account 15									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Sorokin, Leo T.

Date of Report

11/06/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -Matthews Pacific Tiger Fund	A	Dividend	J	T					
138. IRA Account 20									
139. -Wells Fargo Money Market	A	Int./Div.	K	T					
140. -Tesla Motors, Inc.		None	K	T					
141. -Chipotle		None	J	T	Buy	12/14/15	J		
142. IRA BA 17									
143. -Indexiq ETF Tr IQ ARB Global Res ETF		None	J	T					
144. -aston/Fairpoint Mid Cap fund	A	Dividend	J	T					
145. -Acadian Emerging Markets Port Instl	A	Distribution	J	T					
146. -Allianz NFJ Small Cap Value Class I	A	Dividend	J	T					
147. -American Mutual Fund C1 F2	A	Dividend	J	T					
148. -Brown Capital Mgt Small C. Inv.	A	Dividend	J	T					
149. -Cohen & Steers Realty Shares	A	Dividend	J	T					
150. -Columbia Acorn international CI Z	A	Dividend	J	T					
151. -Spartan 500 Index FD Investor Class	A	Dividend	J	T					
152. -Fidelity Advisor New Insights cl I	A	Dividend	J	T					
153. -Harbor International Institutional	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Sorokin, Leo T.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. -Aberdeen Total Return Bond Fund C1 I	A	Dividend	J	T					
155. -Fidelity Floating Rate High Income	A	Dividend	J	T					
156. -Fidelity Total Bond	A	Dividend	J	T					
157. -PIMCO Total Return Instl	A	Dividend	J	T					
158. -Osterweis Strategic Income Fund	A	Dividend	J	T					
159. -Cohen & Steers Intl Realty Inc. C1 I	A	Dividend	J	T					
160. -IQ Alpha Hedge Strategy Instl	A	Dividend	J	T					
161. -Putnam Absolute Return 700 Fund	A	Dividend	J	T					
162. -Fidelity Cash Reserves	A	Interest	K	T					
163. IRA 18									
164. -Schwab Adv Cash Reserves	A	Dividend	K	T					
165. -Greif Brothers Notes Due 2/1/17	B	Int./Div.	K	T					
166. -Plum Crk Tmbrlnd Bonds due 3/15/21	A	Interest	J	T					
167. -Wells Fargo Mtg Fm 2033 REMIC due 3/25/33	A	Interest	J	T					
168. -Acuity Brands	A	Dividend	J	T					
169. -CISCO Systems	A	Dividend	J	T					
170. -General Electric Co.	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Sorokin, Leo T.

Date of Report

11/06/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. -International Business Machines	A	Dividend	J	T					
172. -PPG Industries	A	Dividend	J	T					
173. -St Jude Medical	A	Dividend	J	T					
174. -Stone Harbor Emerging FD	A	Dividend			Sold	03/24/15	J		
175. -United Parcel Service Class B	A	Dividend	J	T					
176. -Dynex Capital Inc. New REIT	A	Dividend	J	T					
177. -Avnet Inc. Bond Due 9/1/15	A	Interest			Redeemed	09/01/15	J	A	
178. -Bank American Corp. Bond Due 8/15/15	A	Interest			Redeemed	08/15/15	J	A	
179. -Constellation Energy Bond Due 6/15/15	A	Interest			Redeemed	06/15/15	J	A	
180. -CSX Corp. Bond Due 4/1/15	A	Interest			Redeemed	04/01/15	J	A	
181. -JPMorgan Chase Bond Due 5/1/15	A	Interest			Redeemed	05/01/15	J	A	
182. -Lennar Corp Bond Due 5/31/15	A	Interest			Redeemed	05/31/15	J	A	
183. -Southwestern Bell Bond Due 3/15/21	A	Interest			Redeemed	07/01/15	J	A	
184. -Staples Bond Due 1/12/18	A	Interest	J	T					
185. -Weyerrhaeuser Co. Bond due 02/1/18	A	Dividend	J	T					
186. -Dreamworks Animation		None	J	T					
187. -Freeport McMoran Copper	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Sorokin, Leo T.

Date of Report

11/06/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. -Solar Capital LTD	A				Sold	04/01/15	J	A	
189. -Plum Creek Timber Co. REIT	A	Interest	J	T					
190. -DB Horton Bond due 4/15/16	A	Interest	J	T	Buy	01/27/15	J		
191. -Marathon Value Portfolio	A	Dividend	K	T	Buy	01/27/15	J		
192. -Vanguard Total Stock Market Index Fund	A	Dividend	J	T	Buy	12/02/15	J		
193. -Ishares Exp Technology ETF	A	Dividend	J	T	Buy	12/02/15	J		
194. -Technology Select Sector Spdr ETF	A	Dividend	J	T	Buy	12/02/15	J		
195. Utah Ed Savings Age Based Aggressive Global Fund	A	Dividend	K	T	Buy	04/24/15	J		
196. Utah Ed Savings Age Based Aggressive Domestic Fund	A	Dividend	K	T	Sold (part)	11/09/15	K	B	
197. USAA Bank Accounts	A	Int./Div.	J	T					
198. BA Account 19									
199. -Schwab Cash Account	A	Int./Div.	J	T					
200. -Danaher Corp stock	A	Int./Div.			Sold	04/22/15	J	D	
201. -Forest City Enterprises, Inc. Stock		None	J	T					
202. -Graco Inc. stock	A	Int./Div.	J	T					
203. -Noble Corp stock	A	Int./Div.	J	T					
204. -Pepsico Inc. stock	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Sorokin, Leo T.

Date of Report

11/06/2016

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. -Procter & Gamble	A	Int./Div.	J	T					
206. -Mass Ed Financing Bond Due 1/1/15	A	Int./Div.			Sold	01/01/15	J	A	
207. Brokerage Account #21									
208. -FDIC Insured Deposits	A	Interest	J	T	Buy	12/01/15	J		
209.									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Sorokin, Leo T.

Date of Report

11/06/2016

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Lines 13, 14 The names of these mutual funds changed somewhat.

Line 63- This asset was reported twice in last year's report (Lines 59 and 62). Because the asset is the same, they were combined for this year's report into line 63.

Line 111- The name of the bank account has changed. Last year this asset was reported on line 103.

Lines 110, 124-130 These assets are illiquid assets. The trustee of the trust holding these assets has provided estimates of the value.

Line 154 - The name of this mutual fund changed. Last year this asset was reported on line 146.

Corrections in this Amended Report

Part VII, Line 60 lists the purchase information for the asset listed on this line.

Part VII, Line 226 of my 2014 report listed Newell Rubbermaid Bond Due 6/15/15. The bond does not appear on my 2015 report because I did not own it in 2015. This Bond was called on 12/15/14 with a value code of J and a gain code of A. I inadvertently omitted the calling of this bond from 2014 report.

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Name of Person Reporting

Sorokin, Leo T.

Date of Report

11/06/2016

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Leo T. Sorokin

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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