

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) WOODLOCK, DOUGLAS P.	2. Court or Organization DISTRICT OF MASSACHUSETTS	3. Date of Report 05/12/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. DISTRICT JUDGE-SENIOR STATUS	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address United States Courthouse Suite 4110, 1 Courthouse Way Boston, MA 02210		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Trust No. 1
2.	Trustee	Trust No. 2
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. International Chamber of Commerce	9/20-21	New York, New York	Conference (re International Court of Arbitration)	Food
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Fidelity Cash Reserves (money market) [FDRXX]	A	Dividend	J	T					
2. Fidelity Growth Company [FGDRX]	E	Dividend	N	T					
3. Fidelity Stock Selector [FDSSX]	D	Dividend			Sold	11/20/15	L	E	
4. Davis New York Venture Fund, C1.A [NYVTX]	E	Dividend			Sold	11/20/15	M	C	
5. Vanguard Prime (money market)[VMMXX]	A	Dividend	O	T					
6. Vanguard Mid-Cap Index [VIMAX]	C	Dividend	M	T					
7. Vanguard Value Index [VVIAX]	C	Dividend	M	T					
8. Vanguard 500 Index [VFIAX]	C	Dividend	M	T					
9. Vanguard Intermediate Term Bond Index [VBILX]	D	Dividend	N	T					
10. Vanguard Short Term Bond Index [VBIRX]	C	Dividend	N	T					
11. Vanguard Treasury (money market) [VUSXX]	A	Dividend	J	T					
12. Sequoia [SEQUX]	D	Dividend	N	T					
13. T. Rowe Price Value [TRVLX]	D	Dividend	N	T					
14. Vanguard Small-Cap Index [VSMAX]	B	Dividend	M	T					
15. Vanguard Extended Market Index [VEXAX]	B	Dividend	M	T					
16. T. Rowe Price New American Growth [PRWAX](X)	D	Dividend			Sold	11/20/15	L	E	
17. T. Rowe Price Emerging Mkts. [PRMSX]	B	Dividend			Sold	11/20/15	M		

1. Income Gain Codes: (See Columns B1 and D4)	A –\$1,000 or less F –\$50,001 - \$100,000	B –\$1,001 - \$2,500 G –\$100,001 - \$1,000,000	C –\$2,501 - \$5,000 H1 –\$1,000,001 - \$5,000,000	D –\$5,001 - \$15,000 H2 –More than \$5,000,000	E –\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J –\$15,000 or less N –\$250,001 - \$500,000 P3 –\$25,000,001 - \$50,000,000	K –\$15,001 - \$50,000 O –\$500,001 - \$1,000,000	P1 –\$1,000,001 - \$5,000,000 P4 –More than \$50,000,000	L –\$50,001 - \$100,000 M –\$100,001 - \$250,000 P2 –\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q –Appraisal U –Book Value	R –Cost (Real Estate Only) V –Other	S –Assessment W –Estimated	T –Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Vanguard Total Int'l Stock Index [VTIAX]	D	Dividend	M	T					
19. Vanguard Total Stock Index [VTSAX]	D	Dividend	N	T					
20. Fidelity Spartan 500 Index [FUSVX]	C	Dividend	M	T					
21. Fidelity Spartan Extended Market [FSEVX]	C	Dividend	M	T					
22. Fidelity Spartan Int'l Index [FSIVX]	D	Dividend	M	T	Buy (add'l)	02/02/15	J		
23. Bank of America accounts	A	Int./Div.	M	T					
24. Amer. Euro Pac. Growth Fund [AEPGX]	A	Dividend			Sold	11/20/15	L	D	
25. First Eagle Global Fund [SGENX]	C	Dividend			Sold	11/20/15	L	D	
26. Fidelity Mass. Muni (money market) [FDMXX]	A	Dividend	P1	T					
27. Vanguard Dividend Appreciation Index [VDADX]	D	Dividend	N	T	Sold (part)	12/15/15	K	B	
28. Vanguard FTSE All-World ex US Index [VFWAX]	D	Dividend			Sold	11/20/15	N		
29. Fidelity Spartan Total Market Index [FSTVX]	D	Dividend	N	T	Buy	02/03/15	L		
30.					Buy (add'l)	03/31/15	L		
31.					Buy (add'l)	08/24/15	L		
32. iShares USA Minivolatility ETF [USMV]	A	Dividend	M	T	Buy	09/28/15	M		
33. Class Action Settlement	A	Distribution							

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I and Part VII:

All assets of Trusts No. 1 and No. 2, identified in Part I, are listed individually in Part VII.

Part VIII, line 33:

This entry reflects a share in a distribution - received by check dated May 14, 2015 on behalf of the claims administrator of the Mutual Funds Investment Litigation/ RS Sub-Track - attributable to an investment (RS Emerging Growth Fund) earlier reportable as an asset on previous Financial Disclosure Forms but sold in 2005.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ DOUGLAS P. WOODLOCK

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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