

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gerber, Robert E.	2. Court or Organization US Bankruptcy Court SDNY	3. Date of Report 11/27/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US Bankruptcy Judge - Recalled	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address Alexander Hamilton U.S. Custom House One Bowling Green Ste 528 New York, NY 10004-1408		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Director	American College of Bankruptcy
2.	Director	American Bankruptcy Institute
3.	Member of Board of Governors	National Conference of Bankruptcy Judges
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2014	Matthew Bender & Co. (LexisNexis), compensation for chapters written as contributing author to Collier on Bankruptcy	\$2,640.00
2. 2014	Columbia Law School - Teaching	\$6,000.00
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2014	Self-employed writer
2. 2014	The Pantry, New Preston CT. Part-time sales staff.
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. University of Pennsylvania Law School	1/31/14-2/2/14	Philadelphia, PA	Speaker at Program	Transportation, Lodging, Food
2. American College of Bankruptcy	3/13/14-3/15/14	Washington DC	Meeting and Board Meeting	Transportation, Lodging, Food
3. National Conference of Bankruptcy Judges	4/7/14-4/8/14	Kansas City, MO	Board Meeting	Transportation, Lodging, Food
4. American Bankruptcy Institute	4/23/14-4/27/14	Washington, DC	Board and Committee Meetings, Speaker at Program	Transportation, Lodging, Food

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5.	American Bankruptcy Institute	7/17/14-7/20/14	Stowe, VT	Speaker at Program	Transportation, Lodging, Food
6.	Midwest Regional Bankruptcy Seminar	8/10/14-8/22/14	Cincinnati, OH	Speaker at Program	Transportation, Lodging, Food
7.	National Association of Attorneys General	9/15/14-9/17/14	Providence, RI	Speaker at Program	Transportation, Lodging, Food
8.	Turnaround Management Association	9/29/14-10/1/14	Toronto, Ontario	Speaker at Program	Transportation, Lodging, Food
9.	National Conference of Bankruptcy Judges	10/7/14-10/11/14	Chicago, IL	Board Meeting, Coordinating Speakers at Program	Transportation, Lodging, Food
10.	Georgetown Law School	10/23/14-10/24/14	Washington, DC	Speaker at Program	Transportation, Lodging, Food
11.	American Bankruptcy Institute	12/4/14-12/6/14	La Quinta, CA	Board and Committee Meetings, Speaker at Program	Transportation, Lodging, Food

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Northwestern Mutual Life	Policy Loans	L
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. IN BROKERAGE ACCT #1 (Fidelity Investments):									
2. - Columbia Acorn Fund Mutual Fund Shares	E	Dividend	M	T	Donated (part)				
3. - NY State Thruway 5.0%, 2019, Muni Bonds	C	Interest	L	T					
4. - Fidelity Municipal Money Mkt Mut Fund Shares	A	Dividend	L	T					
5. - Vanguard Limited Term Tax Exempt Fund Mutual Fund Shares	A	Dividend	K	T					
6. - Fidelity Contrafund Mut Fund Shares	B	Dividend	K	T					
7. - Baron Growth Fund Mut Fund Shares	C	Dividend	L	T					
8. - BlackRock Equity Dividend Fund Institut Mut Fund Shares	A	Dividend			Sold	03/12/14	K	D	Not Applicable
9. - BBH Core Select Fund Class N Mut Fund Shares	B	Dividend	L	T					
10. - S&P 500 Depository Receipts ("SPDRs")	A	Dividend	K	T					
11. - Baron Growth Fund Instit. Class. Mut Fund Shs	A	Dividend	K	T	Buy	03/12/14	K		Not Applicable
12. - Fidelity Low Price Stock Fund Mut Fund Shs	A	Dividend	K	T	Buy	03/12/14	K		Not Applicable
13. IN BROKERAGE ACCT #2 (Fidelity Investments):									
14. - Fidelity Municipal Money Mkt Mut Fund Shares	A	Dividend	M	T					
15. - Vanguard Limited Term Tax Exempt Mutual Fund	A	Dividend	J	T					
16. - Deutsche Intermed Term Tax Free Institut Class Mut Fund Shares	A	Dividend	M	T	Buy	09/23/14	M		Not Applicable
17. ROLLOVER IRA #1, FIDELITY INVESTMENTS	F	Int./Div.	P1	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - Fidelity Cash Reserves Money Mkt Mut Fund Shares									
19. - Tweedy Browne Global Value Fund Mut Fund Shs									
20. - Fidelity Contrafund Mut Fund Shares									
21. - Ivy Asset Strategy Fund C1 I Mut Fund Shs					Sold (part)	10/15/14	L	E	Not Applicable
22. - Morgan Stanley Global Long/Short Fund Mut Fund Shs									
23. - BlackRock Global Allocation Fund Institut Mut Fund Shs					Sold (part)	10/15/14	J	E	Not Applicable
24. - Pimco Total Return Fund Instut Mut Fund Shs									
25. - BBH Core Select Fund Class N Mut Fund Shares									
26. - JP Morgan Large Cap Growth Sel Class Mut Fund Shs									
27. - Fidelity Low Price Stock Mut Fund Shs					Buy (add'l)	03/12/14	K		Not Applicable
28. - Vanguard Ltd Term Tax Exempt Fund Mut Fund Shs									
29. - S&P 500 Depository Receipts ("SPDRs")									
30. - Fidelity Spartan Mkt Index Advantage (FSTVX) Mut Fund Shares									
31. - Fidelity Spartan 500 Index Advantage (FUSVX) Mut Fund Shs					Buy	10/15/14	L		Not Applicable
32. - Same					Sold (part)	12/09/14	J	A	Not Applicable
33. - Baron Growth Fund Instut Class Mut Fund Shs					Buy	03/12/14	K		Not Applicable
34. - BlackRock Strategic Muni Opportunities Fund Mut Fund Shs					Buy	05/22/14	L		Not Applicable

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. - BlackRock Equity Dividend Fund-Institut Mut Fund Shs					Sold	03/12/14	L	E	Not Applicable
36. - Deutsche Intermed Tax Free Institut Mut Fund Shs					Buy	11/06/14	L		Not Applicable
37. IRA (#1), FIDELITY INVESTMENTS	A	Dividend	L	T					
38. - Fidelity Cash Reserves Money Mkt Mut Fund Shares									
39. - Fidelity Low Price Stock Fund Mutual Fund Shares									
40. - Deutsche Intermed Tax Free Mut Fund Shs					Buy	09/09/14	L		Not Applicable
41. IRA (#2), FIDELITY INVESTMENTS	C	Dividend	L	T					
42. - Tweedy Browne Global Value Fund Mut Fund Shares									
43. - Baron Growth Mut Fund Shares									
44. - Fidelity Contrafund Mut Fund Shares									
45. - Fidelity Cash Reserves Money Mkt Mut Fund Shares									
46. IN BROKERAGE ACCOUNT #3 (Fidelity Investments)									
47. - Fidelity Spartan 500 Index Advantage (FUSVX) Mut Fund Shs	A	Dividend	L	T					
48. - Baron Growth Mutual Fund Shares	A	Dividend	J	T					
49. - Fidelity Municipal Money Mkt Mut Fund Shares	A	Dividend	J	T					
50. - Vanguard Limited Term Tax Exempt Mut Fund Shs	A	Dividend	J	T					
51. LIMITED PARTNERSHIP INTERESTS:									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52. - Broadway 72 Assocs, Ltd Partnership Interest	E	Distribution	J	U					
53. -FFHS&K Investment Assocs. 1983-I, Ltd Partnership Interest		None	J	U					
54. ROLLOVER IRA #2, FIDELITY INVESTMENTS	F	Int./Div.	P1	T					
55. - Fidelity Cash Reserves Money Market Mut Fund Shares									
56. - Fidelity Contrafund Mut Fund Shares					Sold (part)	08/28/14	J	B	Not Applicable
57. - Blackrock Global Allocat Fund-Institut'l Mut Fund Shares					Sold (part)	10/15/14	N	E	Not Applicable
58. - Ivy Asset Strategy Fund Class 1 Mut Fund Shares					Sold (part)	10/15/14	M	E	Not Applicable
59. - BBH Core Select Fund Class N Mut Fund Shares									
60. - Fidelity Low Price Stock Mut Fund Shares									
61. - Fidelity Spartan Total Market Index FSTVX)									
62. - Standard & Poors 500 Depository Receipts ("SPDRs")									
63. - BlackRock Strategic Muni Opportunities Fund Mut Fund Shs					Buy	05/22/14	M		Not Applicable
64. - Fidelity Sptn 500 Index Advantage (FUSVX) Mut Fund Shs					Buy	10/15/14	M		Not Applicable
65. 529 PLAN, FIDELITY INVESTMENTS		None	M	T					
66. - Fidelity UNIQUE 2015 Portfolio									
67. INSURANCE POLICIES									
68. - Northwestern Mutual Whole Life Policy #1	D	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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69. - Northwestern Mutual Whole Life Policy #2	C	Dividend	L	T					
70. - Northwestern Mutual Whole Life Policy #3	C	Dividend	L	T					
71. - Northwestern Mutual Whole Life Policy #4	C	Dividend	L	T					
72. - Northwestern Mutual Whole Life Policy #5	B	Dividend	K	T					
73. ROLLOVER IRA #3 (Morgan Stanley Smith Barney)	E	Interest	N	T					
74. - Dreyfus Money Mkt Govt Secur Series Mut Fund Shares					Sold	12/31/14	J		Not Applicable
75. - WI Clean Water 4.391% 2019 Muni Bonds									
76. - NY Environmental Facilities 4.469% 2019 Muni Bonds									
77. - NY City NY 4.899% 2020 Muni Bonds									
78. - Timpanogos UT 4.69% 2018 Muni Bonds									
79. - San Luis Obispo Cnty CA 7.45% 2019 Muni Bonds									
80. - Brazoria Cnty TX 4.594% 2019 Muni Bonds									
81. - FL St Board of Ed 4.941% 2018 Muni Bonds									
82. - Kauai Cnty HI 4.556% 2021 Muni Bonds									
83. - Dallas TX 4.489% 2020 Muni Bonds									
84. - Anchorage AK 4.518% 2020 Muni Bonds									
85. - Los Angeles CA 4.53% 2022 Muni Bonds									

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86. - New Mexico Finance Auth 3.4% Muni Bonds					Buy	05/22/14	K		Not Applicable
87. - Morgan Stanley Liquid Asset Fund					Buy	12/31/14	J		Not Applicable
88. - NH Highway 4.334% 2022 Muni Bonds									
89. - King & Snohomish Counties WA 4.821% Muni Bonds									
90.									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII: The dividends shown for mutual funds, as in the past, include capital gains dividends.

As in the past, routine deposits or withdrawals (i.e., purchases or sales) of money market funds have not been deemed to be reportable transactions, and have not been shown, but again as in the past, the income from the money market funds during the Reporting Period has been shown. However, when I have made final sales, and initial purchases, of such money market funds, I have shown such final sales, and initial purchases, as reportable "Sell" and "Buy" transactions. Transactions involving the reinvestment of mutual fund dividends and capital gains distributions are not shown as reportable transactions; however, the income from them is shown.

In 2006 and prior years, in the case of the whole life insurance policies shown on Lines #70 through #74 of this report, the "Income" shown had been the increase in cash value in each policy. In 2007, I began to apply dividends on the policies to reduce policy loans, and since then the increase in cash value is no longer the same as the dividend on each policy. As in my reports for 2007 and thereafter, the "Income" shown is the dividend on each policy, and not the cash value increase, as the former is a better indication of the income on each policy. The value shown for each policy is its net cash value, after subtracting outstanding loans from the gross cash value.

Twelve equal monthly purchases of less than \$1,000 each were made of shares of the Fidelity UNIQUE 2015 Portfolio in the 529 Plan. They are not individually shown, since investments that do not exceed \$1,000 are not to be individually reported. The aggregate value of the plan at the end of the year, which is to be reported, reflects those purchases, and investment results with respect to them.

The limited partnership shown on line #52 made material distributions to me in 2014. It is obvious that an investment that could generate distributions at the "E" level has more than minimal value. But it is not publicly traded or otherwise valued, and, as in past years, only the book value of that investment is known. Thus the "J" Code, based on that book value, continues to be shown for 2014.

On 12/31/14, the money market account serving as the cash account for Rollover #3, at Morgan Stanley Smith Barney, was converted from Dreyfus Liquid Assets to the Morgan Stanley Liquid Asset Fund. Because the software has no obvious way for treating such a transaction, I deemed it to be a sale of Dreyfus Liquid Asset Fund and a purchase of Morgan Stanley Liquid Asset Fund.

For ease of entry, and review, account name headers appear for accounts that are not aggregate ownership accounts.

Re first comment paragraph in Comment Letter, addressing Part VII, line 35: The BlackRock Equity Dividend Fund-Inst Mut Fund Shs reported as sold (on 3/12/2014) from Rollover IRA #1 were inadvertently omitted from the reports for 2013 and 2012, where they would have been listed as holdings in Rollover IRA #1 (an aggregate account, so income and value would not have been shown) but with no reportable transactions. Those shares were acquired on 6/1/2011 in a non-private transaction at the same L level at which they were sold. The purchase was omitted in the report for 2011 as well, apparently either because it was confused with a similar purchase of that same security on the same date in Brokerage Acct. #1 (which was reported) or because it was confused with a purchase of BlackRock Global Allocat Fun-Inst Mut Fund Shs in that same account (which also was reported).

Re second comment paragraph in Comment Letter, addressing Part VII, line 50: Column C has now been completed, and shows a Value at the J level and a Value Method Code of T.

Re third comment paragraph in Comment Letter, addressing Part VII, lines 65, 96 and 97 of the prior report for 2013 (though Reviewer probably meant lines 64, 95, and 96, respectively): The Pimco Total Return Fund-Institutional Mut Fund Shares shown on line 64 were properly omitted in the report for 2014. But a sale of those shares was inadvertently omitted from the report for 2013. Those shares were sold on 6/13/2013 in a transaction at the L level in a non-private transaction; because the sale was at a loss, the Gain Code would have been left blank. The sale was apparently omitted because it was confused with a similar sale of the same security on the same date in Brokerage Acct. #1 (which was reported). The NH Highway 4.334% 2022 Muni Bonds shown on line 95 of the report for 2013 and the King & Snohomish Counties WA 4.821% 2022 Muni Bonds shown on line 96 of the report for 2013 were still held at the end of 2014, and inadvertently were dropped in the preparation of the report for 2014. They have been added at the end of Part VII, so as not to change the numbering for the transactions previously listed.

Re fourth comment paragraph in Comment Letter, addressing Part VII lines 38, 88 and 91 of the prior report for 2013 (though Reviewer probably meant lines 37, 87, and 90-92, respectively): The securities listed on these lines, having been sold in their entirety in 2013, were inadvertently still included in the report for 2014, and should be deemed to be deleted in the report for 2014. They have not been physically deleted in this amended report, however, so as not to change the numbering for the other transactions listed.

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Gerber, Robert E.

Date of Report

11/27/2015

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Robert E. Gerber**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544