

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Favro, Gary L.	2. Court or Organization U.S. District Court, Northern District of New York	3. Date of Report 5/15/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge - Part Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 14 Durkee Street, Suite 360 Plattsburgh, New York 12901		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Law Offices of Gary L. Favro PLLC	Sole Member
2. YMCA of Plattsburgh NY	Director
3. CVPH Medical Center	Advisory Board Member
4. Glens Falls National Bank and Trust Company	Advisory Board Member
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2014	Law Offices of Gary L. Favro PLLC	\$387,849.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2014	Plattsburgh City School District - wages - teacher
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Office Building Plattsburgh NY, Clinton County	E	Rent	M	W					
2. Trust 1 GFNB Money Purchase Retirement Plan									
3. - Black Rock	A	Dividend	L	T					
4. - Fidelity High Income Fund	A	Dividend	J	T					
5. - Fidelity Capital & Income Fund	A	Dividend	K	T	Buy	12/23/14	J		Trust 1 Retirement Plan
6. - North Country Intermediate Bond Fund	A	Dividend	L	T	Buy	12/23/14	J		Trust 1 Retirement Plan
7. - Dodge & Cox Stock Fund	A	Dividend	K	T					
8. - North Country Equity Growth Fund	A	Dividend	M	T					
9. - Nuveen Preferred Securities -I	A	Dividend	K	T					
10. - T Rowe Price Growth Stock	A	Dividend			Sold	12/23/14	K	A	Trust 1 Retirement Plan
11. - Vanguard Mid Cap Index - Adm.	A	Dividend	K	T					
12. - Vanguard Small Cap Index - Adm.	A	Dividend	J	T					
13. - Vanguard 500 Index Fund - Adm.	A	Dividend	K	T	Buy	12/23/14	K		Trust 1 Retirement Plan
14. - Fidelity Overseas Fund	A	Dividend	J	T					
15. - Vanguard Total - International Stock Index - Adm.	A	Dividend	J	T					
16. MetLife Universal Life Ins. Policy	B	Dividend	L	T					
17. GFNB Bank Accounts	B	Interest	N	T					

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market

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☐ NONE (No reportable income, assets, or transactions.)

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. DFCU IRA & Share accounts	A	Interest	J	T					
19. Trust 2 HR-10 Keogh Retirement Plan Lincoln Trust									
20. - Money Market Pershing LLC	A	Interest			Sold	12/17/14	J	A	Trust 2 Retirement Plan
21. - American Funds: Growth Fund of America	C	Dividend	K	T	Buy	12/17/14	K		Trust 2 Retirement Plan
22. - American Funds: New Perspective	C	Dividend	K	T	Buy	12/17/14	K		Trust 2 Retirement Plan
23. - American Funds: Investment Company of America	C	Dividend	K	T	Buy	12/18/14	K		Trust 2 Retirement Plan
24. - American Funds: Washington Mutual	C	Dividend	L	T	Buy	12/17/14	L		Trust 2 Retirement Plan
25. - Eaton Vance Worldwide	A	Dividend	K	T					
26. - Franklin Templeton Global Bond	B	Dividend	K	T					
27. Abbott Labs	A	Dividend	J	T					
28. Arbuie	A	Dividend	J	T					
29. AT&T	A	Dividend	J	T					
30. Colgate	A	Dividend	J	T					
31. Facebook	A	Dividend	J	T					
32. General Electric	A	Dividend	J	T					
33. Kellogg	A	Dividend	J	T					
34. Microsoft	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. Proctor & Gamble	A	Dividend	J	T					
36. Southern Co.	A	Dividend	L	T					
37. United Health	A	Dividend	K	T					
38. Verizon	A	Dividend	K	T					
39. Broker Cash; Lincoln Trust	A	Interest			Sold	12/17/14	J	A	Trust 2 Retirement Plan
40. Prudential Premier Retirement variable annuity series B	E	Dividend	N	T					
41. Pacific Life Pacific Voyages Annuity	D	Dividend	M	T					
42. Columbia Property Trust Inc.	A	Dividend	K	T					
43. Inland America American Real Estate Inc	A	Dividend	L	T					
44. Community Bank	A	Interest	K	T					
45. NBT Bank	A	Interest	K	T					
46. Google A	A	Dividend	K	T	Buy	02/14/14			Retirement Plan
47. Google C	A	Dividend	K	T	Buy	04/08/14			Retirement Plan
48.									
49.									
50.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part III A: compensation from law practice before payment of taxes and pension contribution for legal services rendered to various clients.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Gary L. Favro

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544