

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Kelly, Claire R.	2. Court or Organization U.S. Court of International Trade	3. Date of Report 05/01/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Court of International Trade Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address One Federal Plaza, New York, NY 10278-0001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board Member	Brooklyn Law School
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2015	Brooklyn Law School, Adjunct Professor Salary	\$17,500.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	Hewlett-Woodmere Union Free School District - Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				
6.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Citibank - Cash Accounts	A	Interest	L	T					
2. Nassau Educators Federal Credit Union - Cash Account	A	Interest	J	T					
3. BROKERAGE ACCCOUNT #1 (H)									
4. - Allianz NFJ Small Cap Value Fund	B	Dividend	J	T					
5. - American Century Heritage Fund	B	Dividend	K	T					
6. - Davis New York Venture Fund	D	Dividend	K	T					
7. - American Funds Europacific Growth Fund	A	Dividend	K	T					
8. - Gabelli Value Fund	B	Dividend	J	T					
9. - American Funds Growth Fund of America	C	Dividend	K	T					
10. - Oppenheimer Limited Term New York Muni Fund	A	Dividend	J	T					
11. - American Funds Washington Mutual Investors	C	Dividend	K	T					
12. - Raymond James Cash Account	A	Interest	J	T					
13. IRA / #1 (H)									
14. - Furmanite Corp. Stock (common)		None	J	T					
15. - Revlon Inc. Stock (common)		None	K	T					
16. - American Funds American High Interest Trust	A	Dividend	J	T					
17. - American Funds Bond Fund of America	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. - American Funds Europacific Growth Fund	A	Dividend	K	T					
19. - Gabelli Value Fund	B	Dividend	J	T					
20. - American Funds - Growth Funds of America	D	Dividend	L	T					
21. - American Funds New Perspective Fund	A	Dividend	J	T					
22. - RS Small Cap Growth Fund	A	Dividend	J	T					
23. - American Funds Washington Mutual Investors	C	Dividend	L	T					
24. Raymond James Bank Deposit Program	A	Interest	L	T	Buy	12/16/15	K		
25. COLLEGE FUND / #1 (H)									
26. - JP Morgan NY 529 Moderate Growth Age Based 6-9 CI B Fund		None	J	T	Redeemed (part)	02/19/15	J		
27. - JP Morgan NY 529 Moderate Growth Age Based 6-9 Port CL A Fund		None	K	T	Spinoff (from line 26)	02/19/15	J		
28. IRA / #2 (H)									
29. - Fidelity Contrafund	D	Dividend	M	T					
30. - Fidelity Export & Multinational Fund	A	Dividend	J	T					
31. - Fidelity Low Priced Stock Fund	C	Dividend	M	T					
32. - Fidelity Diversified International Fund	A	Dividend	L	T					
33. - Fidelity Pacific Basin Fund	C	Dividend	L	T					
34. BROKERAGE ACCOUNT #2 (H)									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. - TD Ameritrade - Cash Account	A	Interest	J	T					
36. BROKERAGE ACCOUNT #3 (H)									
37. - TD Ameritrade Cash Account	A	Interest	J	T					
38. - Citigroup Inc Stock (common)	A	Dividend	J	T					
39. - General Electric Stock (common)	A	Dividend	K	T					
40. CUSTODIAL ACCOUNT / #1 (H)									
41. - General Electric Stock (common)	A	Dividend	K	T					
42. IRA / #3 (H)									
43. - CREF Stock		None	M	T					
44. - CREF Growth		None	M	T					
45. - CREF Equity Index		None	M	T					
46. - CREF Global Equities		None	M	T					
47. - TIAA Real Estate		None	K	T					
48. - CREF Bond Market		None	L	T					
49. Met Life - whole life / life insurance	A	Dividend	J	T					
50. Note - W.J. Vollack - loan		None	J	T					
51. Series EE US Savings Bond		None	J	T					

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52.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Claire R. Kelly**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544