

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Battani, Marianne O.	2. Court or Organization USDC, Eastern District of MI	3. Date of Report 05/03/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge, senior status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 231 West Lafayette Detroit, Michigan 48226		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	1982	State of Michigan Defined Benefit Plan (pension)
2.	1982	County of Wayne Defined Benefit Plan (pension)
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2017	Michigan Judges' Retirement System	\$36,311.16
2. 2017	Wayne County Retirement System	\$18,383.04
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. FIDELITY INDIVIDUAL ACCOUNT (H)									
2. -Fidelity Cash Reserves Mutual Fund	A	Dividend	K	T					
3. -Fidelity Core Account - Cash	A	Interest	K	T					
4.									
5. CHASE BANK (cash accounts)	A	Interest	N	T					
6.									
7. MassMutual: whole life policy	A	Dividend	J	T					
8.									
9. INDEPENDENT FINANCIAL GROUP BROKERAGE ACCOUNT (H)									
10. -Franklin Convertible Secs Fund Class A	A	Dividend	J	T					
11. -Franklin Michigan Tax-Free Income Fund Class A	A	Dividend	J	T					
12. -Hartford Equity Income Fund Class A	B	Dividend	K	T	Buy (add'l)	02/22/17	J		
13. Invesco Unit Trs Unit 1630 High Income Allocation	A	Dividend	J	T					
14. Wayne St Univ Mich Univ Revs Rfdg-Gen Ser A	A	Interest	J	T					
15. -Dreyfus Ins Deposit Program C	A	Interest	J	T					
16. -American Short-Term Tax-Exempt Bond Fund Class A	A	Dividend			Sold	10/30/17	J		
17. -American High-Income Municipal Bond Fund Class A	A	Dividend	J	T	Sold (part)	10/27/17	J		

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Limited Term Tax Exempt Bond Fund of America	B	Dividend			Sold	10/30/17	K		
19. -Putnam Master Inter Income Trust	A	Dividend	J	T	Buy	02/24/17	J		
20.					Buy (add'l)	10/30/17	J		
21. -Pimco Income Fund Class A	A	Dividend	J	T	Buy	10/27/17	J		
22. -Fundamental Investors Class A	B	Dividend	K	T	Buy	10/30/17	K		
23. -Washington Mutual Investors Fund Class A	A	Dividend	K	T	Buy	10/30/17	J		
24.									
25. INDEPENDENT FINANCIAL GROUP IRA (H)									
26. -American Balanced Fund Class A	D	Dividend	M	T					
27. -American Funds Global Balanced Fund Class A	A	Dividend	K	T					
28. -American High Income Trust Class A	A	Dividend			Sold	02/21/17	J		
29. -American Mutual Fund Class A	D	Dividend	L	T					
30. -The Bond Fund of America Class A	A	Dividend			Sold	02/21/17	J		
31. -Build Amer Bds Income Tr Unit 61	A	Dividend	J	T					
32. -Capital Income Builder Fund Class A	C	Dividend	L	T					
33. -Capital World Fund Class A	A	Dividend	J	T	Sold (part)	02/21/17	J		
34. -Europacific Growth Fund Class A	A	Dividend	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000	R = Cost (Real Estate Only)	P4 = More than \$50,000,000	S = Assessment	T = Cash Market
(See Column C2)	Q = Appraisal	V = Other	W = Estimated		
	U = Book Value				

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35. -First Tr Combined Ser Unit 354 Build Amer Bds Port Ser 28	A	Dividend	J	T					
36. -Franklin Balanced Fund Class A	A	Dividend			Sold	02/21/17	J		
37. -Franklin Small-Cap Value Fund Class A					Sold	07/06/17	K		
38. -Fundamental Investors Class A	D	Dividend	M	T					
39. -The Growth Fund of America	B	Dividend	K	T					
40. -The Hartford Mid-Cap Value Fund Class A					Sold	07/06/17	K		
41. -The Hartford Balanced Income Fund Class A	A	Dividend	J	T					
42. -The Income Fund of America Class A	C	Royalty	M	T					
43. -Investment Grade Income Tr 10-20 Yr Unit Ser 2	A	Dividend	J	T					
44. -Investment Grade Income Tr 10-20 Yr Unit Ser 6	A	Dividend	J	T					
45. -The New Economy Fund Class A	C	Dividend	K	T					
46. -New World Fund Class A	A	Dividend	K	T					
47. -Dreyfus Ins Deposit Program C	A	Interest	J	T					
48. -Ishares TR Core S&P Mid Cap ETF	A	Dividend	K	T	Buy	07/10/17	K		
49. -Ishares TR Core S&P Small Cap ETF	A	Dividend	K	T	Buy	07/10/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Marianne O. Battani**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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