

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Donald, Bernice B.	2. Court or Organization Court of Appeals, Sixth	3. Date of Report 03/02/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Court of Appeals, Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address Court of Appeals 167 N. Main Street, Suite # 1132 Clifford Davis/Odell Horton Federal Building Memphis, TN 38103		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. President	American Bar Foundation
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

Donald, Bernice B.

Date of Report

03/02/2015

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Amercian Bar Assoc. ("ABA")	Jan. 17-19, 2013	Marcos Island, FL	Board Meeting, Litigation Section	Airfare, food, & lodging
2. ABA	Feb. 6-11, 2013	Dallas, TX	Mid-Year ABA meeting	Air, food & lodging
3. First Amendment Center	Feb. 21-22, 2013	Nashville, TN	Moot Court, 1st Amendment	Air, hotel, & food
4. Alabama Moot Court Competition	March 20, 2013	Tuscaloosa, AL	Moot Court Competition, Judge	Air, hotel & food
5. ABA Labor & Employment Law Winter Meeting, Speaker	April 4-6, 2013	Savannah, GA	Speaker	Air, hotel & food

FINANCIAL DISCLOSURE REPORT

Page 3 of 8

Name of Person Reporting

Donald, Bernice B.

Date of Report

03/02/2015

6.	Lile Moot Court Competition	April 6, 2013	Charlottesville, VA	Judge	Air, hotel & food
7.	Consortium on Fairness in Courts	March 20-22, 2013	Washington, D.C.	Speaker on Cultural Issues	Air, hotel & food
8.	American Bar Foundation	April 25-26, 2013	Chicago, IL	Board Meeting	Air, hotel & food
9.	Center for Legal Inclusiveness	May 5-6, 2013	Denver, CO	Speaker	Air, hotel & food
10.	American Bar Association	May 16-18, 2013	Palm Beach, FL	Speaker	Air, hotel & food
11.	American Law Institute	May 20-22, 2013	Washington, DC	New Member Meeting	Air, hotel & food
12.	ABE Board of Directors	June 19, 2013	Jackson, WY	Directors Meeting	Air, hotel & food, ABF
13.	National Employment Law Convention	June 26-28, 2013	Denver, CO	Speaker	Air, hotel & food
14.	Appellate Judges Seminar (Federal Judicial Center)	June 26-29, 2013	New York, NY	Training	Air, hotel & food
15.	ABA Annual Meeting	August 7-12, 2013	San Francisco, CA	Annual meeting	Air, hotel & food
16.	Nebraska Bar Legal Diversity Summit	September 4-6, 2013	Omaha & Lincoln, NE	Keynote speaker & law school speaker	Air, hotel & food
17.	ABA	Oct. 3-5, 2013	Lexington, KY	Fall meeting, G P Solo	Air, hotel & food
18.	Federal Bar Association, MI	Oct. 14-16, 2013	Detroit, MI	Speaker	Air, hotel & food
19.	American Bar Foundation	Oct. 16-18, 2013	Chicago, IL	Fall meeting, Presiding Officer	Air, hotel & food
20.	ABA Board of Governors	November 14-17, 2013	New York, NY	Board of Governors, ABF representative	Air, hotel & food

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

Donald, Bernice B.

Date of Report

03/02/2015

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

Name of Person Reporting

Donald, Bernice B.

Date of Report

03/02/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. First Horizon	B	Dividend	J	T					
2. Proctor & Gamble	D	Distribution	J	T					
3. Reynolds American Inc.	C	Dividend	J	T					
4. Ford Motor Co.	B	Dividend	J	T					
5. Pioneer Cash Reserve	C	Dividend	J	T					
6. Facebook		None	K	T					
7. Waddell & Reed Investment Account (H)									
8. IVY Balanced Fund A	A	Dividend	J	T	Buy	01/14/13	J		
9. IVY Internationa CORE Fund A	A	Dividend	J	T	Buy	01/14/13	J		
10. IVY Global Nat Resources Fund A	A	Dividend	J	T	Buy	01/14/13	J		
11. IVY MidCap Growth Fund A	A	Dividend	J	T	Buy	01/14/13	J		
12. IVY Science & Tech Fund	A	Dividend	J	T	Buy	01/14/13	J		
13. Waddell & Reed Asset Strategy Fund A	A	Dividend	J	T	Buy	01/14/13	J		
14. Waddell & Reed Continental Income Fund A	A	Dividend	J	T	Buy	01/14/13	J		
15. Waddell & Reed Muni Bond Fund A	A	Dividend	K	T	Buy	01/14/13	K		
16. Waddell & Reed Tax Managed Equity Fund A	A	Dividend	J	T	Buy	01/14/13	J		
17. Waddell & Reed Value Fund A	B	Dividend	K	T	Buy	01/14/13	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 6 of 8

Name of Person Reporting

Donald, Bernice B.

Date of Report

03/02/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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18. Waddell & Reed Cash Management Fund A		None	J	T	Open	01/14/13	J		
19.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

Donald, Bernice B.

Date of Report

03/02/2015

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

Donald, Bernice B.

Date of Report

03/02/2015

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Bernice B. Donald**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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