

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Aron, Catharine R.	2. Court or Organization U S Bankruptcy Court MDNC	3. Date of Report 05/07/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address U. S. Bankruptcy Court P. O. Box 448 Greensboro, NC 27402		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 46

Name of Person Reporting

Aron, Catharine R.

Date of Report

05/07/2013

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2012	Valic-Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Middle District Bankruptcy Seminar	April 19-20, 2012	Aberdeen, NC	seminar	(lodging, food, transportation)
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 46

Name of Person Reporting

Aron, Catharine R.

Date of Report

05/07/2013

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 46

Name of Person Reporting

Aron, Catharine R.

Date of Report

05/07/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. New Bridge Bank money market	B	Interest	L	T					
2. Willow Creek (JRS) (partial interest)	B	Interest	J	W					
3.									
4.									
5.									
6. AIG-Valic Aim Intern.ADR Growth Fund									
7. ADDYY	A	Dividend	J	T					
8. AMX	A	Dividend	J	T	Buy (add'l)	04/05/12	J		
9.					Buy (add'l)	05/02/12	J		
10.					Sold (part)	08/01/12	J		
11. BRGY	A	Dividend	J	T	Buy (add'l)	09/27/12	J		
12. BNPQY	A	Dividend			Sold	05/17/12	J		
13. BBD	A	Dividend	J	T	Buy (add'l)	05/22/12	J		
14. BAMXY	A	Dividend			Sold	01/04/12	J	A	
15. BHP	A	Dividend	J	T					
16. BTI	A	Dividend	J	T	Buy (add'l)	12/24/12	J		
17. BZLFY	A	Dividend	J	T	Sold (part)	07/17/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P = \$250,000,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 46

Name of Person Reporting

Aron, Catharine R.

Date of Report

05/07/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. CEO	A	Dividend			Sold	01/12/12	J	A	
19. CMXHY	A	Dividend			Sold	01/12/12	J		
20. CNI	A	Dividend	J	T					
21. CAJ		None	J	T	Sold (part)	05/17/12	J		
22. CVE	A	Dividend	J	T					
23. CPYYY	A	Dividend	J	T					
24. CHEUY	A	Dividend	J	T					
25. CCLAY	A	Dividend	J	T	Sold (part)	07/30/12	J		
26. CCH	A	Dividend	J	T					
27. CMPGY	A	Dividend	J	T					
28. DANOY	A	Dividend			Sold (part)	06/21/12	J	A	
29.					Sold (part)	07/05/12	J	A	
30.					Sold	08/13/12	J		
31. DNZOY	A	Dividend	J	T					
32. ERIC		None	J	T	Sold (part)	02/07/12	J	A	
33. FANUY		None	J	T	Buy (add'l)	08/10/12	J		
34. FMX	A	Dividend	J	T	Sold (part)	04/23/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 46

Name of Person Reporting

Aron, Catharine R.

Date of Report

05/07/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35.					Sold (part)	08/06/12	J	A	
36. FMS		None	J	T					
37. OGZPY		None	J	T					
38. TV		None	J	T	Buy (add'l)	05/04/12	J		
39.					Buy (add'l)	07/13/12	J		
40. HUWHY	A	Dividend	J	T	Sold (part)	08/02/12	J		
41. ITYBY	A	Dividend	J	T	Buy (add'l)	08/10/12	J		
42. INFY	A	Dividend			Sold	01/26/12	J	A	
43. JBAXY		None	J	T					
44. KPELY	A	Dividend	J	T					
45. KGFHY	A	Dividend	J	T	Buy (add'l)	07/16/12	J		
46.					Sold (part)	08/31/12	J	A	
47. KMTUY**corrects spelling from KMYUY	A	Dividend	J	T					
48. AHONY	A	Dividend			Sold	08/31/12	J	A	
49. NSRGY		None	J	T					
50. NJ	A	Dividend			Sold	12/28/12	J		
51. NVS	A	Dividend	J	T	Sold (part)	05/01/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 46

Name of Person Reporting

Aron, Catharine R.

Date of Report

05/07/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. NVO	A	Dividend	J	T	Sold (part)	05/03/12	J	A	
53.					Sold (part)	08/10/12	J	A	
54. PUBGY		None	J	T	Buy (add'l)	03/06/12	J		
55.					Buy (add'l)	04/13/12	J		
56. RUK	A	Dividend	J	T	Buy (add'l)	02/21/12	J		
57.					Buy (add'l)	07/30/12	J		
58. RHBY	A	Dividend	J	T	Buy (add'l)	09/07/12	J		
59.					Buy (add'l)	10/23/12	J		
60. RDSB		None	J	T					
61. SAP	A	Dividend	J	T	Buy (add'l)	05/21/12	J		
62.					Buy (add'l)	06/05/12	J		
63. SHPGY	A	Dividend	J	T					
64. SNN	A	Dividend	J	T	Buy (add'l)	09/18/12	J		
65. SYT	A	Dividend	J	T					
66. TSM	A	Dividend	J	T	Sold (part)	06/05/12	J	A	
67.					Sold (part)	08/09/12	J	A	
68. TLM	A	Dividend			Sold	03/30/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 46

Name of Person Reporting

Aron, Catharine R.

Date of Report

05/07/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. TSCDY	A	Dividend			Sold (part)	02/17/12	J		
70.					Sold	08/03/12	J		
71. TEVA	A	Dividend	J	T	Buy (add'l)	08/06/12	J		
72. TOT	A	Dividend			Sold	07/30/12	J		
73. TM	A	Dividend	J	T					
74. TRP	A	Dividend	J	T	Sold (part)	07/17/12	J	A	
75. UN	A	Dividend	J	T					
76. VIP		None			Sold	08/23/12	J		
77. VOD	A	Dividend			Sold (part)	03/27/12	J	A	
78.					Sold	05/17/12	J	A	
79. VOLVY		None	J	T	Buy (add'l)	09/26/12	J		
80. WPPGY	A	Dividend	J	T					
81. ABB	A	Dividend	J	T					
82. AMADY	A	Dividend	J	T	Buy (add'l)	02/21/12	J		
83. BMBLY	A	Dividend	J	T	Buy (add'l)	08/20/12	J		
84. CGEMY	A	Dividend	J	T					
85. CHL	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 46

Name of Person Reporting

Aron, Catharine R.

Date of Report

05/07/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

86. CIOXY	A	Dividend	J	T					
87. GSK	A	Dividend			Sold	07/27/12	J	A	
88. GIB	A	Dividend	J	T	Buy (add'l)	05/17/12	J		
89.					Sold (part)	06/22/12	J	A	
90. LRLCY		None	J	T					
91. SU	A	Dividend	J	T	Buy (add'l)	05/22/12	J		
92. SWDBY		None	J	T	Buy (add'l)	05/23/12	J		
93.					Sold (part)	09/12/12	J		
94. VLKPY		None	J	T	Buy (add'l)	04/26/12	J		
95.					Buy (add'l)	06/06/12	J		
96. WYGPY		None	J	T	Buy (add'l)	02/06/12	J		
97. YMDAF		None			Sold	12/03/12	J		
98. SBGSY		None	J	T	Buy (add'l)	05/16/12	J		
99.									
100. xAVGO	A	Dividend	J	T	Buy	11/05/12	J		
101.					Buy (add'l)	12/18/12	J		
102. xBIDU		None	J	T	Buy	07/12/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 46

Name of Person Reporting

Aron, Catharine R.

Date of Report

05/07/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103.					Buy (add'l)	08/31/12	J		
104.					Buy (add'l)	10/10/12	J		
105.					Buy (add'l)	11/13/12	J		
106.					Buy (add'l)	12/06/12	J		
107. xBSYBY		None	J	T	Buy	02/16/12	J		
108.					Buy (add'l)	08/08/12	J		
109.					Buy (add'l)	12/28/12	J		
110. xDBOEY	A	Dividend	J	T	Buy	11/26/12	J		
111. xGXYEY		None	J	T	Buy	04/20/12	J		
112.					Buy (add'l)	05/07/12	J		
113. xIDCBY	A	Dividend	J	T	Buy	02/10/12	J		
114.					Buy (add'l)	03/12/12	J		
115.					Buy (add'l)	08/08/12	J		
116.					Buy (add'l)	11/01/12	J		
117. xPSO	A	Dividend	J	T	Buy	05/15/12	J		
118. xPOT	A	Dividend	J	T	Buy	03/15/12	J		
119.					Buy (add'l)	05/15/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 46

Name of Person Reporting

Aron, Catharine R.

Date of Report

05/07/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Buy (add'l)	08/28/12	J		
121.									
122. SSGAMoney Market Fund	A	Int./Div.	J	T					
123.									
124. AIG- Valic money market account	A	Interest	J	T					
125.									
126. AIG/Valic Select IRA 1 Transamerica Large Growth									
127. AMZN		None			Sold (part)	01/18/12	J	B	
128.					Sold	03/08/12	J	B	
129. AAPL	A	Dividend	J	T					
130. BWA		None	J	T					
131. CAT	A	Dividend	J	T					
132. QCOM	A	Dividend	J	T					
133. DE		None			Sold	07/17/12	J		
134. PCP		None			Sold	06/28/12	J	A	
135. CAM	A	Dividend	J	T					
136. CHKP		None			Sold	06/27/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 46

Name of Person Reporting

Aron, Catharine R.

Date of Report

05/07/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. A		None			Sold	12/10/12	J		
138. ADI	A	Dividend			Sold	01/06/12	J		
139. APA	A	Dividend			Sold	06/11/12	J		
140. ADSK		None			Sold	12/10/12	J		
141. BRCM	A	Dividend			Sold	12/10/12	J		
142. CSX	A	Dividend			Sold	03/12/12	J		
143. CAT	A	Dividend	J	T					
144. CELG		None	J	T	Buy (add'l)	08/20/12	J		
145.					Buy (add'l)	10/11/12	J		
146. CERN		None	J	T	Buy (add'l)	09/11/12	J		
147.					Buy (add'l)	11/28/12	J		
148. DHR	A	Dividend			Sold	12/03/12	J	A	
149. EMC		None			Sold	12/10/12	J		
150. FMC	A	Dividend	J	T					
151. HAL	A	Dividend			Sold	09/07/12	J		
152. INFA		None			Sold	01/06/12	J		
153. ICE		None	J	T	Buy (add'l)	03/22/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 46

Name of Person Reporting

Aron, Catharine R.

Date of Report

05/07/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. NOVO	A	Dividend			Sold	12/10/12	J		
155. ORCL	A	Dividend			Sold	01/04/12	J		
156. PX	A	Dividend	J	T					
157. RHT		None	J	T	Sold (part)	05/16/12	J	A	
158. SBUX	A	Dividend	J	T					
159. V	A	Dividend	J	T					
160. TDC		None	J	T					
161. JOYG	A	Dividend			Sold	08/21/12	J		
162. TIBX		None			Sold	12/13/12	J		
163. AGN		None	J	T	Buy (add'l)	02/16/12	J		
164. ARBA		None			Sold	01/06/12	J		
165. DELL		None			Sold	05/16/12	J		
166. EBAY		None	J	T	Buy (add'l)	01/24/12	J		
167. SLB	A	Dividend			Sold	12/10/12	J		
168. VFC	A	Dividend	J	T					
169. MON		None	J	T	Buy (add'l)	01/10/12	J		
170.					Buy (add'l)	06/14/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 46

Name of Person Reporting

Aron, Catharine R.

Date of Report

05/07/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. NUAN		None	J	T	Buy (add'l)	02/15/12	J		
172.					Buy (add'l)	04/27/12	J		
173.					Buy (add'l)	08/10/12	J		
174. HOT	A	Dividend	J	T					
175. TIF		None			Sold	12/10/12	J		
176. NLSN		None			Sold	05/15/12	J		
177. UNH		None			Sold	11/20/12	J	A	
178. CVS		None	J	T	Buy (add'l)	01/10/12	J		
179. ROK		None	J	T	Buy (add'l)	01/04/12	J		
180. xSPLS		None	J	T	Buy	01/23/12	J		
181.					Buy (add'l)	04/25/12	J		
182. xGNTX		None			Buy	03/05/12	J		
183.					Sold	07/26/12	J		
184. xJEF		None			Buy	03/28/12	J		
185.					Sold	05/11/12	J		
186. xLOW		None			Buy	04/25/12	J		
187.					Sold	08/20/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 15 of 46

Name of Person Reporting

Aron, Catharine R.

Date of Report

05/07/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. xMNST		None			Buy	05/18/12	J		
189.					Sold	08/09/12	J		
190. xAKAM		None	J	T	Buy	12/10/12	J		
191. xAMGN	A	Dividend	J	T	Buy	06/28/12	J		
192.					Buy (add'l)	07/17/12	J		
193. xDIS	A	Dividend	J	T	Buy	05/11/12	J		
194. xECL	A	Dividend	J	T	Buy	01/12/12	J		
195.					Buy (add'l)	02/01/12	J		
196. xFIO		None	J	T	Buy	12/10/12	J		
197. xGILD		None	J	T	Buy	06/28/12	J		
198.					Buy (add'l)	07/17/12	J		
199. xGOOG		None	J	T	Buy	12/05/12	J		
200. xJEC		None	J	T	Buy	12/21/12	J		
201. xLINTA		None	J	T	Buy	10/04/12	J		
202. xOCN		None	J	T	Buy	10/23/12	J		
203.					Buy (add'l)	11/28/12	J		
204. xPII		None	J	T	Buy	11/26/12	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 16 of 46

Name of Person Reporting

Aron, Catharine R.

Date of Report

05/07/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. xQQQ		None	J	T	Buy	12/05/12	J		
206. xSNA	A	Dividend	J	T	Buy	11/15/12	J		
207. xSW1		None	J	T	Buy	05/18/12	J		
208. xSPY		None	J	T	Buy	12/05/12	J		
209. xTRMB		None	J	T	Buy	08/20/12	J		
210.					Buy (add'l)	11/08/12	J		
211. xVAL	A	Dividend	J	T	Buy	11/15/12	J		
212. xWPI		None	J	T	Buy	05/11/12	J		
213.					Buy (add'l)	06/28/12	J		
214.									
215. SSGA Money Market	A	Interest	J	T					
216.									
217. AIG- Valic Select IRA 2 Columbia value and restruct									
218. ACE	A	Dividend	J	T	Sold (part)	9/28/12	J	A	
219.					Sold (part)	10/09/12	J	A	
220. AGCO		None	J	T	Sold (part)	3/07/12	J	A	
221.					Sold (part)	6/06/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 17 of 46

Name of Person Reporting

Aron, Catharine R.

Date of Report

05/07/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222.					Sold (part)	7/02/12	J	A	
223. ANR		None	J	T	Sold (part)	3/07/12	J		
224. AMX		None	J	T	Sold (part)	5/21/12	J		
225. ABC	A	Dividend	J	T	Sold (part)	6/18/12	J	A	
226.					Sold (part)	9/28/12	J	B	
227.					Buy (add'l)	11/12/12	J		
228. APC	A	Dividend	J	T	Sold (part)	8/02/12	J	A	
229. BAX	A	Dividend	J	T	Sold (part)	9/28/12	J		
230.					Sold (part)	10/09/12	J		
231. CE	A	Dividend	J	T	Sold (part)	5/21/12	J	A	
232.					Sold (part)	6/16/12	J	A	
233.					Sold (part)	7/17/12	J		
234. COP	A	Dividend	J	T	Sold (part)	5/21/12	J		
235.					Sold (part)	7/17/12	J		
236.					Sold (part)	10/17/12	J		
237. DVN	A	Dividend	J	T	Sold (part)	5/21/12	J		
238.					Sold (part)	7/17/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 18 of 46

Name of Person Reporting

Aron, Catharine R.

Date of Report

05/07/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. ETN	A	Dividend	J	T	Sold (part)	6/27/12	J		
240.					Sold (part)	7/17/12	J		
241.					Sold (part)	10/17/12	J	A	
242. FCX	A	Dividend	J	T	Sold (part)	5/21/12	J		
243.					Sold (part)	7/17/12	J		
244.					Sold (part)	11/15/12	J		
245. HRS	A	Dividend	J	T	Sold (part)	6/06/12	J		
246.					Sold (part)	6/18/12	J		
247. IBM	A	Dividend	J	T	Sold (part)	7/30/12	J	A	
248.					Sold (part)	9/28/12	J	A	
249. IVZ	A	Dividend	J	T	Sold (part)	9/28/12	J		
250. JMP	A	Dividend	J	T					
251. LO	A	Dividend	J	T	Sold (part)	05/21/12	J	A	
252.					Sold (part)	08/02/12	J	A	
253.					Sold (part)	10/09/12	J	A	
254. MET	A	Dividend	J	T	Sold (part)	09/28/12	J	A	
255.					Sold (part)	10/09/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 19 of 46

Name of Person Reporting

Aron, Catharine R.

Date of Report

05/07/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. NWL	A	Dividend	J	T	Sold (part)	06/22/12	J	A	
257.					Sold (part)	06/27/12	J	B	
258. PBR	A	Dividend	J	T	Sold (part)	05/21/12	J		
259.					Sold (part)	07/16/12	J		
260. TJX	A	Dividend	J	T	Sold (part)	06/22/12	J	B	
261.					Sold (part)	08/02/12	J	A	
262.					Sold (part)	09/18/12	J	A	
263.					Sold (part)	09/28/12	J	C	
264.					Sold (part)	10/09/12	J	A	
265. TYC	A	Dividend	J	T	Sold (part)	05/03/12	J	A	
266.					Sold (part)	09/28/12	J	A	
267. UNP	A	Dividend	J	T	Sold (part)	08/27/12	J	B	
268.					Sold (part)	09/28/12	J	B	
269. MA	A	Dividend	J	T	Sold (part)	09/28/12	J	A	
270.					Sold (part)	10/09/12	J	A	
271. WCRX	A	Dividend	J	T	Sold (part)	05/03/12	J		
272.					Sold (part)	06/06/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 20 of 46

Name of Person Reporting

Aron, Catharine R.

Date of Report

05/07/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273. XRX	A	Dividend	J	T	Sold (part)	06/13/12	J	A	
274. WY		None	J	T	Sold (part)	05/21/12	J	A	
275. CPA		None	J	T	Sold (part)	10/09/12	J	A	
276. SWK		None	J	T	Sold (part)	07/17/12	J		
277.					Sold (part)	10/17/12	J		
278. CVX	A	Dividend	J	T					
279. CI	A	Dividend	J	T					
280. XEC	A	Dividend	J	T	Sold (part)	07/16/12	J		
281. CSCO	A	Dividend	J	T	Sold (part)	06/27/12	J		
282.					Sold (part)	09/05/12	J		
283. CLF	A	Dividend			Sold	06/06/12	J		
284. GOOG		None	J	T	Buy (add'l)	10/12/12	J		
285.					Buy (add'l)	11/30/12	J		
286. HAS	A	Dividend			Sold (part)	06/27/12	J		
287.					Sold	07/02/12	J		
288. MCP	A	Dividend			Sold	06/18/12	J		
289. PFE	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 21 of 46

Name of Person Reporting

Aron, Catharine R.

Date of Report

05/07/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. SM	A	Dividend			Sold	06/18/12	J		
291. V	A	Dividend			Sold	07/31/12	J	B	
292. x AON	A	Dividend	J	T	Buy	05/16/12	J		
293.					Buy (add'l)	09/13/12	J		
294. xCOV	A	Dividend	J	T	Buy	06/13/12	J		
295.					Buy (add'l)	07/30/12	J		
296. xDLPB		None	J	T	Buy	12/20/12	J		
297. xNLSN		None	J	T	Buy	06/01/12	J		
298. xABT	A	Dividend	J	T	Buy	06/22/12	J		
299. xAPA	A	Dividend	J	T	Buy	07/12/12	J		
300. xAAPL	A	Dividend	J	T	Buy	05/21/12	J		
301.					Buy (add'l)	05/22/12	J		
302.					Buy (add'l)	07/12/12	J		
303.					Sold (part)	10/17/12	J	A	
304. xBRKB		None	J	T	Buy	04/30/12	J		
305.					Buy (add'l)	09/13/12	J		
306. xBLK	A	Dividend	J	T	Buy	09/25/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 22 of 46

Name of Person Reporting

Aron, Catharine R.

Date of Report

05/07/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

307.					Buy (add'l)	12/20/12	J		
308. xCAT	A	Dividend	J	T	Buy	12/20/12	J		
309. xCELG		None	J	T	Buy	06/27/12	J		
310. xC	A	Dividend	J	T	Buy	09/25/12	J		
311.					Buy (add'l)	10/03/12	J		
312.					Buy (add'l)	12/20/12	J		
313. xCMCSA	A	Dividend	J	T	Buy	06/27/12	J		
314.					Sold (part)	09/18/12	J	A	
315.					Buy (add'l)	10/12/12	J		
316. xDEO	A	Dividend	J	T	Buy	10/03/12	J		
317.					Buy (add'l)	12/20/12	J		
318. xDISCA		None	J	T	Buy	06/22/12	J		
319. xDTV		None	J	T	Buy	07/12/12	J		
320. xDOW	A	Dividend	J	T	Buy	12/20/12	J		
321. xEMC		None	J	T	Buy	09/25/12	J		
322.					Buy (add'l)	12/20/12	J		
323. xEBAY		None	J	T	Buy	05/16/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 23 of 46

Name of Person Reporting

Aron, Catharine R.

Date of Report

05/07/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324.					Buy (add'l)	06/22/12	J		
325.					Sold (part)	09/28/12	J	A	
326. xESRX		None	J	T	Buy	05/16/12	J		
327.					Buy (add'l)	11/20/12	J		
328. xXOM	A	Dividend	J	T	Buy	07/12/12	J		
329. xFB		None	J	T	Buy	11/20/12	J		
330.					Buy (add'l)	11/27/12	J		
331. xFDX	A	Dividend	J	T	Buy	10/03/12	J		
332. xGE		None	J	T	Buy	12/20/12	J		
333. xGM		None	J	T	Buy	12/20/12	J		
334. xGS	A	Dividend	J	T	Buy	12/20/12	J		
335. xHAL	A	Dividend	J	T	Buy	07/30/12	J		
336. xHPQ	A	Dividend	J	T	Buy	11/27/12	J		
337. xHON	A	Dividend	J	T	Buy	10/03/12	J		
338. zJNJ	A	Dividend	J	T	Buy	06/13/12	J		
339.					Buy (add'l)	09/25/12	J		
340. xLOW	A	Dividend	J	T	Buy	08/30/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 24 of 46

Name of Person Reporting

Aron, Catharine R.

Date of Report

05/07/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
341.					Buy (add'l)	10/03/12	J		
342. xMRC		None	J	T	Buy	11/09/12	J		
343. xMCD	A	Dividend	J	T	Buy	08/22/12	J		
344.					Sold (part)	10/09/12	J	A	
345. xMSFT	A	Dividend	J	T	Buy	06/22/12	J		
346.					Buy (add'l)	08/30/12	J		
347. xMDLZ	A	Dividend	J	T	Buy	05/14/12	J		
348.					Buy (add'l)	06/13/12	J		
349.					Buy (add'l)	10/03/12	J		
350.					Sold (part)	11/15/12	J	A	
351. xNKE	A	Dividend	J	T	Buy	07/12/12	J		
352.					Buy (add'l)	09/25/12	J		
353. xNBL	A	Dividend	J	T	Buy	09/25/12	J		
354. xPEP	A	Dividend	J	T	Buy	06/13/12	J		
355.					Buy (add'l)	06/19/12	J		
356. xPM	A	Dividend	J	T	Buy	12/20/12	J		
357. xPG	A	Dividend	J	T	Buy	07/25/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 25 of 46

Name of Person Reporting

Aron, Catharine R.

Date of Report

05/07/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358.					Buy (add'l)	10/03/12	J		
359. xQCOM	A	Dividend	J	T	Buy	12/20/12	J		
360. xSWKS		None	J	T	Buy	10/03/12	J		
361.					Buy (add'l)	11/30/12	J		
362. xS		None	J	T	Buy	10/03/12	J		
363. xSWK	A	Dividend	J	T	Buy	09/25/12	J		
364. xSTT	A	Dividend	J	T	Buy	12/20/12	J		
365. xTGT	A	Dividend	J	T	Buy	07/11/12	J		
366.					Buy (add'l)	10/03/12	J		
367. xUTX	A	Dividend	J	T	Buy	09/25/12	J		
368. xVIAB	A	Dividend	J	T	Buy	05/16/12	J		
369.					Buy (add'l)	06/19/12	J		
370. xVOD	A	Dividend	J	T	Buy	11/12/12	J		
371. xWAG	A	Dividend	J	T	Buy	12/03/12	J		
372. xWFC	A	Dividend	J	T	Buy	10/03/12	J		
373. xWYNN	A	Dividend	J	T	Buy	09/25/12	J		
374.					Buy (add'l)	10/12/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 26 of 46

Name of Person Reporting

Aron, Catharine R.

Date of Report

05/07/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375.					Buy (add'l)	11/12/12	J		
376. SSGA Money Market	A	Interest	J	T					
377.									
378. AIG Valic IRA DBA DavidsonMid Cap									
379. ARG	A	Dividend	J	T	Sold (part)	04/11/12	J	A	
380. ADS		None	J	T	Sold (part)	05/08/12	J	A	
381.					Sold (part)	08/14/12	J	B	
382. CPLA		None	J	T	Sold (part)	07/19/12	J	B	
383. FCFC		None	J	T	Sold (part)	03/26/12	J	B	
384. FLIR		None	J	T	Sold (part)	02/17/12	J	B	
385.					Sold (part)	06/05/12	J		
386. GEOY		None			Sold (part)	08/03/12	J		
387.					Sold	11/16/12	J	A	
388. GDOT		None	J	T	Buy (add'l)	02/06/12	J		
389.					Buy (add'l)	07/03/12	J		
390.					Sold (part)	08/03/12	J		
391. HWCC	A	Dividend	J	T	Sold (part)	02/08/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 27 of 46

Name of Person Reporting

Aron, Catharine R.

Date of Report

05/07/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392.					Sold (part)	08/03/12	J		
393. INWK		None	J	T	Sold (part)	02/07/12	J	A	
394.					Buy (add'l)	03/30/12	J		
395.					Buy (add'l)	09/27/12	J		
396. MASI	A	Dividend	J	T	Buy (add'l)	02/21/12	J		
397.					Sold (part)	10/15/12	J		
398. NVEC		None	J	T	Sold (part)	06/13/12	J	A	
399. SPN		None	J	T	Buy (add'l)	03/22/12	J		
400.					Buy (add'l)	07/02/12	J		
401. SIVB		None	J	T	Sold (part)	05/08/12	J	B	
402.					Sold (part)	08/03/12	J	A	
403. WLL		None	J	T	Buy (add'l)	07/02/12	J		
404. ZOLL		None			Sold (part)	01/18/12	J	A	
405.					Sold (part)	03/29/12	J	A	
406.					Sold	04/19/12	J	B	
407. TRNO		None			Sold	01/13/12	J		
408. HLF	A	Dividend			Buy (add'l)	02/09/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 28 of 46

Name of Person Reporting

Aron, Catharine R.

Date of Report

05/07/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409.					Buy (add'l)	05/08/12	J		
410.					Sold (part)	11/27/12	J	A	
411.					Sold	12/28/12	J		
412. TRLG		None	J	T	Buy (add'l)	02/09/12	J		
413.					Buy (add'l)	09/04/12	J		
414.					Buy (add'l)	10/15/12	J		
415.					Sold (part)	11/23/12	J	A	
416. COBZ	A	Dividend	J	T	Sold (part)	08/03/12	J		
417. ATI	A	Dividend	J	T	Buy (add'l)	04/11/12	J		
418. TRCR		None			Sold	04/02/12	J	B	
419. LECO	A	Dividend			Sold (part)	07/30/12	J	A	
420.					Sold	10/23/12	J	A	
421. IMAX		None	J	T					
422. THO		None			Sold	03/20/12	J		
423. BRY	A	Dividend			Sold	07/12/12	J		
424. NILE	A	Dividend	J	T	Sold (part)	02/17/12	J	A	
425.					Sold (part)	08/29/12	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 29 of 46

Name of Person Reporting

Aron, Catharine R.

Date of Report

05/07/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
426.					Sold (part)	11/27/12	J		
427. DHX	A	Dividend	J	T	Buy (add'l)	01/19/12	J		
428.					Buy (add'l)	04/05/12	J		
429. FTNT	A	Dividend	J	T	Sold (part)	03/15/12	J	A	
430.					Buy (add'l)	11/08/12	J		
431. HCN	A	Dividend			Sold (part)	02/06/12	J	A	
432.					Sold	03/09/12	J	A	
433. LPLA	A	Dividend	J	T	Sold (part)	08/03/12	J		
434. LL	A	Dividend			Sold (part)	02/09/12	J		
435.					Sold (part)	07/18/12	J	A	
436.					Sold	09/04/12	J	B	
437. RP		None	J	T	Buy (add'l)	02/23/12	J		
438.					Buy (add'l)	03/09/12	J		
439.					Buy (add'l)	05/08/12	J		
440.					Sold (part)	07/03/12	J		
441.					Sold (part)	08/03/12	J		
442. RFMD	A	Dividend	J	T	Buy (add'l)	02/07/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

443.					Buy (add'l)	04/30/12	J		
444. SSD	A	Dividend	J	T	Sold (part)	10/18/12	J	A	
445. xWWD	A	Dividend	J	T	Buy	08/03/12	J		
446. xUSPH	A	Dividend	J	T	Buy	04/12/12	J		
447.					Buy (add'l)	11/23/12	J		
448. xRLOC		None	J	T	Buy	04/25/12	J		
449. xSREV		None	J	T	Buy	06/29/12	J		
450.					Buy (add'l)	08/09/12	J		
451.					Buy (add'l)	11/23/12	J		
452. xMCRS		None	J	T	Buy	08/24/12	J		
453.					Buy (add'l)	10/12/12	J		
454.					Buy (add'l)	11/13/12	J		
455. xRBA	A	Dividend	J	T	Buy	10/05/12	J		
456. xSCSS		None	J	T	Buy	12/28/12	J		
457. xIWM		None	J	T	Buy	12/21/12	J		
458. SSGAMoney Market Fund	A	Int./Div.	J	T					
459.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

460.									
461. NC HSG FIN BONDS	A	Interest	K	T					
462. Highwoods Pptys Inc	A	Dividend	K	T					
463. Hooker Furniture	A	Dividend	J	T					
464. Equitey One Inc	A	Dividend	K	T					
465. Carter Bank and Trust	B	Dividend	K	T					
466. xCalamos Growth Mutual Fund A	A	Int./Div.	J	T					
467. Mutual Series Mutual Shares A	A	Int./Div.	J	T					
468. Tempelton Growth Mutual Fund A	A	Int./Div.	J	T					
469. Franklin Income Mutual Fund A	A	Int./Div.	J	T					
470. VFA-IRA Alliance Bernstien International Value	A	Int./Div.	M	T					
471. Valic Freedom Plus IRA Annuity	A	Int./Div.	L	T					
472. AIG 401K									
473. BSCFX	A	Int./Div.	K	T					
474. JNTFX	A	Int./Div.	K	T					
475. VPMCX	A	Int./Div.	K	T					
476. VEXMX	A	Int./Div.	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

477. FTSE	A	Int./Div.	K	T					
478. TGVAX	A	Int./Div.	K	T					
479. VINIX	A	Int./Div.	K	T					
480. VWELX	A	Int./Div.	K	T					
481. MEIAX	A	Int./Div.	K	T					
482. JSIVX	A	Int./Div.	J	T					
483. VBMFX	A	Int./Div.	K	T					
484. AIG Stable Value Fund	A	Interest	J	T					
485. Sun America NQDA	A	Int./Div.	L	T					
486. Jackson National NQDA	A	Int./Div.	L	T					
487. VFA TransAmerica Laarge Growth	A	Int./Div.	N	T					
488. VFA Columbia Value	A	Int./Div.	M	T					
489. VFA Sterling Mid Cap Value	A	Int./Div.	M	T					
490. VFA GWK Small Core	A	Int./Div.	M	T					
491. VFA Nuveen Intermediate Muni	C	Interest	M	T					
492. VFA Intergrated Manager Account									
493. ABT	A	Dividend			Sold	12/14/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

494. ACE	A	Dividend			Sold	12/14/12	J	A	
495. ACT **Typo AET	A	Dividend			Sold	12/14/12	J	A	
496. MDRX		None			Sold	06/12/12	J		
497. AMZN		None			Sold	03/09/12	J	A	
498. APA	A	Dividend			Sold	06/14/12	J		
499. AMAT	A	Dividend			Sold	03/02/12	J		
500. ADM	A	Dividend			Sold	11/16/12	J		
501. ATW		None			Sold	12/28/12	J	A	
502. HE		None			Sold	12/28/12	J		
503. BBG		None			Sold	12/28/12	J		
504. BWA		None			Sold	12/28/12	J		
505. CAM		None			Sold	12/28/12	J		
506. GTLS		None			Sold	05/25/12	J		
507. CBE	A	Dividend			Sold	05/25/12	J	A	
508. GLW	A	Dividend			Sold	11/06/12	J		
509. CVS	A	Dividend	J	T	Sold (part)	12/28/12	J	A	
510. DVN	A	Dividend			Sold	05/01/12	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

511. EME		None			Sold	12/28/12	J	A	
512. FLEX		None			Sold	12/14/12	J		
513. FWRD	A	Dividend	J	T					
514. HAL	A	Dividend	J	T	Sold (part)	11/26/12	J		
515. HLIT		None			Sold	12/28/12	J		
516. HES	A	Dividend			Sold	05/01/12	J		
517. HON	A	Dividend	J	T					
518. IDCC	A	Dividend	J	T					
519. ITRI		None			Sold	12/28/12	J		
520. KBR	A	Dividend			Sold	12/14/12	J		
521. LAYN		None			Sold	06/25/12	J		
522. MDT	A	Dividend			Sold	12/14/12	J	A	
523. MMSI		None			Sold	12/28/12	J		
524. NOV	A	Dividend	J	T					
525. NAVG		None			Sold	12/28/12	J	A	
526. NSC	A	Dividend			Sold	12/14/12	J		
527. PRXL		None			Sold	07/12/12	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

528. PRAA		None			Sold	12/28/12	J	B	
529. PCP	A	Dividend			Sold	07/03/12	J	A	
530. QCOM	A	Dividend	J	T					
531. SBH		None			Sold	09/17/12	J	B	
532. SMTC		None			Sold	12/28/12	J	B	
533. SNHY	A	Dividend	J	T					
534. SPN		None			Sold	12/28/12	J	B	
535. TTMI		None			Sold	12/28/12	J		
536. TGT	A	Dividend			Sold	12/14/12	J	A	
537. TEVA	A	Dividend			Sold	10/26/12	J		
538. WU	A	Dividend			Sold	11/06/12	J		
539. TWX	A	Dividend			Sold	04/10/12	J	A	
540. TTC	A	Dividend	J	T	Sold (part)	12/28/12	J	B	
541. UN	A	Dividend			Sold	10/26/12	J	A	
542. UNP	A	Dividend			Sold	12/14/12	J	A	
543. UNFI		None			Sold	12/28/12	J	B	
544. VOD	A	Dividend			Sold	12/14/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
--	--	--	---	---	-------------------------

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

545. WMS		None			Sold	12/28/12	J		
546. WSH	A	Dividend			Sold	12/14/12	J		
547. SCMTX	B	Int./Div.	K	T	Sold (part)	12/26/12	J	B	
548. SRMST	A	Int./Div.	K	T	Buy (add'l)	11/23/12	J		
549. GATEX	A	Int./Div.			Buy (add'l)	11/27/12	J		
550.					Sold	12/28/12	K	B	
551. LZOEX	A	Int./Div.			Sold	12/26/12	J		
552. SSEMEX	A	Int./Div.			Sold (part)	11/21/12	J		
553.					Sold	12/26/12	J		
554. EFA	B	Int./Div.	K	T	Sold (part)	11/21/12	J		
555.					Sold (part)	12/26/12	J		
556. CRSOX	A	Int./Div.			Sold	11/21/12	J		
557. A	A	Dividend	J	T	Sold (part)	12/28/12	J		
558. AGN		None	J	T	Sold (part)	12/28/12	J	A	
559. AAPL	A	Dividend	J	T	Sold (part)	12/28/12	J	B	
560. ARBA		None			Sold	01/12/12	J		
561. BAX	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
562. BRCM	A	Dividend	J	T	Sold (part)	12/28/12	J		
563. CCC		None			Sold	12/28/12	J	A	
564. CAT	A	Dividend	J	T	Sold (part)	12/28/12	J	A	
565. CELG	A	Dividend			Buy (add'l)	08/24/12	J		
566.					Sold	12/28/12	J		
567. CERN		None			Sold (part)	01/30/12	J	A	
568.					Buy (add'l)	09/12/12	J		
569.					Sold	12/28/12	J	A	
570. CHKP		None			Sold	07/02/12	J		
571. CVX	A	Dividend			Sold	11/06/12	J	A	
572. CSX	A	Dividend			Sold	03/16/12	J	A	
573. DRH	A	Dividend			Sold	12/03/12	J		
574. DE	A	Dividend			Sold	07/23/12	J		
575. ETN	A	Dividend			Sold	12/14/12	J	A	
576. XFMC	A	Dividend			Sold	01/30/12	J	A	
577. HBHC	A	Dividend	J	T					
578. ICE		None			Sold	12/28/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
579. JOYG	A	Dividend			Sold	08/27/12	J		
580. LKFN	A	Dividend	J	T					
581. LNN	A	Dividend	J	T					
582. MET	A	Dividend			Sold	12/14/12	J		
583. MDS		None			Sold	01/10/12	J		
584. NYX	A	Dividend			Sold	12/14/12	J		
585. OXY	A	Dividend	J	T					
586. ORCL	A	Dividend	J	T	Sold (part)	01/10/12	J		
587. PX	A	Dividend	J	T					
588. PBH		None			Sold	12/28/12	J	B	
589. PRU		None			Sold	12/14/12	J		
590. RHT	A	Dividend			Sold	12/28/12	J	A	
591. ROK		None	J	T					
592. RDSA	A	Dividend			Sold	12/14/12	J		
593. SLB	A	Dividend	J	T					
594. SCHN	A	Dividend	J	T					
595. SBUX	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
596. STE	A	Dividend			Sold	03/22/12	J	A	
597. SYNA		None			Sold	12/28/12	J	A	
598. TDC		None			Sold	12/28/12	J	A	
599. THOR		None			Sold	12/28/12	J	A	
600. TIBX		None			Sold	12/28/12	J	A	
601. UNH		None			Sold	11/20/12	J	A	
602. VFC	A	Dividend	J	T					
603. V	A	Dividend	J	T					
604. xECL	A	Dividend	J	T	Buy	01/18/12	J		
605. xBRLI		None			Buy	01/23/12	J		
606.					Sold	12/28/12	J		
607. xSPLS		None			Buy	01/27/12	J		
608.					Sold	05/01/12	J		
609. xPRE	A	Dividend			Buy	01/31/12	J		
610.					Sold	08/23/12	J		
611. xSYMC		None			Buy	01/31/12	J		
612.					Sold	12/14/12	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
613. xCCL	A	Dividend			Buy	02/23/12	J		
614.					Sold	12/04/12	J	A	
615. xGNTX	A	Dividend			Buy	03/09/12	J		
616.					Sold	08/01/12	J		
617. xLOW	A	Dividend			Buy	03/19/12	J		
618.					Sold	08/23/12	J		
619. xDOW	A	Dividend			Buy	04/04/12	J		
620.					Sold	12/14/12	J	A	
621. xJEF		None			Buy	04/04/12	J		
622.					Sold	05/17/12	J		
623. xMNST		None			Buy	05/04/12	J		
624.					Sold	08/14/12	J		
625. xDIS	A	Dividend			Buy	05/17/12	J		
626.					Sold	12/28/12	J	A	
627. xWPI		None			Buy	05/17/12	J		
628.					Sold	12/28/12	J	A	
629. xBBBY		None			Buy	05/22/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
630.					Sold	10/11/12	J		
631. xMSFT	A	Dividend	J	T	Buy	05/22/12	J		
632. xSWI		None			Buy	05/23/12	J		
633.					Sold	12/28/12	J	A	
634. xIPXL		None			Buy	06/29/12	J		
635.					Sold	12/28/12	J	A	
636. xLINTA		None			Buy	10/12/12	J		
637.					Sold	11/23/12	J		
638. xOCN		None			Buy	10/26/12	J		
639.					Sold	12/28/12	J		
640. xLIFE		None			Buy	10/30/12	J		
641.					Sold	12/14/12	J	A	
642. xF	A	Dividend			Buy	11/06/12	J		
643.					Sold	12/12/12	J	A	
644. xGOOG		None			Buy	11/06/12	J		
645.					Sold	12/28/12	J	A	
646. xRF	A	Dividend			Buy	11/06/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
647.					Sold	12/14/12	J	A	
648. xVLO	A	Dividend			Buy	11/06/12	J		
649.					Sold	12/12/12	J		
650. xJWN	A	Dividend	J	T	Buy	11/20/12	J		
651. xSNA	A	Dividend			Buy	11/20/12	J		
652.					Sold	12/14/12	J	A	
653. xVAL	A	Dividend			Buy	11/20/12	J		
654.					Sold	12/14/12	J	A	
655. xMSFT	A	Dividend			Buy	11/27/12	J		
656.					Sold	12/28/12	J		
657. xXXIA		None			Buy	06/12/12	J		
658.					Sold	12/18/12	J	A	
659. xMYGN		None			Buy	06/28/12	J		
660.					Sold	12/28/12	J	A	
661. xPG	A	Dividend	J	T	Buy	06/19/12	J		
662. xAMGN	A	Distribution	J	T	Buy	07/03/12	J		
663. xGILD		None			Buy	07/03/12	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

664.					Sold	12/28/12	J	A	
665. xTRMB		None			Buy	08/24/12	J		
666.					Sold	12/28/12	J	A	
667. xTYC	A	Dividend			Buy	08/13/12	J		
668.					Sold	11/14/12	J		
669. xENH	A	Dividend	J	T	Buy	03/19/12	J		
670. xALGN		None			Buy	09/12/12	J		
671.					Sold	10/26/12	J		
672. xESRX		None			Buy	09/04/12	J		
673.					Sold	11/28/12	J		
674. xSHOO		None			Buy	09/12/12	J		
675.					Sold	12/28/12	J		
676. xSSGA Money Market Fund	A	Int./Div.	N	T					
677.									
678.									
679.									
680.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

681.									
682. Genworth Variable Life Insurance	A	Int./Div.	J	T					
683.									
684.									
685.									
686.									
687.									
688.									
689.									
690.									
691.									
692.									
693.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Catharine R. Aron**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544