

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Schopler, Andrew G.	2. Court or Organization US District Court-Southern District of California	3. Date of Report 04/24/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US Magistrate Judge-Full Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address US District Court Southern District of California 221 W Broadway Suite 5160 San Diego, CA 92101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Co-Chair	Lawyer Representative Committee for the Southern District of California
2. Delegate	National Association of Assistant US Attorneys
3. Secretary, Board of Directors	Federal Bar Association-San Diego Chapter
4. Guest Lecturer	USD Law School
5. Member	Ninth Circuit Lawyer Representatives Coordinating Committee

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

Schopler, Andrew G.

Date of Report

04/24/2017

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 10

Name of Person Reporting

Schopler, Andrew G.

Date of Report

04/24/2017

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting

Schopler, Andrew G.

Date of Report

04/24/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Capital One Cash Accounts	A	Interest	K	T					
2. IRA #1 (H)									
3. Vanguard REIT Index Fund Admiral Shares	B	Dividend	K	T					
4. Vanguard Total Bond Mkt Index Fund Admiral Shares	A	Dividend	K	T					
5. IRA #2 (H)									
6. Vanguard REIT Index Fund Admiral Shares	A	Dividend	K	T					
7. Vanguard Small-Cap Value Index Fund Admiral Shares	A	Dividend	K	T					
8. Vanguard Total Bond Market Index Fund Admiral Shares	A	Dividend	J	T					
9. Roth IRA (H)									
10. Vanguard REIT Index Fund Admiral Shares	B	Dividend	K	T					
11. Vanguard Total Bond Market Index Fund Admiral Shares	A	Dividend	K	T					
12. Brokerage Account #1 (H)									
13. Vanguard Emerging Markets Stock Index Fund Admiral Shares	A	Dividend	J	T	Buy (add'l)	03/01/16	J		
14. Vanguard European Stock Index Fund Admiral Shares	A	Dividend	J	T					
15. Vanguard Pacific Stock Index Fund Admiral Shares	A	Dividend	J	T					
16. Vanguard Total Stock Market Index Fund Admiral Shares	D	Dividend	O	T	Sold (part)	03/01/16	J	A	
17.					Sold (part)	02/08/16	J	A	

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

Name of Person Reporting

Schopler, Andrew G.

Date of Report

04/24/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.					Sold (part)	09/06/16	J	A	
19. Brokerage Account #2 (H)									
20. Bayerische Motoren Werke Ord Common	A	Dividend	J	T					
21. AFLAC Inc Common	A	Dividend	J	T					
22. Activision Blizzard Inc Common	A	Dividend	J	T					
23. Adobe Systems Inc Common		None	J	T					
24. Alphabet Inc Cl C Common		None	J	T	Buy	02/23/16	J		
25. Amazon Com Inc Common		None	J	T					
26. Anheuser Busch Inbev Spon ADR Common (Y)									
27. Apple Inc Common	A	Dividend	K	T	Buy (add'l)	01/25/16	J		
28. Assurant Inc Common	A	Dividend	J	T					
29. Berkshire Hathaway Inc Cl B Common		None	K	T	Buy (add'l)	05/19/16	J		
30.					Buy (add'l)	06/16/16	J		
31. C S X Corp Common	A	Dividend	J	T					
32. Canadian Natl Ry Co Common	A	Dividend	J	T					
33. Cintas Corp Common	A	Dividend	J	T					
34. Cognex Corp Common	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 10

Name of Person Reporting

Schopler, Andrew G.

Date of Report

04/24/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Corning Inc Common	A	Dividend			Sold	12/08/16	J		
36. Costco Wholesale Corp Common	A	Dividend	J	T					
37. Criteo SA Spon ADR Common		None	J	T	Buy	01/25/16	J		
38. Cubic Corp Common	A	Dividend	J	T					
39. DSW A Ordinary Common (Y)									
40. Dassault Pharmacy Inc Common	A	Dividend	J	T					
41. Diplomat Pharmacy Inc Common		None	J	T	Buy	01/13/16	J		
42. Walt Disney Co Common	A	Dividend	J	T	Buy (add'l)	01/25/16	J		
43.					Buy (add'l)	03/21/16	J		
44. Ecolab Inc Common	A	Dividend	J	T	Buy	06/24/16	J		
45. Facebook Inc Cl A Common		None	J	T	Buy	08/22/16	J		
46. Ford Motor Co Common	A	Dividend	J	T					
47. Gilead Sciences Inc Common	A	Dividend	J	T					
48. Hasbro Inc Common	A	Dividend	J	T					
49. Interactive Brokers Group Inc Common	A	Dividend	J	T					
50. Kinder Morgan Inc Del Common	A	Dividend	J	T					
51. Leucadia National Corp Common	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 10

Name of Person Reporting

Schopler, Andrew G.

Date of Report

04/24/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

52. Linear Technology Corp Common	A	Dividend			Sold	12/13/16	J	B	
53. MSC Indl Direct Inc Cl A Common	A	Dividend			Sold	11/09/16	J	B	
54. Marriott Intl Inc Cl A Common	A	Dividend	J	T					
55. Match Group Inc Common		None	J	T	Buy	05/03/16	J		
56. McKesson Inc Common	A	Dividend	J	T					
57. National Instrs Corp Common	A	Dividend	J	T					
58. National-Oilwell Varco Inc Common	A	Dividend	J	T					
59. Natus Med Inc Del Common		None	J	T	Buy	02/24/16	J		
60. Netflix Com Inc		None	K	T					
61. New York Times-Class A Common	A	Dividend			Sold	11/28/16	J		
62. Nucor Corp Common	A	Dividend	J	T					
63. Nvidia Corp Common	A	Dividend	J	T					
64. Panera Bread Co Cl A Common		None	J	T					
65. Roper Technologies Inc Common	A	Dividend	J	T	Buy	01/11/16	J		
66. SVB Finl Group Com		None	J	T	Buy	06/24/16	J		
67. Shopify Inc Cl A Common		None	J	T	Buy	07/26/16	J		
68. Simpson Mfg Inc Common	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 10

Name of Person Reporting

Schopler, Andrew G.

Date of Report

04/24/2017

VII. INVESTMENTS and TRUSTS -- Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. Stamps.com Inc Common		None	J	T	Buy	07/11/16	J		
70. T-Mobil US Inc Common		None	J	T	Buy	07/20/16	J		
71. Teradata Corp Del Com Common		None	J	T					
72. Texas Roadhouse Common	A	Dividend	J	T	Buy	01/25/16	J		
73. Tripadvisor Inc Common		None	J	T	Buy	04/25/16	J		
74. Watsco Inc Cl A Common	A	Dividend	J	T					
75. Workday Inc Cl A Common		None	J	T	Buy	03/23/16	J		
76. Dreamworks Anim (X)		None			Sold	06/28/16	J	B	
77. ITC Holdings Aquired (X)		None			Sold	02/12/16	J	B	
78. Liquidity Services (X)		None			Sold	11/22/16	J	A	
79. Precision CastParts Corp (X)	A	Dividend			Sold	01/29/16	J	C	
80.									
81.									
82.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting

Schopler, Andrew G.

Date of Report

04/24/2017

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

Schopler, Andrew G.

Date of Report

04/24/2017

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Andrew G. Schopler

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544