

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2018Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Marks, Emily C.	2. Court or Organization U.S. District Court for the Middle District of Alabama	3. Date of Report 08/09/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge (Active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address United States Courthouse One Church Street Montgomery, Alabama 36104		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Vice President	JobMarks, Inc.
2.	Director	Federal Defenders for the Middle District of Alabama
3.	Partner	Ball, Ball, Matthews & Novak, P.A.
4.	Officer	Alabama State Bar Volunteer Lawyers Program
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2018	Ball, Ball, Matthews & Novak, P.A (salary)	\$35,847.38
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	Self-employed (staffing executive)
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. ServisFirst (cash)	A	Interest	K	T					
2. Wells Fargo (cash)	A	Interest	J	T					
3. ServisFirst JobMarks, Inc. Operating Account (cash)		None	M	T					
4. ServisFirst JobMarks, Inc. Payroll Account (cash)		None	M	T					
5. Rapid-Paycard Account JobMarks, Inc. (cash)		None	J	T					
6. ServisFirst ZeldaMarks, LLC Cash Account		None	J	T					
7. JobMarks, Inc.	G	Dividend	O	U					
8. Rental Property #1, Montgomery, Alabama	E	Rent	N	W					
9. Brokerage Acct #1 (H)									
10. Fidelity Government Money Market Fund (SPAXX) (cash equivalent)	A	Dividend	L	T					
11. Fidelity 500 Index Fund (formerly Premium Class) (FXAIX)	C	Dividend	N	T					
12. Fidelity Small Cap Index Fund (formerly Premium Class) (FSSNX)	D	Dividend	M	T					
13. Fidelity Mid Cap Index Fund (formerly Premium Class) (FSMDX)	B	Dividend	M	T					
14. OakMark Intl Investor C1 (OAKIX)	C	Dividend	L	T					
15. Vanguard Health Care Investor (VGHXX)	D	Dividend	M	T					
16. Fidelity Municipal Money Market Fund (FTEXX) (Cash equivalent)	B	Dividend	L	T					
17. IRA #1 (H)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Fidelity Contrafund (FCNTX)	D	Dividend	M	T					
19. Artisan Internatl Investor Class (ARTIX)	B	Dividend	K	T					
20. IRA #2 (H)									
21. Fidelity 500 Index Fund (formerly Premium Class) (FXAIX)	A	Dividend	J	T					
22. Fidelity Low Priced Stock (FLPSX)	D	Dividend	L	T	Buy (add'l)	08/19/18	K		
23. Amana Mutual Fund Trust Growth (AMAGX)	C	Dividend	K	T					
24. Artisan Internatl Investor Class (ARTIX)	C	Dividend	K	T					
25. Janus Henderson Triton T (JATTX)	C	Dividend	K	T	Buy (add'l)	08/09/18	K		
26. Eaton Vance Floating Rate Advantage C1 A (EAFAX)	A	Dividend	K	T					
27. Janus Henderson Short-Term Bond T (JASBX)	A	Dividend	K	T					
28. Pimco Income Fund C1 A (PONAX) (formerly C1 D (PONDXX))	B	Dividend	K	T					
29. Thompson Bond Fund (THOPX)	A	Dividend	K	T					
30. IRA #3 (H)									
31. Fidelity Intl Enhanced Idex Fund (FIENX)	A	Dividend	K	T					
32. Amana Mutual Fund Trust Growth (AMAGX)	B	Dividend	K	T					
33. Fidelity Total Bond (FTBFX)	A	Dividend	J	T					
34. Pimco Income Fund C1 A (formerly D) (PONAX)	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. UTMA #1 (H)									
36. Fidelity Contrafund (FCNTX)	B	Dividend	K	T	Buy (add'l)	08/09/18	J		
37. Fidelity Low Priced Stock (FLPSX)	D	Dividend	K	T					
38. iShares Core S&P 500 ETF (IVV)	A	Dividend	K	T					
39. UTMA #2 (H)									
40. Fidelity Contrafund (FCNTX)	B	Dividend	K	T	Buy (add'l)	08/09/18	J		
41. Fidelity Low Priced Stock (FLPSX)	C	Dividend	K	T					
42. iShares Core S&P 500 ETF (IVV)	A	Dividend	K	T	Buy (add'l)	08/10/18	J		
43. IRA #4 (H)									
44. Fidelity Low Priced Stock (FLPSX)	B	Dividend	J	T					
45. Janus Henderson Triton T (JATTX)	B	Dividend	K	T					
46. Non-prototype (H)									
47. Fidelity Government Cash Reserves Account (FDRXX) (Cash equiv)	A	Dividend	L	T					
48. Fidelity Total MKT Index Premium Cl (FSTVX)	B	Dividend	L	T					
49. Fidelity Contrafund (FCNTX)	D	Dividend	L	T					
50. Fidelity Strategic Dividend & Income (FSDIX)	B	Dividend	K	T					
51. Amana Mutual Trust Fund Growth (AMAGX)	B	Dividend	K	T					

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52. Oakmark Intl Investor C1 (OAKIX)	B	Dividend	K	T					
53. Janus Henderson Triton T (JATTX)	D	Dividend	L	T					
54. Laudus International Marketmasters Inv C1 (SWOIX)	C	Dividend	K	T					
55. Fidelity Total Bond (FTBFX)	A	Dividend	K	T					
56. Loomis Sayles Bond Retail Shares (LSBRX)	A	Dividend	K	T					
57. TCW Total Return Bond Class N (TGMNX)	A	Dividend	J	T					
58. TCW Core Fixed Income Class N (TGFNX)	A	Dividend	K	T					
59. Thompson Bond Fund (THOPX)	A	Dividend	K	T					
60. Brokerage Acct #2 (H)									
61. AT&T Inc (T)	A	Dividend			Buy	08/27/18	J		
62.					Sold	12/28/18	J		
63. Abbott Laboratories (ABT) (X)	A	Dividend	J	T					
64. Accenture PLC (ACN)		None	J	T	Buy	12/28/18	J		
65. Alphabet Inc Cl C (GOOG)		None	J	T	Buy	08/27/18	J		
66.					Sold (part)	09/26/18	J		
67.					Sold (part)	11/20/18	J		
68. Alphabet Inc Cl A (GOOGL)		None	J	T	Buy	08/27/18	J		

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69.					Sold (part)	09/26/18	J		
70.					Sold (part)	10/24/18	J		
71. Amazon.Com Inc (AMZN)		None	J	T	Buy	08/27/18	J		
72.					Buy (add'l)	10/11/18	J		
73.					Sold (part)	11/20/18	J		
74. Amgen Inc (AMGN) (X)	A	Dividend	J	T					
75. Apple Inc (AAPL)	A	Dividend	J	T	Buy	08/27/18	J		
76.					Sold (part)	12/10/18	J		
77. Bank of America Corp (BAC)	A	Dividend	J	T	Buy	08/27/18	J		
78.					Sold	10/31/18	J		
79.					Buy	12/10/18	J		
80. Bank New York Mellon (BK) (X)	A	Dividend	J	T	Buy (add'l)	12/20/18	J		
81. Becton Dickinson Co (BDX)	A	Dividend	J	T	Buy	08/27/18	J		
82.					Buy (add'l)	12/20/18	J		
83. Berkshire Hathaway Inc Del Cl B New (BRKB)		None	J	T	Buy	08/27/18	J		
84. Booking Hldgs Inc Com (BKNG)		None	J	T	Buy	08/27/18	J		
85.					Sold	10/11/18	J		

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86.					Buy	11/20/18	J		
87.					Sold	12/10/18	J		
88.					Buy	12/20/18	J		
89. Bristol Myers Squibb (BMY) (X)	A	Dividend	J	T					
90. Broadcom Inc (AVGO) (X)	A	Dividend	J	T					
91. Caterpillar (CAT) (X)	A	Dividend	J	T					
92. Chevron Corp New Com (CVX)	A	Dividend	J	T	Buy	08/27/18	J		
93. Chubb Ltd (CB)		None	J	T	Buy	12/28/18	J		
94. Cisco Sys Inc Com (CSCO)	A	Dividend	J	T	Buy	08/27/18	J		
95. Citigroup Inc Com New (C)	A	Dividend			Buy	08/27/18	J		
96.					Sold	12/20/18	J		
97. Coca Cola Co (KO) (X)	A	Dividend	J	T	Buy (add'l)	10/18/18	J		
98. Comcast Corp New Cl A (CMCSA)	A	Dividend	J	T	Buy	08/27/18	J		
99. Costco Wholesale Corp (COST) (X)	A	Dividend			Sold	12/20/18	J		
100. Danaher Corp (DHR) (X)	A	Dividend	J	T					
101. Disney Walt Co (DIS)	A	Dividend	J	T	Buy	08/27/18	J		
102.					Sold	10/11/18	J		

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103.					Buy	11/20/18	J		
104. Exxon Mobil Corp (XOM)	A	Dividend			Buy	08/27/18	J		
105.					Buy (add'l)	10/24/18	J		
106.					Sold	12/28/18	J		
107. Facebook Inc Com (FB)		None	J	T	Buy	08/27/18	J		
108.					Buy (add'l)	11/20/18	J		
109.					Sold (part)	12/20/18	J		
110.					Buy (add'l)	11/20/18	J		
111. Home Depot Inc Com (HD)	A	Dividend	J	T	Buy	08/27/18	J		
112.					Sold	10/24/18	J		
113.					Buy	11/27/18	J		
114. Honeywell Intl Inc (HON)	A	Dividend			Buy	08/27/18	J		
115.					Sold	12/20/18	J		
116. Intel Corp (INTC)	A	Dividend	J	T	Buy	08/27/18	J		
117. Intl Business Mach (IBM)	A	Dividend	J	T	Buy	08/27/18	J		
118.					Sold	10/24/18	J		
119.					Buy	11/27/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Marks, Emily C.

Date of Report

08/09/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Intuitive Surgical Inc Com New (ISRG)		None			Buy	08/27/18	J		
121.					Sold	10/11/18	J		
122.					Buy	11/20/18	J		
123.					Sold	12/20/18	J		
124. JPMorgan Chase & Co (JPM)	A	Dividend			Buy	08/27/18	J		
125.					Sold (part)	11/20/18	J		
126.					Sold	12/10/18	J		
127. Johnson & Johnson (JNJ)	A	Dividend	J	T	Buy	08/27/18	J		
128. Lilly Eli & Co (LLY) (X)	A	Dividend	J	T					
129. Lockheed Martin Corp (LMT)		None	J	T	Buy	12/28/18	J		
130. Mastercard Incorporated Cl A (MA)	A	Dividend	J	T	Buy	08/27/18	J		
131.					Sold (part)	12/28/18	J		
132. McDonalds Corp (MCD)	A	Dividend	J	T	Buy	08/27/18	J		
133. Merck & Co Inc New Com (MRK)	A	Dividend	J	T	Buy	08/27/18	J		
134. Microsoft Corp (MSFT)	A	Dividend	J	T	Buy	08/27/18	J		
135. Netflix Com Inc Com (NFLX)		None	J	T	Buy	08/27/18	J		
136.					Buy (add'l)	12/10/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Marks, Emily C.

Date of Report

08/09/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Nextera Energy (NEE) (X)	A	Dividend	J	T					
138. Northrup Grumman Corp (NOC) (X)	A	Dividend	J	T	Buy (add'l)	12/20/18	J		
139. Oracle Corp Com (ORCL)	A	Dividend	J	T	Buy	08/27/18	J		
140. Paypal Hldgs Inc Com (PYPL)		None	J	T	Buy	08/27/18	J		
141.					Sold	10/11/18	J		
142.					Buy	11/20/18	J		
143. Pepsico Inc (PEP)	A	Dividend	J	T	Buy	08/27/18	J		
144. Pfizer Inc (PFE)	A	Dividend	J	T	Buy	08/27/18	J		
145. Philip Morris Intl Inc Com (PM)	A	Dividend	J	T	Buy	08/27/18	J		
146. Procter & Gamble Co Com (PG)	A	Dividend	J	T	Buy	08/27/18	J		
147. Raytheon Co (RTN)	A	Dividend	J	T	Buy	12/20/18	J		
148. Salesforce Com Inc (CRM)		None	J	T	Buy	08/27/18	J		
149.					Buy (add'l)	12/28/18	J		
150. Thermo Fisher Scientific (TMO)	A	Dividend	J	T	Buy	08/27/18	J		
151. US Bancorp (USB)	A	Dividend	J	T	Buy	10/18/18	J		
152.					Buy (add'l)	12/28/18	J		
153. Unitedhealth Group (UNH)	A	Dividend	J	T	Buy	08/27/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Marks, Emily C.

Date of Report

08/09/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. United Technologies Corp (UTX) (X)	A	Dividend			Sold	12/20/18	J		
155. Verizon Communications (VZ)	A	Dividend	J	T	Buy	08/27/18	J		
156. Visa Inc Com Cl A (V)	A	Dividend	J	T	Buy	08/27/18	J		
157.					Buy (add'l)	11/02/18	J		
158.					Sold (part)	11/20/18	J		
159. Walmart Inc Com (WMT)	A	Dividend	J	T	Buy	08/27/18	J		
160. Wells Fargo Co New Com (WFC)	A	Dividend	J	T	Buy	08/27/18	J		
161.					Sold	09/26/18	J		
162.					Buy	10/31/18	J		
163.					Sold (part)	12/28/18	J		
164. Weyerhaeuser Co (WY)	A	Dividend			Buy	10/24/18	J		
165.					Sold	11/27/18	J		
166. Brokerage Acct #3 (H)									
167. Infimary Health Sys Spl Care Facs Fing Auth Mob Ala (45663NAZ5) (X)	B	Interest	K	T					
168. Prattville Ala Wtr Wks Brd Wtr Rev Bds 2018 (740053HP1) (X)	A	Interest	K	T					
169. Troy Ala Pub Edl Bldg Auth Edl Facs Rev Educ Fac (89732TBN3) (X)	A	Interest	J	T					
170. IRA #5 (H)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Marks, Emily C.

Date of Report

08/09/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. Vanguard 500 Index Fund Adm (VFIAX)	B	Dividend	K	T					
172. AL 529 Acct #1 (H)									
173. Alabama's 529 Vanguard Age-Based Aggressive Portfolio		None	L	T					
174. AL 529 Acct #2 (H)									
175. Alabama's 529 Vanguard Age-Based Aggressive Portfolio		None	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Marks, Emily C.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I, lines 1-4: These positions ended upon taking the bench.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

Part VII, lines 8, 20, 34, 35, 38, and 43 of the Nomination report are not reportable in this cycle (2018). There are no corresponding reportable transactions.

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Name of Person Reporting

Marks, Emily C.

Date of Report

08/09/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Emily C. Marks**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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