

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2018Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Quattlebaum, Jr, Arthur M.	2. Court or Organization United States Fourth Circuit Court of Appeals	3. Date of Report 05/14/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address Clement Haynesworth Federal Building 300 East Washington Street Greenville, SC, 29601		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Partner	Nelson Mullins Law Firm
2.	Shareholder	Enveritas
3.	Director	Greater Greenville YMCA
4.	Director	Christ Church Episcopal School
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1997	Nelson Mullins Partnership Agreement (I am no longer a participant, but was during reporting period)
2. 1989	Nelson Mullins Retirement Account (401K and Profit Sharing) (I am no longer a participant but was during the reporting period)
3. 2013	Nelson Mullins Partnership Deferred Compensation Plan (I am no longer a participant but was during the reporting period)

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2018	Nelson Mullins compensation	\$269,830.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Future Scholar 529 Plan (H)									
2. -Columbia Conservative E Agg Track	B	Interest	L	T					
3. Future Scholar 529 Plan (H)									
4. -Columbia Bank Deposit 529 E	A	Interest	M	T					
5. Future Scholar 529 Plan (H)									
6. -Columbia Bank Deposit Plan	A	Interest	M	T					
7. AT&T, Inc.	A	Dividend	J	T					
8. LQ Janus Henderson IRA (H) Account (H)									
9. -Janus Henderson Research D Shr	C	Dividend	L	T					
10. -Foster Victor IRA (H)									
11. -FMI International	A	Dividend			Sold	02/07/18	M	A	
12. -JP Morgan Divers Ret US Mid Cp Eq ETF	B	Dividend	M	T					
13. -JP Morgan Diversified Return US Eq ETF	B	Dividend	M	T					
14. -Schwab US Large-Cap Value ETF	B	Dividend	M	T					
15. -Schwab US Large-Cap Growth ETF	A	Dividend	J	T					
16. -Vanguard REIT ETF	B	Dividend			Sold	03/08/18	M	A	
17. -American Funds New World F1	B	Dividend	M	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. -PIMCO Income D	B	Dividend			Sold	03/23/18	K	A	
19. -JP Morgan Divers Ret US Small Cap Eq ETF	B	Dividend	M	T					
20. -Prudential Short-Term Corporate Bd Z	B	Dividend	L	T					
21. -MFS International New Discovery A	B	Dividend	M	T					
22. -JP Morgan Core Plus Bond A	B	Dividend			Sold	02/07/18	K	A	
23. -T. Rowe Price Emerging Markets Bond	A	Dividend			Sold	02/07/18	J	A	
24. -Doubleline Total Return Bond N	A	Dividend			Sold	02/07/18	K	A	
25. -Ishares MSCI EAFE Small-Cap ETF	B	Dividend			Sold	10/17/18	K	A	
26. -Ishares Edge Min VolEAFE ETF	A	Dividend	K	T					
27. -Vanguard Total Bond Mkt Index Admiral Shr	A	Dividend			Sold	10/19/18	J	A	
28. -Vanguard Extended Market Index Fd ADM SHRS	A	Dividend			Sold	10/19/18	J	A	
29. -Prudential Total Return Bd Q	B	Dividend			Sold	03/28/18	M	D	
30. -Vanguard Total Intl Stk Index Admiral	A	Dividend			Sold	03/28/18	N	E	
31. -Schwab S&P 500 Index FD	A	Dividend			Sold	03/28/18	M	E	
32. -PPL Corporation	C	Dividend	K	T					
33. -Genuine Parts Co	C	Dividend	K	T					
34. -Altria Group Inc	C	Dividend	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. -Alliant Energy Corp	C	Dividend	K	T					
36. -Compass Minerals Int	C	Dividend	K	T					
37. -Lamar Advertising Co REIT	C	Dividend	L	T					
38. -Pepsico Incorporated	C	Dividend	L	T					
39. -Emerson Electric Co	C	Dividend	L	T					
40. -Verizon Communication	C	Dividend	L	T					
41. -Amer Electric Power Co	C	Dividend	L	T					
42. -Southern Company	C	Dividend	L	T					
43. -Hanesbrands Inc	C	Dividend	L	T					
44. -Wells Fargo Bank	C	Dividend	L	T					
45. -BB&T Corporation	C	Dividend	L	T					
46. -Amgen Inc	C	Dividend	L	T					
47. -Johnson & Johnson	C	Dividend	L	T					
48. -Ventas Inc REIT	C	Dividend	L	T					
49. -Welltower REIT	C	Dividend	L	T					
50. -Procter & Gamble	C	Dividend	L	T					
51. -Duke Energy Corp	C	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52. -Pfizer Incorporated	C	Dividend	L	T					
53. -PIMCO Income Class A	C	Dividend	L	T					
54. -Phillip Morris Intl	C	Dividend	L	T					
55. -Dominion Energy Inc	C	Dividend	L	T					
56. -General Mills	C	Dividend	L	T					
57. -Enbridge Inc	C	Dividend	L	T					
58. -Realty Incm Corp REIT	C	Dividend	L	T					
59. -Loomis Sayles Core Plus Bond Fund Cl A	C	Dividend	M	T					
60. -T. Rowe Price Real Estate Fund Inv	C	Dividend	M	T					
61. -United Parcel Srvc	C	Dividend	L	T					
62. -Oakmark Intl Fund Inv	C	Dividend	M	T					
63. -T. Rowe Price Blue Chip Growth Fd Inv	C	Dividend	M	T					
64. -T Rowe Price Overseas Stock Fund Inv	C	Dividend	M	T					
65. -Schwab Bank Cash Sweep	A	Interest	M	T					
66. -PIMCO Total Return Fund Cl A	C	Dividend	K	T					
67. FVWA Joint Account (H)									
68. -Fidelity Adv LTD Term Muni INCM Fd A	C	Dividend	K	T					

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69. -JP Morgan Diversified Return US Mid	C	Dividend	K	T					
70. -JP Morgan Diversified Return US Small	C	Dividend	K	T					
71. -JP Morgan Diversified Return US Equity	C	Dividend	K	T					
72. -MFS Intl New Discovery A	C	Dividend	K	T					
73. -Nuveen High Yield Muni Bond Fund C1 A	C	Dividend	K	T					
74. -American Fund New World Fd C1 F1	C	Dividend	K	T					
75. -Oakmark Intl Fd Inv	C	Dividend	K	T					
76. -Prudential Short Term Corp Bd C1 Z	B	Dividend			Sold	09/21/18	K	A	
77. -PIMCO Income C1 A	C	Dividend	K	T					
78. -T. Rowe Price Emerging Mkts Bond Fd Inv	C	Dividend	K	T					
79. -T. Rowe Price Tax Free Short Interim Inv	C	Dividend	K	T					
80. -Schwab US Large Cap Growth ETF	C	Dividend	K	T					
81. -Schwab US Large Cap Value ETF	C	Dividend	K	T					
82. -Deutsche Managed Muni Bd C1 S	C	Dividend	K	T					
83. -Schwab Value Advantage Money Fund	C	Dividend	M	T					
84. -Vanguard Real Estate ETF	B	Dividend			Sold	04/19/18	K	A	
85. -T. Rowe Price Overseas Stock Fund Inv	C	Dividend	K	T					

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86. -T. Rowe Price Real Estate Fund Inv	C	Dividend	J	T					
87. -Schwab Bank Cash Sweep	A	Dividend	K	T					
88. -Ishares Edge MSCI Min Vol EAFE ETF	B	Dividend	J	T					
89.									
90.									
91.									
92.									
93.									
94.									
95.									
96.									
97.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. Regarding the Nelson Mullins Partnership Agreement referenced in Section II, I ceased to be a party to that agreement on March 16, 2018 when I left my old firm to become a United States District Court Judge. Under the terms of the agreement, my capital account was paid in equal six month installments beginning in April 2018.
2. The amounts I contributed to the Nelson Mullins Partnership Deferred Compensation Plan referenced in Section II and Section IV have been distributed and are now in the Foster Victor accounts referenced in Section VII.
3. The Foster Victor IRA and FVWA Joint Account listed in lines 10-88 reflect the information about that account as of 12/31/2018. The amounts in the IRA have since been reinvested, along with the proceeds from other retirement funds associated with my old firm into the FVWA IRA and FVWA Joint Account described in section VII. Therefore, the value codes that were in the prior FDR have been deleted in this updated FDR.
5. I ceased to be a participant in the Nelson Mullins Retirement Account listed in Section II and Section VII when I let Nelson Mullins on March 16, 2018 to be a United States District Court Judge. The amounts in that account have been distributed to the FVWA IRA and the FVWA Joint Account described in Section VII.
6. In section I, I am listed as a partner at the Nelson Mullins Law Firm. I ceased being a partner with that firm on March 16, 2018 to be a United State District Court Judge.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Arthur M. Quattlebaum, Jr

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544