

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) CHIECHI, CAROLYN P.	2. Court or Organization U.S. TAX COURT	3. Date of Report 05/6/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) JUDGE (SENIOR STATUS- ON RECALL)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address ROOM 233 400 SECOND STREET, N.W. WASHINGTON, D.C. 20217-0002		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Executor	Estate of [REDACTED]
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 09/1992	Sutherland, Asbill & Brennan (SAB) (See VIII. Additional Information or Explanations)
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. SAB HR 10 Plan	D	Int./Div.	P1	T					
2. Coca Cola Co., Common Stock	B	Dividend	K	T					
3. BP PLC, Common Stock	B	Dividend	K	T					
4. Merck & Co., Common stock	A	Dividend	J	T					
5. MEDCO Health Solutions, Inc., Common Stk		None			Merged (with line 6)	04/03/12	J	A	rec'd cash & stk in merger
6. Express Scripts Holding Co., common stk		None	J	T		04/03/12	J	A	rec'd in merger w/ l. 5
7. Stryker Corp., Common stock	A	Dividend	L	T	Sold (part)	07/24/12	K	E	
8.					Donated (part)				
9. RBC Wealth Management US Government Money Market Fund		None	J	T					
10. WalMart Stores, Inc., Common Stk	B	Dividend	L	T					
11. Marriott Int'l, Inc. New, Class A, Common Stk	A	Dividend	K	T					
12. Marriott Vacations Worldwide Corp cmn stk		None	J	T					
13. Host Hotels and Resorts Inc., Common Stk.		None	J	T					
14. PNC Financial Corp., Common Stk	A	Dividend	J	T					
15. Microsoft Corp., Common Stk	C	Dividend	L	T	Donated (part)				
16. Bank of America (MM/Ckg Accts)	A	Interest	L	T					
17. Medtronic Common Stk	A	Dividend	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Boeing, Co., Common Stock	A	Dividend	J	T					
19. NIKE, Class B Common Stock	A	Dividend	K	T					
20. Dell Computer, Common Stk	A	Dividend	J	T					
21. Citigroup, Inc. Com New cmn stk		None			Sold	11/27/12	J		
22. Apple Inc., common stk	A	Dividend	J	T	Buy	01/26/12	J		
23. Google Inc., common stk		None	K	T	Buy	07/24/12	K		
24. Amgen, Inc., Common Stk	A	Dividend	J	T					
25. General Electric, Common Stk	A	Dividend	J	T					
26. UBS Bank USA Deposit (Money Market Fund)		None	J	T					
27. Berkshire Hathaway B, Common Stock		None	J	T					
28. Cisco Systems Inc., Common Stock	A	Dividend	J	T					
29. Johnson & Johnson, Common Stock	A	Dividend	J	T					
30. Liberty Media Hldg. Ser. A Inter, Common Stock		None	J	T					
31. Liberty Media Corp. cmn stk		None	J	T					
32. The DIRECTV Group CL A., Common Stock		None				08/28/12	J		name changed to l. 33
33. DIRECTV, common stock		None	J	T		08/28/12	J		name change of l. 32
34. Amazon.com, Inc. Common Stock		None	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. American Int'l Group, Common Stock		None	J	T					
36. Anadarko Pete., Common Stock	A	Dividend	J	T					
37. Autodesk Inc. Delaware, Common Stock		None	J	T					
38. Bed Bath & Beyond Inc., Common Stock		None	J	T					
39. Biogen Idec Inc., Common Stock		None	J	T					
40. Broadcom Corp. Cl A, Common Stock	A	Dividend	J	T					
41. Cablevision Systems Corp., Common Stock	A	Dividend	J	T					
42. AMC Networks, Inc., cmn stk		None	J	T					
43. Madison Square Garden Inc., Common Stock		None	J	T					
44. Charming Shoppes Inc., Common Stock		None			Redeemed	07/23/12	J		
45. Cree Research Inc., Common Stock		None	J	T					
46. Electronic Arts Inc., Common Stock		None	J	T					
47. Expedia Inc., Common Stock	A	Dividend	J	T					
48. Trip Advisors, Inc., cmn stk		None	J	T					
49. Forest Laboratories Inc., Common Stock		None	J	T					
50. Contingent Value Rights rec'd from Sanofi Adventis		None	J	T					
51. Nat'l Oilwell Varco Inc., Common Stock	A	Dividend	J	T					

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52. Home Depot Inc., Common Stock	A	Dividend	J	T					
53. IAC/Interactive Corp. New, Common Stock	A	Dividend	J	T					
54. Liberty Ventures Series A, Common Stock		None	J	T	Spinoff (from line 53)	08/13/12	J		
55. HSN Inc., Common Stock	A	Dividend	J	T					
56. Interval Leisure Group, Common Stock	A	Dividend	J	T					
57. Tree. Com, Common Stock	A	Dividend	J	T					
58. Intel Corp, Common Stock	A	Dividend	J	T					
59. L-3 Comm. Hldg Inc., Common Stock	A	Dividend	J	T					
60. Engility Holdings, Inc., Common Stock		None	J	T	Spinoff (from line 59)	07/18/12	J		
61. Lehman Bros Hldg Inc., Common Stock		None			Redeemed	03/09/12	J		See VIII for explanation
62. Beneficial interest in Lehman Bros Holdings Inc Plan Trust		None	J	T	Open	03/09/12	J		See VIII for explanation
63. Liberty Global Inc. Cl A, Common Stock		None	J	T					
64. Bank of America Corp., Common Stock	A	Dividend	J	T					
65. Micron Tech Inc., Common Stock		None	J	T					
66. Pall Corp, Common Stock	A	Dividend	J	T					
67. Pepsico Inc, Common Stock	A	Dividend	J	T					
68. Pfizer Inc, Common Stock	A	Dividend	J	T					

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69. Proctor & Gamble, Common Stock	A	Dividend	J	T					
70. Sandisk Corp, Common Stock		None	J	T					
71. Seagate Corp, Common Stock	A	Dividend	J	T					
72. Texas Instruments, Common Stock	A	Dividend	J	T					
73. Time Warner Inc New, Common Stock	A	Dividend	J	T					
74. Time Warner Cable, Inc., Common Stock	A	Dividend	J	T					
75. AOL, Inc., Common Stock		None	J	T					
76. Tyco International Ltd., Common Stock	A	Dividend	J	T					
77. ADT Corp. Com, Common Stock		None	J	T	Spinoff (from line 76)	10/01/12	J		
78. Pentair Ltd., Common Stock	A	Dividend	J	T	Spinoff (from line 76)	10/01/12	J		
79. TE Connectivity Ltd., Common Stock)	A	Dividend	J	T					
80. Covidien PLC, Common Stock	A	Dividend	J	T					
81. United Health Gp Inc, Common Stock	A	Dividend	J	T					
82. Walt Disney Co, Common Stock	A	Dividend	J	T					
83. Weatherford Int'l Ltd., Common Stock		None	J	T					
84. Yahoo Inc, Common Stock		None	J	T					
85. EBay, Inc., Common Stk.		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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86. Live Nation Entm't Inc, Com Stk		None	J	T					
87. Apple, Inc., Common Stock	A	Dividend	J	T	Buy (add'l)	07/24/12	K		
88. UBS IRA	D	Int./Div.	O	T					
89. --BP PLC, Common Stk									
90. --General Electric, Common Stock									
91. --Goldman Sachs, Common Stock									
92. --AT&T Inc., Common Stock									
93. --Schlumberger, Common Stock									
94. --Target, Common Stock									
95. --St. Paul Travelers, Common Stock									
96. --Columbia Marsico Focus Eq. A Mutual Fund					Buy	06/20/12	J		
97.					Buy (add'l)	12/14/12	J		
98. --Hartford Cap Appreciation A Mutual Fund					Buy	12/24/12	J		
99. --Kinetics New Paradigm Fund Cl A Mutual Fund					Buy	01/03/12	J		
100.					Buy (add'l)	12/28/12	J		
101. --UBS Bank USA Deposit ((Money Market Fund)									
102. --GE Money Bank					Redeemed	06/25/12	L		

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103. --Beal Bank NV [redacted] fixed rate CD, [redacted]					Redeemed	09/26/12	K		
104. --Sovereign Bank [redacted] fixed rate CD, [redacted]					Buy	01/20/12	M		
105.					Redeemed	07/25/12	M		
106. --Bank of India [redacted] fixed rate CD, [redacted]					Buy	01/20/12	M		
107.					Redeemed	04/25/12	M		
108. --Beal Bank [redacted] fixed rate CD, [redacted]					Buy	06/26/12	M		
109.					Redeemed	11/07/12	M		
110. --GE Capital Bank [redacted] fixed rate CD, due [redacted]					Buy	06/26/12	M		
111.					Redeemed	12/31/12	M		
112. --First Niagara Bank [redacted] fixed rate CD, due [redacted]					Buy	11/01/12	M		
113. --Bank of India [redacted] fixed rate CD, due [redacted]					Buy	11/08/12	M		
114. --Abbott Labs common stock									
115. --Johnson & Johnson common stock									
116. --Apple Inc., common stock									
117. UBS IRA PACE MULTI	A	Int./Div.			Closed	04/25/12	J		consol. w/ 1. 88 and 101
118. --UBS Bank USA Deposit (Money Market Fund)					Closed	04/25/12	J		consol. w/ 1. 88 and 101
119. Estate of [redacted]	E	Int./Div.	P1	T					

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. --1/3 interest in certain real property					Distributed	02/22/12	L		See VIII for explanation
121. --Wells Fargo Ckg./Savings Accounts									
122. --Investors Bank CD					Redeemed	12/31/12	K		See VIII for explanation
123. --Investors Bank CD					Redeemed	04/10/12	K		See VIII for explanation
124. --Investors Bank CD					Redeemed	04/10/12	K		See VIII for explanation
125. --Hudson Bank CD					Redeemed	11/30/12	K		See VIII for explanation
126. --Hudson Bank CD					Redeemed	11/30/12	L		See VIII for explanation
127. --Hudson Bank CD					Redeemed	04/06/12	J		See VIII for explanation
128. --Hudson Bank CD					Redeemed	04/06/12	J		See VIII for explanation
129. --Hudson Bank CD					Redeemed	04/06/12	J		See VIII for explanation
130. --Hudson Bank CD					Redeemed	04/06/12	L		See VIII for explanation
131. --Kearny Federal Bank CD					Redeemed	04/09/12	J		See VIII for explanation
132. --Kearny Federal Bank CD					Redeemed	04/09/12	L		See VIII for explanation
133. --Garden State Community Bank CD					Redeemed	04/10/12	K		See VIII for explanation
134. --Provident Bank CD					Redeemed	04/06/12	J		See VIII for explanation
135. --Provident Bank CD					Redeemed	04/06/12	K		See VIII for explanation
136. --Fidelity Bank--IRA					Distributed	12/27/12	J		See VIII for explanation

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. --Exxon Mobil common stock									
138. --Centerpoint Energy common stock									
139. --Colgate Palmolive common stock									
140. --Genon Energy common stock									
141. --Southern Co. common stock									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

II. AGREEMENTS (Cont'd).

At least for the foreseeable future, I decided to continue to have certain of the amounts I had accumulated in the HR 10 Plan, which is sponsored by SAB of which I was a partner through September 30, 1992, and which is an independently managed, fully funded, defined contribution plan that allows for self-directed investments, to be managed by the advisor retained by SAB. In July 2000, I rolled over into an IRA at Morgan Stanley the balance of those amounts. In 2007, I rolled over my Morgan Stanley IRA accounts into IRA accounts at UBS Financial Services, Inc. (UBS).

I have a vested interest in the Sutherland, Asbill & Brennan Defined Benefit Plan for Partners and Nonlegal Personnel. The benefit I am entitled to receive under that plan is an annual income on a straight-line basis of \$6,782.15 per year, commencing at normal retirement age of 65 and which became effective as of 1/1/09. Annual amount is paid equally over 12 months. Pursuant to the terms of the plan, I was not entitled to a lump-sum payout when I withdrew as a partner from SAB effective October 1, 1992, because, as a relatively new plan, the benefits to the participants of the plan were not fully funded. The plan provides that pension payments will begin automatically after I attain the normal retirement age of 65. The amount of the pension payments are in no way affected by the profitability of SAB or by the performance of the trust fund. If the trustee of the plan was to realize a better or a worse investment rate than assumed in the actuarial calculations for the plan, SAB either would contribute less or more to provide the defined benefit of all participants. The plan may be terminated either because of a change in the law or a decision by the partners of SAB to discontinue this benefit. In the event of plan termination, ERISA provides very specific rules for the allocation of plan assets among participants, with those who have already retired being given fully funded benefits first. If SAB were not able to provide all benefits, the Pension Benefit Guaranty Corporation would pick up the liability for any shortfall up to the benefit levels insured by that agency. It is my understanding that, except in that unlikely event, my benefit under the plan is a fixed amount.

VII. INVESTMENTS AND TRUSTS

P. 4, line 6

In addition to receiving stock shown on line 6, I received cash in lieu of a fractional share of such stock resulting in a small gain.

P. 7, lines 61 and 62

Lehman Bros. Holdings, Inc., commenced bankruptcy proceedings, its stock was canceled, and its stockholders received proportionate beneficial interests in the Lehman Bros Holdings, Inc., plan trust.

P. 10, line 119

Assets of Estate of [REDACTED] were valued using market method except for miscellaneous personal property (e.g., clothing, furniture, costume jewelry) whose value was estimated and a one-third interest in certain real property that was valued by having an appraisal of that real property and taking one-third of that appraisal as the value of the one-third interest. I was bequeathed the one-third interest in the real property just discussed.

P. 11, line 120

One-third interest in certain real property bequeathed to me was distributed to me per [REDACTED] will.

P. 11, line 122

One CD issued by Investors Bank was in joint name of aunt and my [REDACTED] [REDACTED] redeemed one-half of that CD in January 2012 and the other half in December 2012.

P. 11, lines 125 and 126

Two CDs issued by Hudson City Bank were in joint name of [REDACTED] and me. I redeemed one-half of each of those CDs in February 2012 and the other half of each of those CDs in November 2012.

P. 11, lines 123, 124, and 127 through 135

All CDs listed on the lines indicated were redeemed in order to pay New Jersey inheritance tax and estate's legal fees.

P. 11, line 136

I was beneficiary of [REDACTED] IRA. The proceeds of that IRA were rolled over into an IRA at Fidelity Bank in my name.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ CAROLYN P. CHIECHI**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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