

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) HUFF, MARILYN L.	2. Court or Organization USDC, SOUTHERN DIST. OF CALIF.	3. Date of Report 08/29/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) ACTIVE DISTRICT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address 333 W. BROADWAY SUITE 1510 SAN DIEGO, CA 92101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Trust # 1 Note: Trust assets generally listed by (T) in Part VII
2. President-Elect	Federal Judges Association
3. American Inns of Court Louis Welsh Chapter	Executive Committee
4. ABTL	Judicial Advisory Board
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 1/15-12/15	DLA Attorney
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Federal Judges Association	4/12/15-4/15/15	Washington, D.C.	Board Meeting	Travel, Lodging, Food
2. Stanford Law School	1-23-15-1/24/15	Palo Alto, CA	Moot Court Judge	Travel, Food
3. USC Intellectual Property Institute	3/23/15-3/24/15	Los Angeles, CA	Speaker	Travel, Food, Lodging
4. ABTL	4/24/15	Rancho Palos Verdes, CA	Board Meeting	Food, Lodging
5. ChIPs	10/26/15-10/28/15	Washington, D.C.	Speaker/Seminar	Travel, Food, Lodging
6. Intellectual Property Owners	5/26/15-5/27/15	Washington, D.C.	Speaker	Travel, Food, Lodging

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7.	Association of Business Trial Attorneys	10/1/15-10/4/15	Ojai, CA	Speaker	Food, Lodging
8.	Associate Corporate Counsel, Leading Women in Technology, DLA	9/22/15	Menlo Park, CA	Speaker	Travel, Food

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Energy Transfer Partners	A	Distribution	J	T					
2. Suncoke Energy	A	Dividend	J	T					
3. Wells Fargo Accounts-SDCA (T) and I	B	Interest	P1	T					
4. 401k & Profit Sharing * Total Value									
5. Sched of Assets & Transactions									
6. Trust B Real Estate Trust Deed Fund	B	Interest	J	T					
7. Vanguard Total Intl Stock In	D	Dividend	M	T					
8. Vanguard Total Bond Ind Adm	C	Interest	M	T					
9. Vanguard ST Bond Index Adm	D	Interest	O	T	Sold (part)	09/04/15	K		
10. Vanguard S&P 500 Adm	D	Dividend	O	T					
11. Vanguard Value Index Adm	C	Dividend	M	T					
12. Vanguard Growth Index Adm	B	Dividend	M	T					
13. Vanguard Sm. Cap Stock Index Adm	A	Dividend	L	T					
14. Dodge & Cox Intl.	B	Dividend	L	T					
15. Spartan Ext. Market	A	Dividend	K	T					
16. Vanguard Intl. Growth Port.	B	Dividend	M	T					
17. Pimco Foreign Hedged	C	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Pimco GI Adv Strat.	A	Dividend			Buy (add'l)	02/27/15	J		
19. Pimco GI Adv. Strat.	A	Dividend			Sold	06/18/15	L		
20. Vanguard Windsor II Adm	B	Dividend	L	T	Buy (add'l)	04/01/15	J		
21. Vanguard Sm.Value Ind. Adm	B	Dividend	M	T					
22. I Shares MSCI EFTEm Mkts	B	Dividend	K	T					
23. I Shares S&P 600 Sm. Cap Growth Index	A	Dividend	L	T					
24. Vanguard High Yield Corp.	D	Interest	M	T					
25. Vanguard GNMA	C	Interest	M	T					
26. Vanguard Large Cap ETF	C	Dividend	M	T					
27. Vanguard Infl. Prot.	B	Interest	M	T					
28. Pimco Low Duration See #76 2014	B	Interest	L	T					
29. I Shares Rus Micro EFT	A	Dividend	L	T					
30. Wisdom Tree Int'l. ETF	A	Dividend	K	T					
31. CH Cash Management (AP)	A	Interest	K	T					
32. Vanguard Emerging Govt. ETF	D	Interest	M	T	Buy	01/16/15	M		
33. Vanguard ST Inv. Gr. Adm	D	Interest	N	T					
34. Vanguard Dev. Mkts	B	Dividend	L	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Vanguard Emerg. Mkts. Adm	B	Dividend	L	T					
36. Vanguard Intl. Value #46	B	Dividend	L	T					
37. Vanguard Sm FtSE Ex US ETF	A	Dividend	L	T	Buy (add'l)	04/20/15	K		
38. Pimco Emerg Mkt	D	Interest			Sold	09/25/15	L		
39. Pimco Emerg Local Equity	C	Int./Div.			Sold	09/25/15	L		
40. Equity (Sterling) Trust Co. IRA mm Phair RE (First Reg. Bank)	A	Interest	L	T					
41. END OF ASSETS IN 401(k)									
42. IRAWestern National (Am. Gen.)	B	Interest	K	T					
43. Charles Schwab IRA See below									
44. SCHEDULE OF ASSETS & TRANSACTIONS									
45. Marathon Petroleum	A	Dividend	K	T					
46. Eli Lilly	A	Dividend	K	T					
47. Alcoa Inc.	A	Dividend	J	T					
48. Pimco Hi Yld Inst.	B	Interest	K	T	Buy	01/16/15	K		
49. Vanguard Emerg. Govt ETF	C	Interest	L	T	Buy	09/25/15	L		
50. Marathon (USX) Oil	A	Dividend	J	T					
51. DFA 5 Yr. Global	A	Interest	K	T	Buy	06/15/15	K		

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52. DFA U.S. Micro Cap	A	Dividend	L	T	Buy (add'l)	09/03/15	J		
53. DFA Int. Lg. Cap. Val.	B	Dividend	K	T					
54. DFA Int. Lg. Cap.	B	Dividend	L	T	Sold (part)	12/10/15	K	D	
55. DFA Lg. Cap. Val.	A	Dividend	K	T					
56. DFA Int. Sm. Cap. Val.	A	Dividend	K	T					
57. DFA Int. Small Cap	A	Dividend	K	T					
58. Vanguard Int. Growth Ad	A	Dividend	K	T					
59. Vanguard Growth Index Adm	A	Dividend	L	T					
60. Schwab Money Market - H	A	Interest	J	T					
61. Home Depot	A	Dividend	K	T	Sold (part)	04/21/15	K	E	
62. Vanguard ST Infl. Prot		None	K	T	Buy (add'l)	02/27/15	K		
63. Vanguard Infl.	A	Interest	K	T					
64. Vanguard Short Term Bd Adm	B	Interest	M	T					
65. Vanguard GNMA	A	Interest	K	T					
66. DFA Emerging Core Eq	A	Dividend	K	T					
67. Vanguard Ex. Mkt. Adm	A	Dividend	J	T					
68. Vanguard ST Invest Adm	B	Interest	L	T					

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69. DFA US Sm. Cap. Value	A	Dividend	K	T					
70. AQR Sm M	A	Dividend	J	T					
71. AQR M Large	A	Dividend	L	T					
72. DFA US Large Cap.	B	Dividend	L	T	Sold (part)	01/20/15	K	D	
73. DFA US Small Cap. Port	A	Dividend	K	T					
74. DFA World Ex USG	D	Interest	L	T					
75. DFA Real Estate	A	Dividend	K	T	Buy	04/21/15	K		
76. DFA Intl. REIT	A	Dividend	J	T	Buy	04/21/15	J		
77. Pimco Low Duration	B	Interest	K	T					
78. Pimco Emerg Full	C	Interest			Buy (add'l)	02/27/15	J		
79. Pimco Emerg Full	C	Interest			Sold	09/25/15	L		
80. Pimco GI Adv Str	A	Interest			Buy (add'l)	02/27/15	J		
81. Pimco GI Adv Str	A	Interest			Sold	06/10/15	K		
82. DFA Two Yr. Global	A	Interest	M	T	Buy (add'l)	12/17/15	L		
83. DFA Two Yr. Global	A	Interest	M	T	Buy (add'l)	01/16/15	J		
84. DFA Two Yr. Global	A	Interest	M	T	Buy (add'l)	02/27/15	J		
85. Stone Ridge Reinsurance	A	Interest	M	T	Buy	12/14/15	M		

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2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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86. END OF ASSETS IN IRA-MLH									
87. Schwab Cal Muni Mut Fund	A	Interest	M	T					
88. GCWF Partners II		None	J	T					
89. GCWF (A-E)Invest. Partners I	B	Distribution	J	T					
90. GCWF (F-N)Invest Partners II	D	Distribution	K	T					
91. DLA Piper Venture 2006	B	Distribution	K	T					
92. Onco Fluor, Inc. (Fluro Probe)		None	J	W					
93. Bella Mar RV Park LLC		None	J	T					
94. Vanguard CA Inst Adm	C	Interest	M	T					
95. Altria	B	Dividend	K	T					
96. Kraft/Heinz	A	Dividend	J	T	Sold (part)	07/16/15	J	B	
97. Mondelez Int.	A	Dividend	J	T					
98. Extreme Networks		None	J	T					
99. Halyard Health		None	J	T					
100. II-IV, Inc.		None			Sold	08/15/15	J	A	
101. SPDR Dow Jones (DIA) Ind	A	Dividend	M	T	Buy (add'l)	05/21/15	K		
102. SPDR Dow Jones (DIA) Ind	A	Dividend	M	T	Buy (add'l)	08/20/15	K		

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103. SPDR Dow Jones (DIA) Ind	A	Dividend	M	T	Buy (add'l)	08/21/15	K		
104. SPDR Dow Jones (DIA) Ind	A	Dividend	M	T	Buy (add'l)	09/22/15	K		
105. DFA US Micro Cap Port	A	Dividend	K	T					
106. DFA Real Estate	B	Dividend	L	T	Buy (add'l)	04/17/15	L		
107. Mid Amer Apt. Cmnty	B	Dividend	K	T					
108. DFA Intl. REIT	A	Interest	K	T	Buy	05/26/15	K		
109. Vanguard Ind. ex US RE ETF	A	Dividend	K	T	Buy	04/20/15	K		
110. Starbucks	A	Dividend	L	T					
111. Qualcomm	A	Dividend			Buy (add'l)	01/29/15	K		
112. Qualcomm	A	Dividend			Sold (part)	07/22/15	K		
113. Qualcomm	A	Dividend			Sold	08/11/15	J	E	
114. Pure Funds Cyber ETF		None	K	T	Buy	07/23/15	K		
115. Pure Funds Cyber ETF		None	K	T	Buy (add'l)	08/20/15	K		
116. Cisco Systems	A	Dividend	K	T					
117. Quality Systems, Inc.	A	Dividend	J	T					
118. Occidental Pet.	B	Dividend	K	T					
119. QLT		None			Sold	04/20/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

HUFF, MARILYN L.

Date of Report

08/29/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Claymore Guggenheim Strat.	B	Int./Div.	K	T	Buy	04/21/15	K		
121. Guggenlm Spinoff ETF	A	Dividend	K	T	Spinoff (from line 120)	04/21/15	K		
122. Care Fusion		None			Sold	03/17/15	K	E	
123. Ambev SA	A	Dividend			Sold	04/20/15	J		
124. Property, San Diego Co., CA-Appraisal Date 8/18/03 (T)		None			Sold	01/13/15	P1	G	R.Hawes Fm Tr. 11
125. ISH Index SP 500	B	Dividend	L	T	Buy (add'l)	08/24/15	K		
126. ISH Core SP 500 ETF	B	Dividend	L	T					
127. ISH Index FD TR Msci/EAFE	A	Dividend	J	T					
128. ISH S&P Mid Cap 400	A	Dividend	L	T	Buy (add'l)	05/01/15	K		
129. ISH S&P Mid Cap 400	A	Dividend	L	T	Buy (add'l)	07/22/15	L		
130. ISH S&P Sm Cap 600	A	Dividend	J	T					
131. ISH Trust/ Core High Div ETF	A	Dividend	L	T	Buy (add'l)	04/01/15	K		
132. HY Corp ETF F/K/A ISH Trust	A	Interest	J	T					
133. Allianz Div. Fund	A	Dividend	K	T					
134. RSB IRA Morgan Stanley (ASSETS BELOW)									
135. Mod. Growth (IRA)(TA) (IDEX)	D	Distribution	L	T					
136. Growth Port. (IRA) (TA) (IDEX)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

HUFF, MARILYN L.

Date of Report

08/29/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Morgan Stanley (Smith Barney)MM	A	Interest	J	T					
138. Power Shares High Yld. Eq. ETF	A	Dividend	J	T					
139. Power Shares SQQQ Trl ETF	B	Dividend	M	T					
140. Kimberly Clark	A	Dividend	K	T					
141. Vanguard Windsor II	A	Dividend	K	T	Buy (add'l)	04/01/15	J		
142. USE Credit Union SDCA Accts	A	Interest	J	T					
143. Vanguard CA MM(T)	A	Interest	O	T					
144. Coronado First Bank	A	Interest	M	T					
145. Vanguard Life Strategy	A	Dividend	L	T					
146. Schwab US Div Eq ETF	A	Dividend	J	T	Buy	04/17/15	K		
147. Illumina		None	M	T	Buy (add'l)	10/29/15	K		
148. Becton Dickinson	A	Dividend	J	T	Buy	03/17/15	J		
149. Becton Dickinson	A	Dividend	J	T	Sold (part)	03/18/15	J		
150. SPDR Dow Jones S&P 500 ETF	C	Dividend	M	T	Buy (add'l)	01/05/15	K		
151. SPDR Dow Jones S&P Div ETF	B	Dividend	M	T	Buy (add'l)	04/17/15	K		
152. SPDR Dow Jones S&P Div ETF	B	Dividend	M	T	Buy (add'l)	07/23/15	K		
153. SPDR Dow Jones S&P Div ETF	B	Dividend	M	T	Buy (add'l)	09/22/15	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

HUFF, MARILYN L.

Date of Report

08/29/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Sector SPDR Energy Select Div ETF	A	Dividend			Sold	12/21/15	K		
155. SPDR Em Mkts	B	Dividend	J	T	Buy (add'l)	04/01/15	J		
156. VMWare		None			Sold	04/20/15	J		
157. Philip Morris	B	Dividend	K	T					
158. Raytheon	A	Dividend	K	T	Buy (add'l)	08/24/15	K		
159. Chase Accts./JP Morgan	A	Interest	N	T					
160. CitiBank Accts	A	Interest	N	T					
161. US Bank (T) (SD Nat'l Bank)	A	Interest	N	T					
162. Union Bank Acct (T)		None	K	T					
163. Clorox	B	Dividend	L	T	Buy (add'l)	08/24/15	K		
164. Andersons	B	Dividend	L	T					
165. Pepsico	B	Dividend	K	T					
166. Coca Cola	B	Dividend	L	T	Buy (add'l)	08/27/15	K		
167. Cal. B & T Acct	A	Interest	N	T					
168. Stoneridge LM LLC	D	Distribution	L	T					
169. Enclave Lake Murray	D	Distribution	L	T					
170. MMB Investments		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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Name of Person Reporting

HUFF, MARILYN L.

Date of Report

08/29/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. American Express	A	Dividend	J	T					
172. ALPS Trust ETF Alerian	B	Dividend	K	T					
173. Wal Mart	B	Dividend	L	T					
174. Vanguard FTSE Dev ETF	B	Dividend	K	T	Buy	05/05/15	K		
175. Vanguard FTSE Dev ETF	B	Dividend	K	T	Buy (add'l)	07/27/15	K		
176. Schw Emg Mkt Eq EFT	B	Dividend	K	T	Buy (add'l)	04/01/15	J		
177. Schw Emg Mkt Eq ETF	B	Dividend	K	T	Sold (part)	12/21/15	J	D	
178. Enerplus	A	Dividend			Sold	12/21/15	J		
179. Kinder Morgan Inc.	B	Dividend			Sold	12/21/15	J		
180. Cal. Resources Corp.	A	Dividend	J	T					
181. PV Opportunity Fund III	D	Distribution	L	T					
182. American Properties	C	Distribution	L	T					
183. Barcelona 326 Juniper	D	Distribution	L	T					
184. Schwab Gurtin List of Assets (T)									
185. Holmen W1 GO 10/01/16	D	Interest	M	T					
186. CA Muni MM	A	Interest	M	T					
187. SD CA GO 7/01/26	B	Interest			Redeemed	07/01/15	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

HUFF, MARILYN L.

Date of Report

08/29/2016

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. CA St. GO 5/1/30	C	Interest			Buy (add'l)	02/18/15	M		
189. CA St. GO 5/01/30	C	Interest			Redeemed	10/09/15	L		
190. CA Ed 1 Rev 12/32/15	A	Interest			Redeemed	12/01/15	K		
191. CA ED 1 Rev 12/1/32Univ CA	B	Interest			Redeemed	12/01/15	L		
192. SD CA Redev 31 RDA	C	Interest	M	T	Buy	08/20/15	M		
193. Lans Creuse MI GO 5/01/35	A	Interest			Redeemed	05/01/15	K		
194. Golden State Tbco Rev. 35	C	Interest			Redeemed	06/01/15	K		
195. Met Water Dist. So CA 7/1/35	B	Interest			Redeemed	07/01/15	K		
196. MA St. Hlth Haward 7/15/35	B	Interest			Redeemed	07/15/15	K		
197. Pflegrull TX Wtr. Rev. 8/01/35	B	Interest			Redeemed	08/01/15	L		
198. LA Dept. Water & Power 7/01/36	B	Interest			Redeemed	07/01/15	K		
199. LA CA W/P 35 U	B	Interest	L	T	Buy	01/08/15	L		
200. Truckee Mdws NV Rev. 7/01/36	B	Interest			Redeemed	07/01/15	L		
201. Univ. CA Rev. 5/15/37	C	Interest	L	T					
202. Wash CA COP Rev 8/01/37	B	Interest	K	T					
203. Golden St Tbco Rev.	C	Interest			Buy (add'l)	01/08/15	L		
204. Golden St Tbco Rev. 35	C	Interest			Buy (add'l)	03/23/15	L		

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000 (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market

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Name of Person Reporting

HUFF, MARILYN L.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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205. Golden St Tbcv Rev 35	C	Interest			Redeemed	06/01/15	L		
206. Golden St Tb Rev 6/01/38	B	Interest			Redeemed	06/01/15	L		
207. LA Dept W/P 31	B	Interest			Buy	03/06/15	M		
208. LA Dept W/P 31	B	Interest			Redeemed	07/01/15	M		
209. LA Dept W/P 38	A	Interest	L	T	Buy	12/17/15	L		
210. PA St HGR EDL FAC 38 ED	B	Interest			Buy	01/14/15	M		
211. PA St HGR EDL FAC 38 ED	B	Interest			Redeemed	07/15/15	M		
212. SF CA CY/CN 27	C	Interest	M	T	Buy	07/29/15	M		
213. Modesto CA IRR 37 U	A	Interest	L	T	Buy	07/06/15	L		
214. MET Pier ETC IL 28	B	Interest	M	T	Buy	08/05/15	K		
215. MET Pier ETC IL 28	B	Interest	M	T	Buy (add'l)	09/18/15	L		
216. Chicago IL PK Dist 30	B	Interest	L	T	Buy	08/28/15	L		
217. Chicago IL PK Dist 28	A	Interest	K	T	Buy	08/28/15	L		
218. Chicago IL PK Dist 29	A	Interest	K	T	Buy	08/20/15	K		
219. Chicago IL PK Dist 22	B	Interest			Buy	05/18/15	L		
220. Chicago IL PK Dist 22	B	Interest			Sold	06/05/15	L		
221. Fresno CA 41 SCH		None	K	T	Buy	08/18/15	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

HUFF, MARILYN L.

Date of Report

08/29/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

222. END OF GURTIN									
223. BK OK IRA									
224. Schwab Bank MM	A	Interest	N	T	Buy	04/15/15	N		
225. DFA Real Estate	A	Interest	K	T	Buy	04/15/15	K		
226.									
227.									
228.									
229.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

HUFF, MARILYN L.

Date of Report

08/29/2016

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Line 6. This is a Real Estate Trust Deed Fund in a 401(k) savings plan. Participants do not have investment control, nor do they own the underlying assets in the fund.

Line 18. See Line 19 for disposition.

Line 187. See 188 for redemption.

Line 202-203. See Line 204-205 for redemption.

In my 2014 report, I listed Power Shares ETF TR. It is actually Power Shares High Yield Eq. See line 138.

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

HUFF, MARILYN L.

Date of Report

08/29/2016

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ MARILYN L. HUFF**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544