

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) BOWEN, DUDLEY H.	2. Court or Organization SOUTHERN DISTRICT OF GEORGIA	3. Date of Report 06/03/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) UNITED STATES SENIOR DISTRICT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address UNITED STATES COURTHOUSE P O BOX 2106 AUGUSTA, GEORGIA 30903		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

BOWEN, DUDLEY H.

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06/03/2014

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 3 of 10

Name of Person Reporting

BOWEN, DUDLEY H.

Date of Report

06/03/2014

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting

BOWEN, DUDLEY H.

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06/03/2014

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. CHARLES SCHWAB IRA #1									
2. General Maritime New F	A	Dividend	J	T					
3. Pimco Total Return Fund	A	Dividend	K	T					
4. Dynegy Inc	A	Dividend	J	T					
5. CHARLES SCHWAB BOWEN/CO									
6. ABN AMRO / VERDUS Gwth Fd	A	Dividend	L	T					
7. Exxon Mobil Common Stk	A	Dividend	K	T					
8. DuPont E I De Nemour and Co com	A	Dividend	J	T					
9. Wachovia Corp New	A	Dividend	J	T					
10. Charles Schwab Money Market	B	Interest	J	T					
11. Pimco Total Return Fund	B	Dividend	J	T					
12. ABN AMRO VERDX MCGFX FUNDS (ALLEGHANY)	D	Dividend	M	T					
13. E I DEUPONT DRIP	A	Dividend	J	T					
14. Ga Bk & Tr Money Mkt Market	B	Interest	M	T					
15. Ga Bk & Tr Savings	A	Interest	K	T					
16. TIAA CREF MUTUAL FUND	A	Dividend	L	T					
17. CSRA Fed Cr Union	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Real PPTY McCormick County, SC	D	Distribution	J	W					
19. Barclays Mon Mkt Acct	B	Interest	J	T					
20. Atlantic Amer Com		None	J	T					
21. Regions Bank Savings	A	Interest	J	T					
22. CHARLES SCHWAB IRA # 2									
23. IDII Stock	A	Dividend	J	T					
24. Merrill Lynch Pfd	A	Dividend	K	T					
25. Pimco Total Return Fund	B	Dividend	J	T					
26. Pimco Real Return Fund	B	Dividend			Buy	01/01/13	K		
27. Pimco Real Return Fund	A	Dividend			Sold	11/27/13	K	A	
28. Alcoa Inc	A	Dividend			Buy	04/05/13	J		
29. Alcoa Inc	A	Dividend			Sold	05/23/13	J	A	
30. Alcoa Inc	A	Dividend			Buy	04/18/13	J		
31. Alcoa Inc	A	Dividend			Sold	05/23/13	J	A	
32. Alcoa Inc	A	Dividend			Buy	06/20/13	J		
33. Alcoa Inc	A	Dividend			Sold	10/31/13	J	B	
34. CHARLES SCHWAB FORMERLY T ROWE PRICE									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 6 of 10

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35. Pengrowth Energy Corp	A	Dividend			Buy	02/25/13	J		
36. Pengrowth Energy Corp	A	Dividend			Sold	03/25/13	J	A	
37. Pengrowth Energy Corp	A	Dividend	J	T	Buy	04/18/13	J		
38. Blackrock CP High Yield	A	Dividend	J	T	Buy	01/22/13	J		
39. Blackrock CP High Yield	A	Dividend	J	T	Sold (part)	11/20/13	J	A	
40. Motorola	A	Dividend	J	T					
41. HD Supply, Inc	A	Dividend			Buy	04/12/13	K		
42. HD Supply, Inc	A	Dividend			Sold	08/01/13	K	A	
43. Lucent	A	Dividend	J	T					
44. Pimco Real Return Fund	A	Dividend			Buy	03/11/13	K		
45. Pimco Real Return Fund	A	Dividend			Sold	11/27/13	K	A	
46. Pimco Total Return Fund	A	Distribution	K	T	Buy	03/11/13	K		
47. The Southern Company	A	Dividend	J	T	Buy	12/24/13	J		
48. The Southern Company	A	Dividend	J	T	Buy	12/13/13	J		
49. New Hampshire DOE	A	Dividend	J	T	Buy	12/13/13	J		
50. New Hampshire DOE	A	Dividend	J	T	Buy	10/15/13	J		
51. New Hampshire HIGHE	A	Interest	J	T	Buy	04/18/13	J		

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FINANCIAL DISCLOSURE REPORT

Page 7 of 10

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52. ISTAR FINL Inc	A	Dividend	J	T	Buy	04/11/13	J		
53. Westwood Holdings Group	A	Dividend	J	T	Buy	04/11/13	J		
54. Xerox	A	Dividend	J	T					
55. SG Structured PRO VAR	A	Dividend	K	T	Buy	11/29/13	K		
56. General Motors	A	Dividend	J	T					
57. Schwab Money Market	A	Interest	J	T					
58. TCP Capital Corp	A	Dividend	K	T	Buy	05/15/13	K		
59. TCP Capital Corp	A	Dividend	K	T	Buy	05/21/13	K		
60. Bank of America VAR	B	Dividend	K	T	Buy	08/02/13	K		
61. Gainesville GA	B	Interest	K	T	Buy	04/08/13	K		
62. HD Supply Inc	B	Interest	K	T	Buy	05/01/13	K		
63. HD Supply Inc	A	Interest	K	T	Buy	04/12/13	K		
64. Kansas INDPT COL	A	Interest	K	T	Buy	05/02/13	K		
65. Morgan Stanley	C	Interest	K	T	Buy	05/29/13	K		
66. Nataxis US VAR	B	Interest	K	T	Buy	05/03/13	K		
67. Powershares ETF	A	Dividend	K	T	Buy	03/28/13	K		
68. Franklin GA Tax Free	A	Interest	K	T	Buy	04/09/13	K		

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FINANCIAL DISCLOSURE REPORT

Page 8 of 10

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69. Maingate MLP FD CL I	A	Interest	K	T	Buy	05/15/13	K		
70. Matthews Asia Dividend	A	Dividend	J	T	Buy	06/13/13	K		
71. Nuveen DIV Advan Muni	A	Dividend	J	T	Buy	03/28/13	K		
72. Nuveen DIV Advan Muni	A	Interest	J	T	Buy	05/03/13	K		
73. Oaktree Capital Group	A	Interest	K	T	Buy	05/15/13	K		
74. PROSHS ULTRASHT ETF	A	Dividend	K	T	Buy	07/26/13	K		
75. Kinder Morgan Inc	A	Distribution	J	T					
76. Northern Borders Partners nbp	A	Distribution	K	T					
77. Northern Borders Partners nbp	A	Distribution	J	T					
78. TRUST CHARLES SCHWAB									
79. Willis Group Holdings	A	Dividend	K	T					
80. Sch Adv Cash Res swzxx	A	Dividend			Sold	12/16/13	J	A	
81. T Rowe Price Spectrum	A	Dividend	J	T					
82. Ford	A	Dividend	J	T	Buy	12/16/13	J		
83. CHARLES SCHWAB IRA #3 ROLLOVER									
84. Schwab Adv Cash Reserve	A	Dividend	K	T					
85. General Electric	A	Dividend	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting

BOWEN, DUDLEY H.

Date of Report

06/03/2014

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

BOWEN, DUDLEY H.

Date of Report

06/03/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ DUDLEY H. BOWEN**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544