

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Bough, Stephen R.	2. Court or Organization U.S. District Court Western District of Missouri	3. Date of Report 05/09/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Judge, Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address U.S. Courthouse 400 E. 9th, Suite 7462 Kansas City MO 64106		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Vice President	Federal Bar Association - Western District of Missouri and District of Kansas
2. Board member	UMKC Law Foundation
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2014	Blake Heath purchased the assets of The Law Offices of Stephen R. Bough with a written agreement for 25% of the contingent fee at the end of the litigation.
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2015	Blake Heath Trial Attorney LLC, pursuant to the written agreement referenced in Part II. The agreement is a fixed percentage of the contingent fee.	\$126,660.75
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	Lewis Rice & Fingersh, salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Pembroke Hill	Tuition Agreement	K
2.	St. Paul's	Tuition Agreement	J
3.	Dept. of Education - Direct Loan MOHELA	Student Loan	M
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. 529 Plan - Grant (H)									
2. - Invested Portf Balanced PTF A	A	Dividend	K	T					
3. - Ivy Fund Asset Strategy E	A	Dividend	J	T					
4. - Ivy Fund Real Estate Securities E	A	Dividend	J	T	Buy	04/14/15	J		
5. - Ivy Science & Tech E	D	Dividend	K	T					
6. - Ivy Global Nat Resources E	A	Dividend			Sold	04/14/15	J		
7. Roth IRA - Stephen (H)									
8. - Ivy Asset Strategy A	A	Dividend	L	T					
9. - Ivy Euro Opp A	A	Dividend	J	T					
10. - Ivy Fund - Cundill Global Value A	A	Dividend	J	T					
11. - Ivy Fund - Dividend Opp A	D	Dividend	L	T					
12. - Ivy Fund - High Income A	B	Dividend	K	T					
13. - Ivy Fund - Small Cap Value Fund A	A	Dividend	J	T					
14. - Ivy Global Income Alloc A	A	Dividend	K	T					
15. - Ivy Global Real Estate A	A	Dividend	K	T	Buy	04/13/15	K		
16. - Ivy Managed Inter Opp A	C	Dividend	K	T					
17. - Ivy Mid Cap Growth A	B	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - W & R Adv Core Invest A	A	Dividend	K	T					
19. - W & R Adv Sci & Tech A	A	Dividend	K	T					
20. - Ivy Energy A	A	Dividend			Sold	04/13/15	J		
21. - W & R Adv Global Bond A	A	Dividend			Sold	04/13/15	J		
22. Simple 401K - Stephen (H)									
23. - Ivy Fund Dividend Opp A	A	Dividend	K	T	Buy (add'l)	01/16/15	J		
24. - Ivy Fund High Income A	B	Dividend	J	T					
25. - Ivy Global Income Alloc A	A	Dividend	K	T	Buy (add'l)	01/16/15	J		
26. - Ivy Global Nat Resource A	A	Dividend	J	T	Buy (add'l)	01/16/15	J		
27. - W & R Adv Core Invest A	A	Dividend	J	T					
28. JTN TOD - Andrea & Stephen (H)									
29. - Ivy Fund Real Estate Sec A	C	Dividend	L	T	Buy	04/13/15	K		
30. - Ivy Fund Small Cap Value A	C	Dividend	K	T					
31. - Ivy Global Income Alloc A	C	Dividend	K	T					
32. - Ivy Managed Intern Opp A	B	Dividend	K	T					
33. - W & R Adv Asset Strat A	A	Dividend			Sold (part)	04/13/15	K		
34. - W & R Adv Asset Strat A	A	Dividend			Sold	10/07/15	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. - W & R Adv Core Invest A	C	Dividend	K	T					
36. - W & R Adv Global Bond A	A	Dividend	J	T					
37. - W & R Advisors Dividend Opp A	A	Dividend	K	T					
38. - W & R Advisors Sci & Tech	D	Dividend	L	T					
39. 529 Plan - Ashley (H)									
40. - Invest Portf Balanced PTF A	A	Dividend	K	T					
41. - Ivy Fund Asset Strategy E	A	Dividend	K	T					
42. - Ivy Fund Intern Balanced E	A	Dividend	J	T					
43. - Ivy Mid Cap Growth E	A	Dividend	J	T					
44. Exclusive K - Andrea (H)									
45. - Ivy Fund Dividend Opp A	A	Dividend	K	T					
46. - Ivy Fund Small Cap Value A	A	Dividend	J	T					
47. - W & R Adv Core Invest A	A	Dividend	K	T					
48. - W & R Advisors New Concepts A	A	Dividend	K	T					
49. Roth IRA - Andrea (H)									
50. - W & R Adv Continentl Inc A	A	Dividend	J	T					
51. - W & R Adv High Income A	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. - W & R Advisors New Concepts A	A	Dividend	J	T					
53. - W & R Advisors Science & Tech A	A	Dividend	J	T					
54. - W & R Advisors Small Cap A	A	Dividend	J	T					
55. UTMA - Ashley (H)									
56. - Ivy Euro Opp A	A	Dividend	J	T					
57. - Ivy Small Cap Value A	A	Dividend	J	T					
58. - Ivy Global Nat Resource A	A	Dividend	J	T					
59. Individual TOD - Stephen (H)									
60. - Ivy Real Estate Sec A	A	Dividend	J	T	Buy	02/23/15	J		
61. - Ivy Global Income Alloc A	A	Dividend	J	T	Buy	02/23/15	J		
62. - W & R Adv Core Invest A	A	Dividend	J	T	Buy	02/23/15	K		
63. - W & R High Income A	A	Dividend	J	T	Buy	01/27/15	J		
64. - W & R Adv Science & Tech A	A	Dividend	J	T	Buy	01/16/15	J		
65. - W & R Adv Asset Strategy A	A	Dividend			Sold	02/23/15	J		
66. - Ivy Global Bond A	A	Dividend			Sold	02/23/15	J		
67. Rental House - Gregory - Kansas City MO	D	Rent	M	W					
68. Rental House - Rymeg - Belton MO	D	Rent	M	W					

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69. Rental House - Holmes - Kansas City MO	D	Rent	M	W					
70. Missouri Bank & Trust	A	Interest	K	T					
71. Vanguard Prime Money Mkt Fund	A	Dividend	J	T					
72. American Funds AMCAP Fund	A	Dividend	J	T					
73. American Funds Capital World Bond	A	Dividend	J	T					
74. American Funds Euro Pacific Growth	A	Dividend	J	T					
75. American Funds Fundamental Investors	A	Dividend	J	T					
76. American Funds New Perspective	A	Dividend	J	T					
77. Lewis Rice Profit Sharing BOK Financial	A	Dividend	J	T					
78. Lewis Rice 401K BOK Financial	A	Dividend	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Stephen R. Bough

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544