

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Jones, David R.	2. Court or Organization U.S. Bankruptcy Court, Southern District of Texas	3. Date of Report 03/20/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address U.S. Courthouse 515 Rusk Avenue, Room 4505 Houston, Texas 77002		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2004-2011	Withdrawal provisions of law partnership agreement with Porter Hedges LLP (former firm) - still receiving payments
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2. 2013	Withdrawal payments from law partnership (final year)	\$117,820.00
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. State Bar of Texas	5/18/13-5/28/13	Arusha, Tanzania	Non-FJC educational seminar	Airfare, meals and lodging
2. State Bar of Texas	6/05/13-6/07/13	San Antonio, Texas	Non-FJC educational seminar	Airfare, meals and lodging
3. National Association of Bankruptcy Trustees	8/07/13-8/10/13	White Sulfur Springs, WV	Non-FJC educational seminar	Airfare, meals and lodging
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. BOA Bank Accounts	A	Interest	O	T					
2. Capital One Bank Account	B	Interest			Closed	8/05/13	M		
3. ING Bank Account n/k/a Capital One 360	B	Interest	N	T					
4. ING Cap. Allocation Mutual Fund	A	Int./Div.	J	T					
5. Compass Bank Accounts	A	Interest	M	T					
6. Am/Ex Bank Account	A	Interest	M	T					
7. PIMCO Total Return Fund (401k)					Closed	01/24/13	L		
8. Royce Value Fund (401k)	A	Int./Div.			Closed	01/24/13	J		
9. Scout International Fund (401k)	A	Int./Div.			Closed	01/24/13	J		
10. American Funds Mutual Fund (401k)	A	Int./Div.			Closed	01/24/13	K		
11. Vanguard Div. Growth Fund (401k)	B	Int./Div.			Closed	01/24/13	K		
12. Vanguard Total Stock Indx. Fund (401k)	B	Int./Div.			Closed	01/24/13	K		
13. Vanguard Total Bond Mkt. Index Fund (401k)	A	Int./Div.			Closed	01/24/13	K		
14. Vanguard Wellington Fund (401k)	C	Int./Div.			Closed	01/24/13	L		
15. AEP common (IRA)	A	Dividend	J	T					
16. Chevron common (IRA)	A	Dividend	K	T					
17. Conoco Phillips/Phillips 66 common (IRA)	B	Dividend	L	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Exxon common (IRA)	A	Dividend	K	T					
19. Fedex common (IRA)	A	Dividend	K	T					
20. Royal Dutch common (IRA)	B	Dividend	K	T					
21. Service Corp common (IRA)	A	Dividend			Sold	01/29/13	J	B	
22. American Balance Fund (IRA)	B	Int./Div.	M	T					
23. Capital World Growth & Income Fund (IRA)	B	Int./Div.	L	T					
24. Fundamental Investors Fund (IRA)	B	Int./Div.	M	T	Buy (add'l)	01/29/13	K		
25. Income Fund of America (IRA)	D	Int./Div.	M	T					
26. Templeton Dragon Fund (IRA)	A	Int./Div.	J	T					
27. Washington Mutual Fund (IRA)	B	Int./Div.	M	T					
28. Allstate Corp. Dep. Shares (IRA)	A	Int./Div.	L	T	Buy	12/09/13	L		
29. Colony Tex Dec. Corp Tax Increment Contract (IRA)	C	Int./Div.	K	T	Buy	02/07/13	K		
30. GMS Money Market (IRA)	A	Int./Div.	M	T	Open	01/24/13	M		
31. Texas Municipal Bonds	G	Interest	P1	T	Redeemed (part)	03/28/13	L	A	
32.					Redeemed (part)	03/25/13	K	A	
33.					Redeemed (part)	09/03/13	K	A	
34.					Redeemed (part)	02/13/13	J	A	

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(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
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35.					Redeemed (part)	02/13/13	J	A	
36.					Sold (part)	04/19/13	K	A	
37.					Redeemed (part)	09/03/13	K	A	
38.					Redeemed (part)	04/01/13	K	A	
39.					Sold (part)	09/30/13	L	A	
40.					Sold (part)	06/11/13	K	A	
41.					Sold (part)	09/30/13	L	A	
42.					Sold (part)	04/19/13	K	B	
43.					Sold (part)	12/16/13	L	D	
44.					Redeemed (part)	04/04/13	L	A	
45.					Redeemed (part)	04/04/13	L	A	
46.					Redeemed (part)	09/01/13	J	A	
47.					Redeemed (part)	02/15/13	K	A	
48.					Sold (part)	09/30/13	L	D	
49.					Sold (part)	04/19/13	L	C	
50.					Sold (part)	04/19/13	L	C	
51.					Buy (add'l)	03/06/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52.					Buy (add'l)	03/06/13	K		
53.					Buy (add'l)	04/24/13	L		
54.					Buy (add'l)	10/02/13	K		
55.					Buy (add'l)	04/04/13	L		
56.					Buy (add'l)	03/26/13	L		
57.					Buy (add'l)	04/19/13	J		
58.					Buy (add'l)	09/03/13	K		
59.					Buy (add'l)	02/12/13	L		
60.					Buy (add'l)	03/26/13	L		
61.					Buy (add'l)	08/23/13	K		
62.					Buy (add'l)	06/12/13	K		
63.					Buy (add'l)	10/02/13	K		
64.					Buy (add'l)	04/09/13	K		
65.					Buy (add'l)	04/26/13	K		
66.					Buy (add'l)	10/04/13	K		
67.					Buy (add'l)	04/19/13	K		
68.					Buy (add'l)	12/16/13	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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69.					Buy (add'l)	08/12/13	J		
70.					Buy (add'l)	06/21/13	K		
71.					Buy (add'l)	08/12/13	L		
72.					Buy (add'l)	07/18/13	K		
73.					Buy (add'l)	12/09/13	J		
74.					Buy (add'l)	10/09/13	L		
75.					Buy (add'l)	09/30/13	K		
76.					Buy (add'l)	01/30/13	K		
77. Colorado Municipal Bonds	D	Interest	N	T					
78. P&G common	A	Dividend	K	T					
79. Washington Mutual Fund	A	Int./Div.	M	T					
80. Am Ex Annuity	C	Interest	M	T					
81. Fundamental Investors Fund (SIRA)	A	Int./Div.	K	T					
82. AMCAP FUND Class A	A	Int./Div.	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **David R. Jones**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544