

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2017Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gorton, Nathaniel M.	2. Court or Organization District Court - Massachusetts	3. Date of Report 5/10/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Court - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address United States District Court 1 Courthouse Way, Suite 3110 Boston, MA 02210		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)☐ NONE (No reportable positions.)POSITIONNAME OF ORGANIZATION/ENTITY

1. Stockholder, Clerk/Secretary and Director	Slade Gorton Seafood Holdings, Inc., Boston, MA (closely held family seafood business) a MA corporation
2. Power of Attorney/Trustee (See NOTE in Part VIII)	Fidelity money market accounts (for all stockhds of Slade Gorton Seafood Holdings, Inc.) all of whom are [REDACTED] of the reporting party
3. Trustee (See NOTE in Part VIII)	Irrevocable Trust (created in connection with the estate plan of reporting party's sister)
4. Trustee (See NOTE in Part VIII)	1989 Irrevocable Trust and Irrevocable Sub S Trust (both created in connection with the estate plan of reporting party's [REDACTED])
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)☒ NONE (No reportable agreements.)DATEPARTIES AND TERMS

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 08/03/2017	Slade Gorton Holdings, Inc. (closely held [REDACTED] seafood business) corporate clerk/secretary, director, custodian, trustee and accounting duties	\$10,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. New York Intellectual Property Lawyers Association	04/01/2017	New York City	Attend annual NYIPLA dinner at Hilton Hotel	reimbursement for lodging and travel by train w. [REDACTED] from Boston to NYC
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Slade Gorton Seafood Holdings, Inc. (a MA corporation)(common stock)	F	Dividend	P1	Q					See Section VIII
2. Fidelity Cash Reserves (individual)	A	Int./Div.	J	T					
3. Charitable Remainder Trust									See Section VIII
4. - Johnson & Johnson	A	Dividend	K	T					
5. - Jack Henry & Associates	A	Dividend	J	T					
6. - CVS Caremark Corp (bond)	A	Interest	J	T					
7. - Automatic Data Processing Inc	A	Dividend	J	T					
8. - TJX Companies Inc.	A	Dividend			Sold	07/12/17	J	B	
9. - Ryder Systems Inc (bond)	A	Interest	J	T					
10. - Medtronic Inc.(bond)	A	Interest	J	T					
11. - Comcast Corp	A	Dividend	J	T					
12. - Microsoft Corp	A	Dividend	J	T					
13. - Nike Inc	A	Dividend	J	T					
14. - UnitedHealth Group Inc	A	Dividend	J	T					
15. - Procter & Gamble Co	A	Dividend	J	T					
16. - Costco Wholesale Corp	A	Dividend	K	T					
17. - 3M Co	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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18. - United Technologies Corp	A	Dividend			Sold	02/24/17	K	D	
19. - RPM Corp	A	Dividend			Sold	10/25/17	K	D	
20. - JP Morgan Chase & Co	A	Dividend	K	T					
21. - Novo Nordisk AS ADR-B	A	Dividend	J	T					
22. - Merck & Co. Inc.	A	Dividend			Sold	07/12/17	J	A	
23. - Patterson Cos Inc	A	Dividend			Sold	11/29/17	J		
24. - Waters Corporation		None	J	T					
25. - Astrazeneca Group PLC ADR	A	Dividend	J	T					
26. - Duke Energy (Bond)		None	J	T	Buy	02/07/17	J		
27. - Bristol-Myers Squibbb Co. (Bond)		None	J	T	Buy	02/15/17	J		
28. - Clorox Company CL (Bond)		None	J	T	Buy	10/31/17	J		
29. - Oracle Corp.		None	J	T	Buy	11/08/17	J		
30. - Watsco Inc.		Dividend	J	T	Buy	02/24/17	J		
31. - Air Prods & Chems Inc.		Dividend	J	T	Buy	10/25/17	J		
32. - First Republic Bank/San Fran		Dividend	J	T	Buy	10/25/17	J		
33. - Lockheed Martin Corp		Dividend	J	T	Buy	10/25/17	J		
34. - Qualcomm Inc.		Dividend	J	T	Buy	10/25/17	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. - Sherwin Williams Co.		Dividend	J	T	Buy	10/25/17	J		
36. Boston Financial Management, Inc. IRA									See Section VIII
37. - Copart Inc.		None	M	T					
38. - Patterson Cos Inc	A	Dividend			Sold (part)	07/10/17	K		
39.	A	Dividend			Sold	11/29/17	K		
40. - Jack Henry & Associates, Inc.	A	Dividend	K	T					
41. - Praxair, Inc. (bond)	B	Interest	K	T					
42. - Ford Motor Credit Co LLC (bond)	B	Interest			Matured	02/07/17	K		
43. - Royal Bank of Canada (bond)	A	Interest	K	T					
44. - CVS Caremark Corp (bond)	A	Interest	K	T					
45. - Unilever Capital Corp (bond)	A	Interest	K	T					
46. - Microsoft Corp	A	Dividend	K	T					
47. - Comcast Corp	A	Dividend	J	T					
48. - American Tower Corp - CL A	A	Dividend	K	T					
49. - iShares TR S&P Midcap 400	A	Dividend	L	T					
50. - iShares TR S&P Small Cap 600	A	Dividend	L	T					
51. - Verizon Communications (bond)	A	Interest			Sold	03/27/17	K	A	

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52. - Beam Inc. (bond)	A	Interest			Matured	05/17/17	K		
53. - Pitney Bowes Inc (bond)	B	Interest			Matured	09/15/17	K		
54. - Oneok Partners LP (bond)	A	Interest			Matured	09/01/17	K		
55. - Nike Inc CL B	A	Dividend	L	T					
56. - Diageo PLC ADR	A	Dividend	K	T					
57. - Procter & Gamble Company	A	Dividend	J	T					
58. - Chevron Corp	B	Dividend	L	T					
59. - JP Morgan Chase & Co	A	Dividend	K	T					
60. - Johnson & Johnson	B	Dividend	L	T					
61. - Novo-Nordisk AS ADR-B	A	Dividend	K	T	Sold (part)	07/10/17	K	E	
62. - United Health Group Inc	A	Dividend	K	T					
63. - 3M Co	A	Dividend	K	T					
64. - United Technologies Corp	A	Dividend			Sold	02/24/17	K	E	
65. - Air Prods & Chems Inc	B	Dividend	K	T					
66. - RPM International Inc Com	B	Dividend			Sold (part)	07/10/17	K	E	
67.	B	Dividend			Sold	10/25/17	L	E	
68. - Matthews Asia Small Cos	A	Dividend	K	T					

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69. - Merck & Co Inc. (bond)	A	Interest	K	T					
70. - Autozone Inc. (bond)	A	Interest	K	T					
71. - Berkshire Hathaway Fin Corp (bond)	B	Interest	K	T					
72. - Apple Inc (bond)	A	Interest	K	T					
73. - NBC Universal Media LLC (bond)	B	Interest	K	T					
74. - Moodys Corp	A	Dividend	K	T	Sold (part)	10/25/17	L	E	
75. - Waters Corporation		Dividend	K	T					
76. - Merck & Co, Inc.	A	Dividend			Sold	07/10/17	J	A	
77. - Easterline Technologies Corporation		Interest			Buy (add'l)	08/09/17	J		
78.					Sold	12/13/17	J		
79. - CME Group Inc	A	Dividend	K	T					
80. - Astrazeneca Group PLC ADR	A	Dividend	K	T					
81. - Duke Energy Progress - 1st Mtg. (Bond)		None	L	T	Buy	01/05/17	L		
82. - Autozone Inc. (Bond)	A	Interest	K	T	Buy	03/29/17	K		
83. - United Parcel Service (Bond)		Interest	K	T	Buy	04/11/17	K		
84. - Apple, Inc. (Bond)	A	Interest	K	T	Buy	08/01/17	K		
85. - Precision Castparts Corp. (Bond)	A	Interest	K	T	Buy	08/08/17	K		

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86. - Ingredion Inc.	A	Interest	K	T	Buy	10/25/17	K		
87. - First Republic Bank/San Fran	A	Interest	K	T	Buy	10/25/17	K		
88. - FNF Group	A	Interest	J	T	Buy	12/14/17	J		
89. - Lockheed Martin Corp	A	Interest	K	T	Buy	10/25/17	K		
90. - Watseo Inc	B	Interest	K	T	Buy	02/24/17	K		
91. - AMDOCS Limited		Interest	J	T	Buy	10/25/17	J		
92. - GTT Communications Inc		Interest	J	T	Buy	07/13/17	J		
93. - Qualcomm Inc.	B	Interest	K	T	Buy	10/25/17	K		
94. - Sherwin Williams	A	Interest	K	T	Buy	10/25/17	K		
95. - Luxottica Grp S P A ADR	A	Interest	J	T	Buy	07/10/17	J		
96. - Danone Sponsored ADR	A	Interest	J	T	Buy	07/10/17	J		
97. - Sun Life Finl Inc	A	Interest	J	T	Buy	07/10/17	J		
98. - ABB Ltd ADR	A	Interest	J	T	Buy	07/10/17	J		
99. - Broadcom Ltd	A	Interest	J	T	Buy	07/10/17	J		
100. - Constellation Software Inc Com	A	Interest	K	T	Buy	07/10/17	J		
101. - Novozymes A/S ADR	A	Interest	J	T	Buy	07/10/17	J		
102. Boston Financial Management, Inc. Revocable Trust									See Section VIII

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103. - CVS Caremark Corp (bond)	A	Interest	K	T					
104. - Deere & Company (bond)	B	Interest	K	T					
105. - Comcast Corp	A	Dividend	J	T					
106. - TJX Companies Inc	A	Dividend			Sold	07/27/17	J	A	
107. - Costco Whsl Corp	A	Dividend	J	T					
108. - Danaher Corp	A	Dividend			Sold	04/21/17	J	B	
109. - Welltower Inc.	B	Dividend			Sold	09/28/17	K	B	
110. - IShares TR S&P Midcap 400	A	Dividend	J	T					
111. - IShares TR S&P Small Cap 600	A	Dividend	J	T					
112. - United Health Group Inc	A	Dividend	K	T					
113. - Amazon Com Inc		None	K	T					
114. - Nike Inc CL B	A	Dividend	J	T					
115. - Anheuser Busch Inbev SA/NV-SP ADR	A	Dividend			Sold	12/05/17	J	C	
116. - Diago Plc ADR	A	Dividend	K	T					
117. - McCormick & Co Inc	A	Dividend	J	T					
118. - Chevron Corp	A	Dividend	J	T					
119. - JP Morgan Chase & Co	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Gorton, Nathaniel M.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

120. - Bristol Meyers Squibb Co	A	Dividend			Sold	04/24/17	J	A	
121. - Johnson & Johnson	A	Dividend	K	T					
122. - 3M Co	A	Dividend	K	T					
123. - United Technologies Corp	A	Dividend			Sold	02/24/17	J	B	
124. - Apple Computer Inc	A	Dividend	J	T					
125. - Automatic Data Processing	A	Dividend	J	T					
126. - Microsoft Corp	A	Dividend	J	T					
127. - Air Prods & Chems	A	Dividend	J	T					
128. - Praxair Inc	A	Dividend	K	T					
129. - RPM International Inc Com	A	Dividend	J	T					
130. - Avalonbay Cmnty Inc	A	Dividend	J	T					
131. - Oracle Corp (Bond)	A	Interest			Matured	10/15/17	K		
132. - Copart Inc.		Dividend	K	T					
133. - Patterson Cos Inc	A	Dividend			Sold	11/29/17	J		
134. - Norwood, MA - GO (Bond)	A	Interest	K	T					
135. - Shrewsbury, MA - GO (Bond)	A	Interest	K	T					
136. - Moodys Corp	A	Dividend	J	T	Sold (part)	10/25/17	J	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Gorton, Nathaniel M.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. - Waters Corporation		Dividend	J	T	Buy (add'l)	04/21/17	J		
138. - Alphabet Inc-CL C		None	K	T					
139. - Esterline Technologies Corporation		None			Sold	12/13/17	J		
140. - Carter Inc Com	A	Dividend	J	T					
141. - CME Group Inc	A	Dividend	K	T					
142. - Mastercard Inc - Class A	A	Dividend	K	T					
143. - Sun Life Finl Inc	A	Dividend	J	T					
144. - Massachusetts St GO Ref (Bond)	B	Interest	K	T	Buy	09/06/17	K		
145. - Nantucket, MA GO (Bond)	A	Interest	K	T	Buy	11/09/17	K		
146. -Mastercard Inc. (Bond)		Dividend	K	T	Buy	08/03/17	K		
147. - Ingredion Inc.	A	Dividend	J	T	Buy	12/05/17	J		
148. - First Republic Bank/San Fran	A	Dividend	J	T	Buy	09/28/17	J		
149.	A	Dividend	J	T	Buy (add'l)	10/25/17	J		
150. - FNF Group	A	Dividend	J	T	Buy	12/14/17	J		
151. - Gilead Sciences	A	Dividend	J	T	Buy	04/24/17	J		
152. - KAR Auction Svcs Inc Com	A	Dividend	J	T	Buy	09/28/17	J		
153. - Republic Services Inc.	A	Dividend	J	T	Buy	08/09/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Gorton, Nathaniel M.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. - Watsco Inc.	A	Dividend	J	T	Buy	02/24/17	J		
155.	A	Dividend	J	T	Buy (add'l)	08/09/17	J		
156. - Qualcomm Inc	A	Dividend	J	T	Buy	10/25/17	J		
157. - Novozymes A/S ADR	A	Dividend	J	T	Buy	04/27/17	J		
158. Boston Financial Management Inc Revocable Trust									See Section VIII
159. - Phillips 66 (Bond)	A	Interest			Matured	05/01/17	K		
160. - Bristol Myers Squibb Co	A	Dividend			Sold	04/24/17	J	A	
161. - Johnson & Johnson	A	Dividend	J	T					
162. - Costco Wholesale Corp	A	Dividend	J	T					
163. - Amazon.com Inc.		Dividend	J	T					
164. - Automatic Data Processing Inc	A	Dividend	J	T					
165. - Automatic Data Processing Inc. (Bond)	A	Interest	K	T					
166. - United Technologies Corp	A	Dividend			Sold	02/24/17	J	B	
167. - Apple Inc	A	Dividend	J	T					
168. - American Tower Corp	A	Dividend	J	T					
169. - Anheuser Busch Inbev SA/NV-SP ADR	A	Dividend			Sold	12/05/17	J	A	
170. - Diageo PLC ADR	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. - Comcast Corp	A	Dividend	J	T					
172. - TJX Companies	A	Dividend			Sold	07/27/17	J	A	
173. - McCormick & Co Inc	A	Dividend	J	T					
174. - Praxair Inc	A	Dividend	J	T					
175. - Nike Inc - B	A	Dividend	J	T					
176. - United Health Group Inc	A	Dividend	J	T					
177. - Microsoft Corp	A	Dividend	J	T					
178. - 3M Co	A	Dividend	J	T					
179. - Chevron Corp	A	Dividend	J	T					
180. - Welltower Inc.	A	Dividend			Sold	09/28/17	J	B	
181. - JP Morgan Chase & Co	A	Dividend	J	T					
182. - Novo-Nordisk AS ADR-B	A	Dividend	J	T					
183. - Air Prods & Chems Inc.	A	Dividend	J	T					
184. - RPM International Inc Com	A	Dividend	J	T					
185. - iShares TR S&P Midcap 400	A	Dividend	J	T					
186. - iShares TR S&P Small Cap 600	A	Dividend	J	T					
187. - Copart Inc		Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Gorton, Nathaniel M.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. - Patterson Cos Inc	A	Dividend			Sold	11/29/17	J		
189. - Jack Henry & Associates Inc	A	Dividend	J	T					
190. - Apple Inc SR GLBL NT (Bond)	A	Interest	K	T					
191. - US Treasury Note (Bond)	A	Interest	K	T					
192. - Amgen, Inc. (Bond)	A	Interest	K	T					
193. - Moodys Corp	A	Dividend	J	T	Sold (part)	10/25/17	J	B	
194. - Watsco Inc	A	Dividend	J	T	Buy (add'l)	02/24/17	J		
195. - Qualcomm Inc	A	Dividend	J	T	Buy (add'l)	10/25/17	J		
196. - Esterline Technologies Corporation		Dividend			Sold	12/13/17	J		
197. - Carter Inc Com	A	Dividend	J	T					
198. - CME Group Inc	A	Dividend	J	T					
199. - Illinois Tool Works Inc. (Bond)	A	Interest	K	T	Buy	07/31/17	K		
200. - Mastercard Inc. (Bond)	A	Interest	K	T	Buy	08/03/17	K		
201. - Ingredion Inc.		Dividend	J	T	Buy	12/05/17	J		
202. - First Republic Bank/San Fran	A	Dividend	J	T	Buy	10/25/17	J		
203. - FNF Group	A	Dividend	J	T	Buy	12/14/17	J		
204. - Gilead Sciences	A	Dividend	J	T	Buy	04/24/17	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Gorton, Nathaniel M.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. - KAR Auction Svcs Inc Com	A	Dividend	J	T	Buy	09/28/17	J		
206. - Bank of America	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I, Lines 2 - 4 NOTE: None of the beneficiaries of the trusts and accounts listed in Part I of which the reporting party is a trustee is a dependent of the reporting party and neither the reporting party nor his [REDACTED] has any beneficial interest in or control over the disposition of assets of such trusts and accounts.

Part VII, Line 1 - Income of the reporting party reported in Section VII is attributable to his pro rata share of the income of Slade Gorton Seafood Holdings, Inc. formerly SG Seafood Holdings, Inc. which is taxed as an S corporation whereby all corporate income is deemed passed through and taxable to the individual stockholders whether or not such income is, in fact, distributed. The value of the stock holdings is appraised from time to time by a certified financial planner, last done in 2012.

Part VII, Line 3 - In 1997 the reporting party and spouse funded a charitable remainder trust of which they are the income beneficiaries for life but in which they retain no right to principal.

Part VII, Lines 3, 36, 102 and 158 - The assets listed on the dashed ("-") lines that follow these lines are managed by Boston Financial Management, Inc., Boston MA and consist of stocks and bonds in publicly held companies and equity holdings based on certain indices.

Part VII, Line 36 - The reporting party is now required to withdraw a certain minimum amount from his IRA account each year.

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Gorton, Nathaniel M.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Nathaniel M. Gorton**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544