

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) MASON, MICHAEL T.	2. Court or Organization NORTHERN DIRICT OF ILLINOIS	3. Date of Report 03/02/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. MAGISTRATE JUDGE [FULL]	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 219 S. DEARBORN STREET ROOM 2270 CHICAGO, IL 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.	
2. TRUSTEE	TRUST #1, TRUST #2, TRUST #1
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2013

COOK COUNTY COURT SYSTEM - SALARY

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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MASON, MICHAEL T.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1.									
2. [REDACTED] TRUST #1Northern Trust Bank		None			Closed	3/14/14	J		
3. [REDACTED] TRUST #2Northern Trust Bank		None			Closed	03/14/14	J		
4. MUTUAL FUND:									
5. VAN KAMPEN EQUITY & INCOME		None	M	T					
6. IRA #1	C	Int/Div.	M	T					
7. COMMON STOCK:									
8. IRA FIDELITY CASH ACCOUNT		None	J	T					
9. PIMCO DEVELOPING LOCAL MKT D	A	Dividend	J	T					
10. TCW TOTAL RETURN BOND FUND									
11. WESTERN ASSET COREPLUS									
12. ALGER FD SM CAP PTFLO A									
13. ASTON/RIVER ROAD SMALL CAP VALUE	A	Dividend	J	T					
14. DELAWARE VALUE A									
15. FIDELITY SPARTAN US EQUITY INDEX ADV CLASS									
16. T ROWE PRICE GROWTH STOCK	A	Dividend	J	T					
17. TCW DIVIDEND FOCUSED									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. TURNER MIDCAP GROWTH	A	Dividend	J	T					
19. VICTORY DIVERSIFIED STOCK	A	Dividend							
20.					Sold	03/14/14	J	A	
21. DWS REEF GLOBAL REAL ESTATE FUND									
22. LEUTHOLD ASSET ALLOCATION									
23. LISTED PRIVATE EQUITY FUND A									
24. MERGER FUND									
25. GUGGENHEIM OCEAN TOMO PATENT									
26. GUGGENHEIM RAYMOND JAMES SB-1 EQUITY									
27. GUGGENHEIM TF INSIDER SENTIMENT ETF									
28. TURNER QUANTITATIVE BROAD MRKT EQ INSTL	A	Dividend	J	T					
29. VANGUARD INDEX FDS VANGUARD TOTAL STK MK	A	Dividend	J	T					
30. DOUBLELINE TOTAL RETURN	A	Dividend			Sold	03/14/14	J	A	
31. DREYFUS BOND MARKET INDEX	A	Dividend							
32. COLUMBIA SELECT LARGE CAP GROWTH									
33. WASATCH EMERGING MKTS SMALL CP	A	Dividend	J	T					
34. WASATCH INTL OPPORTUNITIES									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. WESTCORE SELECT	A	Dividend			Sold	03/14/14	J	A	
36.									
37. IRA #2									
38. IRA FIDELITY CASH ACCOUNT	A	Dividend	J	T					
39. WESTERN ASSET COREPLUS	A	Dividend	J	T					
40. ASTON/RIVER ROAD SMALL CAP VALUE	A	Dividend	J	T					
41. DELAWARE VALUE A									
42. JANUS ADVISER FORTY									
43. RIVERNORTH CORE OPPORTUNITY FUND	A	Dividend	J	T					
44. T ROWE PRICE GROWTH STOCK									
45. TURNER MIDCAP GROWTH									
46. VICTORY DIVERSIFIED STOCK	A	Dividend	J	T					
47.									
48. TRUST #1									
49. COMMON STOCK:									
50. MCDONALDS CORP	A	Dividend	J	T	Buy (add'l)	08/16/13	J		
51. SPDR TR UNIT SER I		None	L	T					

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52. PHILIP MORRIS INTL IN		None	J	T					
53. T ROWE PRICE INTL FDS EMRG		None	J	T	Buy (add'l)	06/12/13	J		
54. ISHARES TR RUSSELL 1000 GROWTH INDEX		None	J	T	Buy (add'l)	06/12/13	J		
55. DREYFUS GREATER CHINA CL A		None	J	T	Sold	03/14/14	J	A	
56. ISHARES TR FTSE XINHUA HK CHINA 25 INDEX		None	J	T	Buy (add'l)	06/12/13	J		
57.					Sold (part)	03/14/14	J	A	
58. ISHARES TR RUSSELL 1000 VALUE INDEX FD		None	J	T	Buy (add'l)	06/12/13	J	A	
59. ISHARES GOLD TRUST ISHARES ISIN	A	Dividend	J	T					
60. BONDS:									
61. ILLINOIS STATE COLLEGE SAVINGS G/O RFGD		None	J	T					
62. MINNEAPOLIS,MN COMMUNITY DEVELOPMENT AGENCY		None	K	T					
63.									
64. MONEY MARKET FUNDS:									
65. CEF TAX EXEMPT MONEY MARKET PORTFOLIO	A	Dividend	J	T					
66.									
67. ACCOUNTS:									
68. CITI BANK	A	Interest	L	T					

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69. NORTHERN TRUST BANK	A	Interest	J	T					
70.									
71. TRUST #3									
72. WELLS FARGO BANK	A	Distribution	J	T					
73.									
74.									
75.									
76.									
77.									
78.									
79.									
80.									
81.									
82.									
83.									
84.									
85.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

In response to the Letter of Inquiry dated 1/11/2016:

Trust #1 assets are reportable and are listed with income and value codes individually.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ MICHAEL T. MASON**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544