

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Somers, Dale L.	2. Court or Organization U.S. Bankruptcy Court - Kansas	3. Date of Report 05/10/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address 255 U.S. Courthouse 444 SE Quincy Topeka, KS 66683		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	Washburn University (Administration)
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. National Conference of Bankruptcy Judges	Sept 28-30, 2015	Miami, Florida	Annual Meeting	\$486.00 towards hotel room
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. BJD Somers Family LLC	F	Distribution	M	U					See Note in Part VIII
2. C.M. Life Insurance Company	B	Interest	K	T					
3. C.M. Life Insurance Company	A	Interest	J	T					
4. C.M. Life Insurance Company	A	Interest	J	T					
5. Fidelity Management Trust Company - IRA Account	F	Int./Div.	P1	T					See Note in Part VIII
6. -Claymore Securities Defined Portfolios									
7. -Vanguard GNMA Fund									
8. -Fidelity Cash Reserves									
9. -Templeton Global Bond Fund									
10. -Fidelity GNMA Bond									
11. -Harbor Bond Adm									
12. -Ishares S&P Midcap 400 Growth					Buy (add'l)	03/06/15	J		
13. -TCW Core Fixed Income									
14. -Doubleline Total Rt Bond Fd									
15. -Metropolitan West Total Return									
16. -PIMCO Fundamental Index					Sold	09/04/15	L	E	
17. -Fidelity High Income									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Eaton Vance Floating Rate									
19. -Oakmark Intl Fund									
20. -American Century Value									
21. -Ishares Core S&P 500 ETF					Buy (add'l)	10/19/15	K		
22. -Ishares S&P 500 Growth ETF					Buy (add'l)	03/16/15	K		
23.					Buy (add'l)	10/19/15	K		
24. -Ishares S&P 500 Value ETF					Buy	10/19/15	K		
25. -Ishares Trust Core MSCI EAFE ETF					Buy	06/03/15	L		
26. -Oakmark Fund I					Sold (part)	03/12/15	K	B	
27.					Sold (part)	9/16/15	J	A	
28. -Wells Fargo Advtg Special Mid Cap Adm									
29. -Glenmede Small Cap Equity - Advisor CI					Sold	05/26/15	L	D	
30. Fidelity Management Trust Company - IRA Account	C	Int/Div.	M	T					See Note in Part VIII
31. -Fidelity Cash Reserves									
32. -Harbor Bond									
33. -Metropolitan West Total Return									
34. -Templeton Global Bond					Buy (add'l)	03/11/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. -Ishares S&P 500 Growth ETF					Buy	03/30/15	K		
36. Fidelity Management Trust Company - Non IRA Acct									See Note in Part VIII
37. -Johnson County Kansas Un Sch Dist No 233 4.0% 9/1/16	A	Interest	K	T					
38. -Overland Park KS Go Intl Impt Bd 9/1/20 4%	A	Interest	K	T					
39. -Fidelity Municipal Money Market	A	Dividend	K	T					
40. -Lenexa Kans Go Ref Bds Ser. 20 9/1/18 3.25%	A	Interest			Redeemed	8/25/15	K		
41. -Templeton Global Bond	B	Dividend	K	T					
42. -Eaton Vance Floating Rate	C	Dividend	L	T					
43. -American Century Value	B	Dividend	K	T					
44. -Ishares Russell 1000 Value	B	Dividend	L	T					
45. -Ishares Trust Core MSCI EAFE ETF	A	Dividend	L	T	Buy	12/04/15	L		
46. -Ishares Core S&P 500 Value ETF	A	Dividend	K	T	Buy	10/19/15	K		
47. -Ishares Core S&P 500 Growth ETF	A	Dividend	K	T	Buy	04/06/15	K		
48. -Oakmark International Cl 1					Sold	12/02/15	L	A	
49. -Doubleline Total Return Bond Fund (X)	A	Dividend	J	T					
50. -Ishares S&P Midcap 400 Growth (X)	A	Dividend	K	T	Buy (add'l)	03/06/15	K		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

- A. Item #1 BJD Somers Family LLC - I am a member. I am not an officer or board member and I have no managerial responsibilities.
- B. Items listed in #6 through #29 are all assets of Fidelity Management Trust Company IRA account identified in #5 above.
- C. Items listed in #31 through #35 are all assets of Fidelity Management Trust Company IRA account identified in #30 above.
- D. Items listed in #37 through #50 are all assets of Fidelity Management Trust Company non-IRA account identified in #36 above.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Dale L. Somers**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
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