

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Thompson, Kathleen H.	2. Court or Organization U.S. Bankruptcy Court	3. Date of Report 05/01/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address 21041 Burbank Blvd. Woodland Hills, CA 91367		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the <i>NONE</i> box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Trust #1
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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Name of Person Reporting

Thompson, Kathleen H.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Sun Life Financial (whole life common shares)	A	Dividend	J	T					
2. Wells Fargo Bank	A	Int./Div.	J	T					
3. IRA#1 Edward Jones	D	Dividend	K	T					
4. AAPL									
5. IRA #2 Edward Jones	A	Dividend	L	T					
6. -MO					Sold	01/25/11	J	A	
7. -BA					Sold	01/25/11	J	A	
8. -GE					Sold	01/25/11	J	A	
9. -NYX					Sold	01/25/11	J	A	
10. -XLF					Sold	01/25/11	J	A	
11. -FMCSX					Sold	01/26/11	J	A	
12. -OBIOX					Sold	01/26/11	J	A	
13. -RYVPX					Sold	01/26/11	J	A	
14. -FDRXX					Sold	01/26/11	J	A	
15. -LUV					Sold	01/25/11	J	A	
16. -DODIX					Buy	01/26/11	J		
17. -DODIX					Sold	09/02/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P = \$250,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P = \$1,000,001 - \$5,000,000 R = More than \$5,000,000,000	M = \$100,001 - \$250,000 Q = \$250,001 - \$500,000,000	P = \$500,001 - \$1,000,000,000
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -DODFX					Buy	01/26/11	J		
19. -DODFX					Sold	09/02/11	J	A	
20. -NAMAX					Buy	01/26/11	J		
21. -NAMAX					Buy	09/02/11	J		
22. -BAGIX					Buy	01/26/11	J		
23. -BAGIX					Sold	09/02/11	J	A	
24. -EIBIX					Buy	01/26/11	J		
25. -EIBIX					Sold	09/02/11	J	A	
26. -HDGIX					Buy	01/26/11	J		
27. -HDGIX					Buy	09/02/11	J		
28. -LTRFX					Buy	01/26/11	J		
29. -LTRFX					Sold	09/02/11	J	A	
30. -LSIIX					Buy	01/26/11	J		
31. -LSIIX					Sold	09/02/11	J	A	
32. -MEIIX					Buy	01/26/11	J		
33. -MEIIX					Buy	09/02/11	J		
34. -WOBDX					Buy	01/26/11	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. -WOBDX					Sold	09/02/11	J	A	
36. -IGLIX					Buy	01/26/11	J		
37. -IGLIX					Buy	09/02/11	J		
38. -JFMXX					Buy	01/28/11	J		
39. -JFMXX					Sold	02/04/11	J	A	
40. -JFMXX					Sold	03/04/11	J	A	
41. -JFMXX					Sold	04/05/11	J	A	
42. -JFMXX					Sold	06/06/11	J	A	
43. -JFMXX					Sold	07/08/11	J	A	
44. -JFMXX					Sold	08/04/11	J	A	
45. -JFMXX					Buy	08/30/11	J		
46. -JFMXX					Buy	08/30/11	J		
47. -JFMXX					Sold	09/07/11	J	A	
48. -JFMXX					Sold	10/06/11	J	A	
49. -JFMXX					Sold	11/04/11	J	A	
50. -JFMXX					Sold	12/06/11	J	A	
51. -OIBYX					Buy	01/26/11	J		

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

52. -OIBYX					Sold	09/02/11	J	A	
53. -QRAAX					Buy	01/26/11	J		
54. -QRAAX					Sold	09/02/11	J	A	
55. -QRAAX					Sold	09/02/11	J	A	
56. -TGVIX					Buy	01/26/11	J		
57. -TGVIX					Buy	09/02/11	J		
58. -PRDGX					Buy	01/26/11	J		
59. -PRDGX					Buy	09/02/11	J		
60. -MCFDX					Buy	01/26/11	J		
61. -MCFDX					Buy	09/02/11	J		
62. -CRSOX					Buy	09/02/11	J		
63. -MWTIX					Buy	09/02/11	J		
64. -PRCIX					Buy	09/02/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I. I am no longer reporting a position as a director of the Los Angeles Bankruptcy Forum because I have not participated in this organization since at least sometime in

2010. I have not formally tendered a resignation but any position I may hold is now honorary.

The only asset in Trust #1 [REDACTED]

Part VII. I changed investment management companies in January 2011 from Fidelity to Edward Jones. Previously, the IRA holdings were in a single account. Now, the

IRA holdings are managed in two accounts. IRA #1 holds AAPL. IRA #2 held or holds the assets listed under than heading. Except for AAPL, all assets managed in the

Fidelity account were sold in January 2011 when Edward Jones took over. This portfolio (IRA #2) had an overall loss in value by the end of 2011.

The Met Life Money Market account (proceeds from a life insurance term policy) was drawn down to zero during 2011 and closed.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Kathleen H. Thompson**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544