

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Kaufman, Victoria S.	2. Court or Organization California - Bankruptcy Court	3. Date of Report 08/01/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address United States Bankruptcy Court San Fernando Valley Division 21041 Burbank Boulevard, Suite 354 Woodland Hills, CA 91367		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2013

Tennis Channel, salary

2. 2013

ATP, Board member fees

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		 School Tuition	K
2.		 Tuition	J
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Citibank accounts	A	Interest	L	T					
2. JPMorgan Chase accounts		None	J	T					
3. Trust # 1									
4. -- No reportable assets									
5. Kaufman Investments LLC	D	Rent	L	U					
6. Brokerage Acct. # 1									
7. -- Money Market Account (Mesirow Financial)	A	Dividend	L	T	Buy	06/11/13	K		
8.					Sold (part)	07/08/13	K		
9.					Sold (part)	07/26/13	K		
10. -- Connacher Oil & Gas Ltd.		None	J	T	Buy	01/15/13	J		
11. -- Kandi Technologies		None	M	T	Sold (part)	06/05/13	K	B	
12.					Buy	07/02/13	K		
13.					Sold (part)	12/27/13	K	E	
14. -- Madalena Ventures		None			Sold	07/18/13	K	D	
15. -- Vantage Drilling		None	K	T					
16. Brokerage Acct. # 2									
17. -- Money Market Account (Goldman Sachs)	B	Interest	N	T	Sold (part)	05/22/13	K		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,001 - \$500,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18.					Sold (part)	09/16/13	K		
19. Acquity Group Ltd.		None			Buy	02/07/13	J		
20.					Buy	03/28/13	J		
21.					Buy	04/03/13	J		
22.					Buy	04/26/13	J		
23.					Buy	05/16/13	J		
24.					Sold (part)	05/23/13	K	D	
25.					Sold	07/09/13	K	D	
26. -- Chindex International, Inc. CMN		None	K	T					
27. -- Connacher Oil & Gas Ltd.		None	J	T					
28. -- Equal Energy Ltd.	B	Dividend			Sold (part)	06/24/13	J		
29.					Sold (part)	06/27/13	J		
30.					Sold (part)	06/28/13	J		
31.					Sold (part)	07/10/13	J	B	
32.					Sold (part)	07/11/13	J	B	
33.					Sold (part)	07/16/13	J	A	
34.					Sold (part)	07/17/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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35.					Sold (part)	07/18/13	J	A	
36.					Sold (part)	07/22/13	J	A	
37.					Sold (part)	07/24/13	J	B	
38.					Sold (part)	11/19/13	J	C	
39.					Sold	11/25/13	J		
40. -- Forbes Energy Services		None	J	T	Sold (part)	03/20/13	J		
41.					Sold (part)	03/26/13	J		
42.					Sold (part)	07/23/13	J	A	
43.					Sold (part)	08/02/13	J	A	
44. -- Kandi Technologies Corp.		None	M	T	Sold (part)	06/10/13	K	B	
45.					Sold (part)	06/13/13	J	D	
46.					Sold (part)	06/20/13	J	C	
47.					Buy	07/03/13	J		
48.					Buy	07/08/13	J		
49.					Buy	07/16/13	J		
50.					Buy	07/25/13	J		
51.					Sold (part)	09/27/13	J	C	

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52.					Sold (part)	09/30/13	J	D	
53.					Buy	11/04/13	J		
54.					Buy	11/06/13	J		
55.					Sold (part)	12/27/13	J	C	
56.					Sold (part)	12/30/13	K	D	
57. -- Madalena Ventures Inc.		None	J	T					
58. -- MGT Capital Invts. Inc.		None	J	T	Buy	07/23/13	J		
59.					Buy	08/23/13	J		
60.					Buy	09/23/13	J		
61. -- Net 1 UEPS Technologies, Inc. CMN		None	L	T	Buy	01/17/13	J		
62.					Buy	07/23/13	J		
63.					Sold (part)	08/28/13	K		
64.					Sold (part)	09/18/13	J		
65.					Buy	11/20/13	J		
66.					Buy	11/25/13	J		
67.					Buy	12/05/13	J		
68. -- Newfield Exploration Co.		None	J	T	Buy	12/19/13	J		

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69. -- Premier Exhibitions CMN		None	J	T					
70. -- Sandstorm Gold Ltd.		None	J	T	Buy	11/10/13	J		
71.					Buy	11/14/13	J		
72.					Buy	11/26/13	J		
73. -- Sigma Designs - Common		None	J	T	Buy	05/28/13	J		
74.					Sold (part)	07/18/13	J		
75. -- Stealthgas, Inc.		None	K	T	Sold (part)	01/14/13	J	B	
76.					Sold (part)	01/15/13	J	B	
77.					Sold (part)	03/12/13	J	B	
78.					Sold (part)	03/15/13	J	C	
79.					Buy	04/29/13	J		
80.					Sold (part)	06/20/13	J	A	
81.					Buy	07/19/13	J		
82.					Buy	08/12/13	J		
83. -- Vantage Drilling		None	K	T					
84. -- VHC (06/20/13) Puts		None			Sold	03/18/13	J	B	
85. -- VHC (06/20/13) Puts		None			Sold	03/20/13	J	B	

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86. -- VHC (05/15/13) Puts		None			Sold	04/03/13	J	A	
87. -- VHC (09/15/13) Puts		None			Sold	06/25/13	J	B	
88. -- VHC (01/15/14) Puts		None			Sold	10/04/13	J		
89. -- Wonder Auto Techn.		None			Sold	10/15/13	J		
90. 529 Acct. # 1									
91. -- Passive Age Based Portfolio		None	K	T					
92. -- Passive Conservative Portfolio		None	J	T					
93. 529 Acct. # 2									
94. -- Short Term Yield Portfolio A		None	K	T					
95. IRA # 2		None	J	T					
96. -- Van Eck Intl Invs Gold Fund									
97. IRA # 3		None	K	T					
98. -- Ishares Comex Gold Trust									
99. -- Ishares Silver									
100. Annuity # 1									
101. -- Fidelity VIP Money Market		None	K	T					
102. Tennis Channel 401(K)		None	L	T					

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103. -- AMCAP Fund					Buy	03/01/13	J		
104. -- American Funds 2035 Target Date					Buy	03/01/13	J		
105. -- American Funds Money Market Fund					Sold (part)	02/20/13	J		
106. -- Capital Income Builder					Buy	03/01/13	J		
107. -- Capital World Growth & Income					Buy	03/01/13	J		
108. -- New World Fund					Buy	03/01/13	J		
109. IRA # 4									
110. -- Pershing Gvt. Money Market (Centaurus Financial)		None	J	T					
111. -- Van Eck Intl. Invs. Gold Fund		None	J	T					
112. -- KBS Real Estate Inv. Trust II, Inc.	C	Dividend	L	T					
113. -- Ishares Silver		None	J	T					
114. IRA # 5	A	Dividend	J	T					
115. -- Van Eck Intl. Invs. Gold Fund									
116. IRA # 6									
117. -- Wells Fargo Insured Deposit (formerly RBS Citizens Insured Deposit)		None	J	T					
118. -- Ishares TIPS Bond ETF(formerly Ishares Barclays Treas. Inf.)		None	K	T	Buy	12/10/13	J		
119. Capital One account # 1 (formerly "ING")	A	Interest	M	T					

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120. Capital One account # 2 (formerly "ING")	A	Interest	L	T					
121. Capital One account # 3 (formerly "ING")	A	Interest	K	T					
122. Annuity # 2 - John Hancock		None	L	T					
123. UTMA Account # 1									
124. -- Oppenheimer Gold & Special Minerals		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Kaufman, Victoria S.

Date of Report

08/01/2014

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Regarding income from Kaufman Investments, LLC, the 2013 K-1 indicates that the majority income was rental, and that is the reason I selected "Rent" for B(2). VII.5.

Passive Conservative Portfolio was incorrectly identified as Passive Age Based Portfolio in my 2012 Financial Disclosure Report. VII.92.

ING accounts became Capital One accounts, as a result of Capital One's acquisition of ING. VII.119.

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Kaufman, Victoria S.

Date of Report

08/01/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Victoria S. Kaufman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544