

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Zobel, Rya W.	2. Court or Organization DC MA,	3. Date of Report 05/11/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. D.J., active,	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address 1 Courthouse Way Boston, MA 02210		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the <i>NONE</i> box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Rya W. Zobel Irrevocable Trust
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1.	New York Intellectual Property Law Association, Annual Dinner	March 25, 2011	New York, NY	Meeting	transportation, lodging, food
2.	_____	_____	_____	_____	_____
3.	_____	_____	_____	_____	_____
4.	_____	_____	_____	_____	_____
5.	_____	_____	_____	_____	_____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Assets of Rya W. Zobel									
2. Fidelity Select Retailing FSRPX	A	Dividend	J	T					
3. T.Rowe Price Blue Chip Growth, Inc TRBCX	A	Dividend	J	T	Sold (part)	02/16/11	J		
4.					Sold (part)	06/08/11	J		
5. Calamos Growth Class A CVGRX	A	Dividend	J	T	Sold (part)	06/07/11	J		
6. Ishares Comex Gold Trust IAU	A	Dividend	J	T	Buy (add'l)	11/07/11	J		
7. Powershare Exchange Traded FD TR Wilder PBW (Y)	A	Dividend			Sold	10/04/11	J		
8. SPDR SER TR S&P Homebuilders XHB	A	Dividend	J	T					
9. Ishares TR MSCI Emerging Markets Index EEM	A	Dividend	J	T					
10. Ishares TR Dow Jones US Real Estate Index IYR	A	Dividend	J	T					
11. Sector SPDR TR SHS Ben Int Financial XLF (Y)	A	Dividend			Sold	06/07/11	J		
12. Powershares QQQ TR Unit Ser 1 QQQ (Y)	A	Dividend			Sold	10/04/11	J		
13. Vanguard Index FDS Vanguard REIT ETF, VNQ	A	Dividend	J	T					
14. Barclays Bank PLC IPath S&P 500, VIX	A	Dividend	J	T					
15. ISharesz Silve TR IShares SLV	A	Dividend	J	T	Sold (part)	05/09/11	J		
16. IShares Barclays Treas Inflation Protected SECS FD, TIP (Y)	A	Dividend			Sold	12/12/11	J		
17. IShares TR Russell 2000Index FD IWM	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Amex SPDR Energy Select INDEX XLE (X)	A	Dividend	J	T	Buy	06/10/11	J		
19. Citizens Bank, checking	A	Interest	K	T					
20. Hanscom Federal Credit Union, savings	A	Interest	K	T					
21.									
22. Assets of Rya W. Zobel Irrevocable Trust									
23. Fidelity Total Bond FTBFX	B	Interest	K	T					
24. American High Income Class F AHTFX	A	Interest	J	T					
25. US Treasury NTS 4.0%	A	Interest	K	T					
26. US Treasury NTS 4.5%	A	Interest	K	T					
27. Forward Select Income KIFAX	B	Interest	K	T					
28. Putnam Diversified Income FD CL Y, PDVYX	A	Dividend	J	T					
29. Putnam Income FD CL Y, PNCYX	A	Dividend	J	T					
30. Goldman Sachs Global Income Institutional, GSGLX	A	Dividend	J	T					
31. Goldman Sachs Local Emrg Mkts Debt CL I, GIMDX	A	Dividend	J	T					
32. Payden Short ond, PYSBX	A	Dividend	J	T					

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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The items on lines 3, 6, 7, 10, 16, and 28 of Part VII on the Report for 2010 should have been specified as sales of the entire holding of the named securities. I have deleted them from the 2012 report as I did not own them in 2011.

With respect to the items on lines 9 and 14 of the report for 2010 I inadvertently failed to report the sale of all of the named securities. I have also deleted these items from the report for 2011.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Rya W. Zobel**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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