

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Dalzell, Stewart	2. Court or Organization U.S. District Court	3. Date of Report 07/29/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2014 to 12/31/2014
5b. ☒ Amended Report		
7. Chambers or Office Address 15613 U.S. Courthouse 601 Market Street Philadelphia, PA 19106		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Secretary of the Vestry	Saint Mark's Church, Philadelphia, PA
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2014

Main Line Center of the Arts - Teaching Fees

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Cape Cod Five Cent Savings	A	Interest	J	T					
2. JP Morgan Fed. Fund	A	Interest	J	T					
3. PNC Bank	A	Interest	J	T					
4. Vanguard PA Tax Free Money Fund	A	Dividend	J	T					
5. Pepsico	A	Dividend	K	T	Sold (part)	07/14/14	J	A	partial sale on NYSE
6. Merck & Co.	B	Dividend	L	T					
7. Cisco System Notes	A	Interest	K	T					
8. Pfizer, Inc.	A	Dividend	K	T					
9. Exxon Mobil	A	Dividend	K	T					
10. Waste Management	A	Dividend	K	T					
11. Chevron	B	Dividend	L	T					
12. Johnson & Johnson	A	Dividend	K	T	Sold (part)	07/14/14	J	A	partial sale on NYSE
13. General Mills	A	Dividend	K	T					
14. Sand Ridge Energy		None			Donated				
15. Intel	A	Dividend	K	T					
16. AT&T	B	Dividend	L	T	Sold (part)	01/17/14	J	A	partial sale on NYSE
17. McDonalds Corp.	A	Dividend	K	T	Sold (part)	12/15/14	J	A	partial sale on NYSE

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Powershares ETF	B	Dividend	K	T					
19. Dominion Resources	B	Dividend	L	T					
20. Northern Trust Corp.	A	Interest	J	T					
21. Diageo PLC.	A	Dividend	J	T	Sold (part)	08/13/14	J	A	partial sale on NYSE
22. Chubb Corp.	A	Dividend	J	T					
23. Windstream	B	Dividend	J	T					
24. Vanguard Total Bond ETF	A	Interest	K	T					
25. Conoco Phillips	A	Dividend	K	T					
26. Int'l Business Machines	A	Dividend	J	T					
27. Freeport-MacMoran	A	Dividend	J	T	Sold (part)	07/14/14	J	A	partial sale on NYSE
28. Int'l Business Machines	A	Dividend	J	T	Sold (part)	02/15/14	J	A	partial sale on NYSE
29. Federal Farm Credit Bank Notes	A	Interest	J	T					
30. Southwestern Bell Tel. Bonds	A	Interest	J	T					
31. Fairfax Financial Holdings	A	Dividend	K	T					
32. Plum Creek Timber	A	Dividend	K	T	Sold (part)	11/17/14	J	A	partial sale on NYSE
33. Preferred Apt. Communities	B	Dividend	J	T	Buy	07/16/14	K		buy add'l shares on NASDAQ
34. Hercules Technology Growth	A	Dividend	J	T	Buy	07/16/14	J		buy add'l shares on Amex

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. 3M Co.	A	Dividend	K	T					
36. Automatic Data Processing	A	Dividend	J	T					
37. DuPont	A	Dividend	J	T					
38. iShares Barclays Agg. Bond Fund	A	Dividend	J	T					
39. Kraft Foods	A	Dividend	K	T					
40. Emerson Elec. Co.	A	Dividend	K	T					
41. Mondelez Int'l, Inc.	A	Dividend	K	T					
42. DuPont	A	Dividend	J	T					
43. AT&T	A	Dividend	L	T					
44. Hercules Technology Growth	A	Dividend	J	T	Buy (add'l)	07/16/14	J		buy on NYSE
45. Simon Properties Group	A	Dividend	J	T					
46. Preferred Apt. Communities	A	Dividend	J	T	Buy (add'l)	07/11/14	J		buy on Amex
47. Vanguard Short-Term Corporate Bond ETF	A	Int./Div.	K	T	Buy	02/14/14	J		buy from SEI
48. Emerson Elec. Co.	A	Dividend	J	T					
49. Hartford World Bond Fund	A	Interest	J	T	Buy	06/09/14	J		buy from SEI
50. Oppenheimer Sr. Flat Rate-Y	A	Interest	J	T	Buy	06/09/14	J		buy from SEI
51. T. Rowe Price High Yield Fund	A	Interest	J	T	Buy	06/09/14	J		buy from SEI

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. Templeton Global Bond Fund	A	Interest	J	T	Buy	06/09/14	J		buy open market
53. T. Rowe Price High Yield Fund	A	Interest	J	T	Buy	12/30/14	J		buy oopen market
54. Double Live Duration Bond Fund	A	Interest	J	T	Buy	08/12/14	J		buy open market
55. GE Capital Notes	A	Interest			Matured	07/15/14	K		matured
56. National Fuel Gas	A	Dividend			Sold	9/21/10	J		sold on NYSE

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Stewart Dalzell**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544