

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gross, Kevin	2. Court or Organization U.S. Bankruptcy Court, DE	3. Date of Report 05/14/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 824 N. Market St, 6th Floor Wilmington, DE 19801		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. National Conference of Bankruptcy Judges	10/10/17	Las Vegas, Nevada	National Conference of Bankruptcy Judges	airfare, hotel & incidentals
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. State of Israel 7th Dev Reg Savings Amended Bond 46513ENN8	A	Interest	J	T					
2. State of Israel 7th Mazel Tov Sav Bond 46513CFX9	A	Interest	J	T					
3. State of Israel 6th Mazel Tov Sav Bond 46513BJ74	A	Interest	J	T					
4. State of Israel Mazel Tov Sav Bond 46513XJU5	A	Interest	J	T	Buy	02/01/17	J		
5. Newberger Berman Brokerage Account #1 (H)									
6. -Dreyfus Treasury Sec Cash Mgmt Admin money market fund	A	Dividend	J	T					
7. -Neuberger Berman Genesis FD Institutional Class mutual fund		None			Sold (part)	08/01/17	J	B	
8.					Sold	10/20/17	J	B	
9. -Occidental Pete Corp common stock	B	Dividend	K	T					
10. -California Resources Corporation common stock		None	J	T					
11. -Neuberger & Berman International Equity Fund Inst mutual fund		None			Sold (part)	08/01/17	J	A	
12.					Sold	10/20/17	J	A	
13. -Neuberger & Berman Equity Fd Emerging Mkts Equity Fd Inst mutual fund		None			Sold (part)	08/01/17	J	A	
14.					Sold	10/20/17	J	A	
15. -Neuberger Berman Intrinsic Value Cl Institutional mutual fund		None			Sold (part)	08/01/17	J	A	
16.					Sold	10/20/17	J	A	
17. -Neuberger Berman Equity FDS Dividend Growth Fd Instl Cl mutual fund		None			Sold (part)	08/01/17	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	L ~\$50,001 - \$100,000 M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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18.					Sold	10/20/17	J	B	
19. M&T Bank checking account		None	J	T					
20. IRA #2 (H)									
21. -Guardian Fund Investor Class mutual fund	A	Dividend	K	T					
22.	B	Distribution							
23. MetLife common stock	A	Dividend	J	T					
24. MetLife common stock	A	Dividend	J	T					
25. 1/3 interest in Trisis-oil & gas royalty interest & land in									
26. Scurry, Tyler & Wood Counties, TX	D	Royalty	O	W					
27. IRA Rollover Acct #1 (H)									
28. -Dreyfus Treasury Sec Cash Mgmt Admin money market fund	A	Int./Div.	M	T					
29. -Neuberger Berman Genesis FD Institutional Class mutual fund	A	Dividend	M	T					
30.	E	Distribution							
31. -Alphabet Inc common stock		None	M	T	Sold (part)	11/17/17	K	E	
32. -Honeywell Intl Inc common stock	B	Dividend	L	T	Sold (part)	04/28/17	K	D	
33. -Cisco Systems Inc common stock	B	Dividend	L	T					
34. -Neuberger & Berman Equity FDS Equity Income FD Instl mutual fund	C	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35.	D	Distribution							
36. -Koninkluke Philips NV common stock		None			Sold	02/01/17	L	B	
37. -Exxon Mobil Corp common stock	B	Dividend	L	T					
38. -Express Scripts Holding Company common stock		None			Sold	10/16/17	L		
39. -Lennar Corp Cl A common stock	A	Dividend	L	T					
40. -Kimberly Clark Corp common stock	B	Dividend	K	T					
41. -Nvida Corp common stock	A	Dividend	L	T					
42. -Pioneer Natural Resources Company common stock	A	Dividend	L	T					
43. -Proctor & Gamble Co common stock	B	Dividend	L	T					
44. -Textron Inc common stock	A	Dividend	M	T					
45. -General Electric Co common stock	A	Dividend			Sold	05/17/17	K	C	
46. -Schlumberger Ltd common stock	A	Dividend	K	T					
47. -Eli Lilly & Co common stock	B	Dividend	L	T					
48. -Berkshire Hathaway Inc Del Cl B common stock		None	K	T					
49. -Apple Inc common stock	A	Dividend	L	T					
50. -Best Buy Company Inc common stock	A	Dividend			Sold (part)	04/24/17	J	D	
51.					Sold	08/11/17	L	E	

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52. -Comcast Corp New SPL Cl A common stock	A	Dividend			Sold	10/13/17	K	C	
53. -EOG Res Inc common stock	A	Dividend	L	T					
54. -Raytheon Co common stock	A	Dividend	L	T					
55. -Conagra Foods Inc common stock	A	Dividend			Sold	07/07/17	K	B	
56. -United Parcel Svc Inc Cl B common stock	A	Dividend			Sold	07/13/17	K	D	
57. -Mondelez International Inc common stock	B	Distribution	L	T					
58. -International Paper Co common stock	B	Dividend	L	T					
59. -Whirlpool Corp common stock	B	Dividend			Sold	12/06/17	K	D	
60. -Fedex Corp common stock	A	Dividend	L	T					
61. -Industrial DiSeno Textile SA Euro 03 common stock	A	Dividend	K	T					
62. -Automatic Data Processing Inc common stock	B	Dividend	L	T					
63. -American Tower Corp Isin common stock	A	Dividend	K	T					
64. -Neuberger Berman Guardian FD Instl Cl	A	Dividend	K	T	Buy (add'l)	12/15/17	J		
65.	B	Distribution							
66. -Carnival Corp Paired CTF common stock	B	Dividend	L	T					
67. -General Dynamics Crp common stock	A	Dividend	L	T					
68. -Allergan PLC common stock	A	Dividend	K	T					

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69. -Union Pacific Corp common stock	B	Dividend	L	T					
70. -Boston Beer Company Inc Cl A common stock		None	L	T					
71. -Hyatt Hotels Corp common stock		None	L	T					
72. -Versum Matls Inc common stock	A	Dividend	L	T					
73. -Qualcomm Inc common stock		None	K	T	Buy	01/23/17	K		
74. -Rio Tinto PLC Sponsored ADR	A	Dividend	K	T	Buy	02/22/17	K		
75. -Cabot Oil & Gas Corp common stock	A	Dividend	L	T	Buy	03/13/17	K		
76. -AON PLC Shs Cl A common stock	A	Dividend	L	T	Buy	03/13/17	K		
77. -Alaska Air Group Inc common stock	A	Dividend			Buy	03/23/17	K		
78.					Sold	11/06/17	J		
79. -MGM Resorts International common stock	A	Dividend	L	T	Buy	04/05/17	K		
80. -Franco Nevada Corporation common stock	A	Dividend	L	T	Buy	08/11/17	K		
81.					Buy (add'l)	08/14/17	K		
82. -Oracle Corp common stock		None	K	T	Buy	10/16/17	K		
83. -Interxion Holding Com Euro common stock		None	K	T	Buy	10/23/17	K		
84. -Aptiv PLC Com New common stock	A	Dividend	K	T	Buy	11/03/17	L		
85.					Buy (add'l)	12/06/17	K		

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86. -Delphi Technologies PLC Com common stock		None	K	T	Spinoff (from line 84)	12/05/17	K		
87. -Western Digital Corp common stock		None	K	T	Buy	12/18/17	K		
88. IRA #3-Wells Fargo Bank N.A.	A	Interest	J	T					
89. IRA #4-Wells Fargo Bank N.A.	A	Interest	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, line 2: This was inadvertently erroneously identified as S'tate of Israel 5th SABRA SAV 3Y 46513A2C3 on the previous report. The description has been corrected.

Part VII, line 64: On 05/10/17 1,982,772 shares of Neuberger Berman Guardian Investor CI were converted to 1,977,974 shares of Neuberrger Berman Guardian FD Instl CI.

Part VII, line 84: On 12/05/17 Delphi Automotive Plc was renamed APTIV Plc as part of a spin off of Delphi Technologies Plc (shown on line 86)

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Kevin Gross**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544