

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Tucker, Petrese B.	2. Court or Organization PA-Eastern District	3. Date of Report 09/28/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge (Active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address Room 9613 U.S. Courthouse 601 Market Street Philadelphia, PA 19106-1751		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Director	Avenue of the Arts, Inc.
2.	Executor	Estate #1
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2000	PA State Retirement Plan
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2011	PA SERS State Employee Retirement System	\$28,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2011	Commonwealth of PA - Common Pleas Court Judge - Salary
2. 2011	Cottage Home - Rental income
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	American Express	Credit card	J
2.	Farm Credit of the Virginias	Mortgage on Land	None
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Accounts receivable from clients of law practice		None			Closed	12/31/11	J		
2. Land Halifax County, VA		None	L	W					
3. Royal Bank - bank accounts	A	Interest	J	T					
4. Estate #1 - Real Estate Phila, PA Appraisal Date 1/20/09		None	M	Q					
5. Estate #1 - PNC Bank	A	Interest	K	T					
6. 3M Company	A	Dividend			Sold (part)	02/01/11	J	A	
7.					Sold	12/05/11	J	A	
8. ABBOTT LABORATORIES-5.875% 5/15/06 - CORP BONDS	A	Interest	J	T					
9. Abbott Labs		None			Sold	01/02/11	J	A	
10. ABERCROMBIE & FITCH CO	A	Dividend	J	T	Buy	09/29/11	J		
11. ADOBE SYSTEMS		None			Sold (part)	04/25/11	J	A	
12.					Sold	04/26/11	J	A	
13. AETNA INC NEW	A	Dividend	J	T					
14. AGILENT TECHNOLOGIES INC	A	Dividend	J	T	Buy	01/18/11	J		
15. AIA GROUP LTD SHS	A	Dividend			Buy	03/11/11	J		
16.					Sold	09/15/11	J	A	
17. ALCATEL LUCENT SPD ADR		None			Sold (part)	02/15/11	J		

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.					Sold	03/01/11	J		
19. ALLEGHENY TECH INC	A	Dividend			Sold (part)	05/18/11	J	A	
20.					Sold (part)	05/19/11	J	A	
21.					Sold	10/05/11	J		
22. ALLERGAN INC	A	Dividend	J	T					
23. ALLIANZ NFJ DIVIDENT VALUE FUND		None	J	T					
24. Allstate Corp Del	A	Dividend	J	T					
25. ALTERA CORP	A	Dividend	J	T	Buy	01/03/11	J		
26.					Sold (part)	10/31/11	J	A	
27. Altria Group (Y)									
28. ALUMINA LTD SP ADR (Y)									
29. AMAZON COM INC COM		None	J	T	Sold (part)	07/27/11	J	B	
30.					Sold (part)	10/26/11	J	C	
31. AMER EXPRESS COMP	A	Dividend	J	T	Buy	10/25/11	J		
32. AMEREN CORP	A	Dividend	J	T					
33. AMERICAN EXPRESS-GLOBAL NOTES 6.15% 9/28/2017	A	Interest	J	T					
34. AMERICAN TOWER CORP		None			Sold (part)	01/18/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35.					Sold (part)	02/18/11	J	A	
36.					Sold (part)	04/8/11	J	A	
37.					Sold	04/8/11	J	A	
38. AMERIPRISE FINANCIAL INC	A	Dividend	J	T					
39. AMERISOURCEBERGEN CORP	A	Dividend	J	T					
40. AMGEN INC COM PV \$5.0001	A	Dividend	J	T	Sold (part)	04/1/11	J		
41.					Sold (part)	04/15/11	J		
42.					Buy (add'l)	03/22/11	J		
43.					Sold (part)	12/08/11	J	A	
44. AMN ELEC POWER CO	A	Dividend	J	T	Buy	05/23/11	J		
45.					Buy (add'l)	05/24/11	J		
46. ANALOG DEVICES INC COM	A	Dividend	J	T	Buy	01/18/11	J		
47.					Buy (add'l)	01/19/11	J		
48.					Buy (add'l)	01/20/11	J		
49.					Sold (part)	04/11/11	J		
50. ANGLOGOLD ASHANTI LTD	A	Dividend	J	T					
51. ANHEUSER-BUSCH INBEV ADR	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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52. ANHEUSER-BUSCH INBEV SA GLB-2.875% 2/15/2016		None	J	T	Buy	11/03/11	J		
53.					Buy (add'l)	11/07/11	J		
54. ANHEUSER-BUSCH INBEV SA GLB-4.125% 1/15/2015		None	J	T	Buy	11/09/11	J		
55. ANNALY CAP MGMT INC	A	Dividend	J	T	Sold (part)	09/26/11	J	A	
56.					Sold (part)	10/01/11	J	A	
57. AON CORP COM	A	Dividend	J	T					
58. APACHE CORP	A	Dividend	J	T					
59. Apple Computer		None	K	T	Sold (part)	07/18/11	J	B	
60. APPLIED MATERIAL INC	A	Dividend	J	T	Buy	03/21/11	J		
61.					Buy (add'l)	04/18/11	J		
62. ASML HLDG NV NEW YORK		None	J	T	Buy	10/31/11	J		
63.					Buy (add'l)	12/06/11	J		
64. ASSA ABLOY AB (Y)									
65. ASTRAZENECA PLC BONDS	A	Interest	J	T					
66. ASTRAZENECA PLC SPND ADR	A	Dividend	J	T					
67. AT&T	A	Dividend	J	T					
68. BAKERS HUGHES INC	A	Dividend			Buy	02/01/11	J		

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69.					Sold	09/29/11	J		
70. BANCO DO BRASIL SA-SPON	A	Dividend	J	T					
71. BARRICK GOLD CORPORATION	A	Dividend	J	T					
72. BAXTER INTERNATIONAL (Y)									
73. BAYERISCHE MOTORENWERKE	A	Dividend	J	T	Buy	02/09/11	J		
74. BERKSHIRE HAYAWAY INC		None	J	T					
75. BG GROUP PLC SPON ADR	A	Dividend	J	T	Buy	04/19/11	J		
76. BHP BILLITON LTD ADR	A	Dividend	J	T					
77. BIF MONDY FUND	A	Dividend	L	T					
78. BIG LOTS INC COM		None			Sold	01/03/11	J	A	
79. BIOGEN IDEC		None	J	T	Buy	11/02/11	J		
80.					Buy (add'l)	11/23/11	J		
81. BLACKROCK BOND ALLOC TARGET SHS SERIES C	B	Dividend	K	T	Buy (add'l)	04/14/11	J		
82.					Buy (add'l)	12/16/11	J		
83. BLACKROCK BOND ALLOC TARGET SHS SERIES M	A	Dividend	K	T	Buy (add'l)	04/14/11	J		
84.					Buy (add'l)	12/12/11	J		
85. BLACKROCK EQTY DIVIDEND	A	Dividend	K	T	Buy	08/26/11	J		

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86. BOEING CO - GLOBAL BONDS	A	Interest	J	T					
87. BOEING COMPANY	A	Dividend	J	T	Sold (part)	06/23/11	J	A	
88.					Sold (part)	09/27/11	J		
89.					Buy (add'l)	12/02/11	J		
90. BORG WARNER INC COM		None	J	T	Buy (add'l)	12/05/11	J		
91. BOTTLING GROUP INC GLB-6.95% 3/15/2014	A	Interest	J	T	Buy	03/03/11	J		
92. BP CAPITAL MARKETS PLC GLB-1.7% 12/5/2014		None	J	T	Buy	12/08/11	J		
93. BRISTOL MYER SQUIBB	A	Dividend	J	T	Buy	02/28/11	J		
94.									
95. BRITISH AMERICAN TOBACCO (X)	A	Dividend	J	T					
96. CA Inc	A	Dividend	J	T	Sold (part)	05/02/11	J	A	
97. CAMECO CORP COM	A	Dividend	J	T	Buy	03/25/11	J		
98. CAMERON INTL CORT	A	Dividend			Sold	06/01/11	J	A	
99. CANADIAN NATURAL RES	A	Dividend			Sold (part)	05/12/11	J		
100.					Sold (part)	08/11/11	J		
101.					Sold (part)	10/06/11	J	B	
102.					Sold	10/07/11	J		

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103. CAPITAL ONE FINANCIAL CORP	A	Dividend	J	T					
104. CARDINAL HEALTH INC OHIO	A	Dividend	J	T					
105. CARREFOUR SA SHS	A	Dividend	J	T					
106. CATERPILLER FINANCIAL SE - 7.150% 2/15/2019 (X)	A	Interest	J	T					
107. Caterpillar Inc stock	A	Dividend	J	T	Buy (add'l)	02/18/11	J		
108.					Sold (part)	05/16/11	J	A	
109.					Sold (part)	08/15/11	J	A	
110. CELGENE CORP		None			Sold (part)	02/09/11	J		
111.					Sold	02/10/11	J		
112. CENTURYTEL INC (Y)									
113. CERNER CORP		None	J	T	Buy	11/21/11	J		
114. CF INDS HLDGS INC		None	J	T	Buy	09/06/11	J		
115. CHECK POINT SOFTWARE TECH	A	Dividend			Sold	08/08/11	J	A	
116. CHESAPEAKE ENERGY OKLA	A	Dividend	J	T					
117. CHEVRON CORP	A	Dividend	J	T	Buy (add'l)	04/01/11	J		
118. Cisco Systems Inc.	A	Dividend	J	T	Sold (part)	02/11/11	J		
119.					Sold (part)	09/06/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. CISCO SYSTEMS INC - BONDS	A	Interest			Sold	06/28/11	J	A	
121. CITIGROUP INC COM NEW (FOMERLY CITIGROUP INC)	A	Dividend	J	T	Sold (part)	08/08/11	J	A	
122. CITIGROUP INC - SUB NOTES	A	Interest	J	T					
123. CITRIX SYSTEMS		None	J	T					
124. CLIFFS NATURAL RESOURCES	A	Dividend	J	T	Buy	05/02/11	J		
125.					Sold (part)	09/06/11	J		
126. CME GROUP INC	A	Dividend	J	T	Sold (part)	10/25/11	J		
127.					Sold (part)	10/26/11	J		
128. CMS ENERGY CORP	A	Interest	J	T					
129. COACH INC	A	Dividend	J	T					
130. Coca Cola Com	A	Dividend	J	T	Sold (part)	05/01/11	J	A	
131.					Buy (add'l)	05/18/11	J		
132.					Buy (add'l)	06/23/11	J		
133. COGNIZANT TECH SOLUTIONS		None			Buy	03/23/11	J		
134.					Sold (part)	06/13/11	J		
135.					Sold (part)	08/02/11	J		
136.					Sold	08/09/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Colgate Palmolive	A	Dividend			Sold (part)	01/07/11	J		
138.					Sold	03/17/11	J		
139. COLUMBIA VALUE & RESTRUCTURING FUND CL Z	A	Dividend	J	T					
140. COMCAST CORP - BONDS	A	Interest	J	T					
141. Comcast Corp New Cl A	A	Dividend	J	T					
142. COMERICA INC	A	Dividend	J	T	Buy	04/07/11	J		
143. Computer Science Crp	A	Dividend			Sold	06/06/11	J		
144. Conoco Phillips	A	Dividend	J	T	Sold (part)	05/03/11	J	A	
145.					Sold (part)	03/01/11	J	A	
146. CONOCOPHILLIPS GLB-4.75% 2/1/2014	A	Interest	J	T	Buy	03/02/11	J		
147. CORNING INC	A	Dividend	J	T	Sold (part)	05/18/11	J	A	
148.					Sold (part)	05/19/11	J	A	
149.					Buy (add'l)	03/14/11	J		
150.					Sold (part)	08/19/11	J		
151. COVIDIEN PLC SHS	A	Dividend	J	T					
152. CTRIP.COM INTL LTD ADR		None			Sold	02/16/11	J		
153. CUMMINS INC	A	Dividend			Sold	08/10/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. CVS CAREMARK CORP (X)	A	Dividend	J	T					
155. CVS CAREMARK CORP-5.75% 3/1/2017	A	Interest	J	T	Buy	03/02/11	J		
156. DAIMLERCHRYSLER NA HOLDING- BONDS 6.5% 11/15/2013	A	Interest	J	T					
157. DANAHER CORP DEL	A	Dividend	J	T					
158. DAITO TR CONSTRUCTION CO	A	Dividend	J	T	Buy	02/15/11	J		
159. DANAHER CORP DEL (X)	A	Dividend	J	T	Sold (part)	02/01/11	J		
160.					Buy (add'l)	05/16/11	J		
161.					Buy (add'l)	05/17/11	J		
162. DANONE-SPON ADR (Y)									
163. DELL INC		None	J	T	Buy	02/07/11	J		
164.					Buy (add'l)	02/28/11	J		
165. DENBURY RES INC		None			Sold	05/01/11	J	A	
166. DEVON ENERGY CORP	A	Dividend	J	T	Buy	03/07/11	J		
167.					Sold (part)	06/23/11	J		
168.					Sold (part)	06/24/11	J		
169. DIAMOND OFFSHORE DRLNG	A	Dividend			Sold (part)	02/01/11	J		
170.					Sold	12/01/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. DIRECTTV HLDG/FIN INC-3.5% 3/1/16	A	Interest	J	T	Buy	03/16/11	J		
172. DISCOVER FINL SVCS	A	Dividend	J	T	Buy	04/04/11	J		
173.					Buy (add'l)	07/11/11	J		
174. DR PEPPER SNAPPLE GROUP	A	Dividend	J	T	Sold (part)	08/08/11	J		
175. E M C CORPORATION MAS		None	J	T					
176. EASTMAN CHEMICAL CO COM (X)		None	J	T					
177. EATON CORP	A	Dividend			Sold	06/06/11	J	A	
178. EASTON VANCE LARGE CAP	A	Dividend			Sold	08/29/11	J	A	
179. EDISON INTL CALIF	A	Dividend	J	T					
180. EDWARDS LIFESCIENCES CRP		None	J	T	Buy	12/13/11	J		
181. EMERSON ELECTRIC	A	Dividend			Sold	05/12/11	J	B	
182. ENSCO INTL LTD SPNSR ADR	A	Dividend	J	T	Buy	11/21/11	J		
183.					Buy (add'l)	12/1/11	J		
184. ENTERPRISE PRODUCTS OPER CO-3.2% 2/1/2016		None	J	T	Buy	11/03/11	J		
185. ENTERPRISE PRODUCTS OPER CO-5.2% 9/1/2020	A	Interest	J	T	Buy	04/15/11	J		
186. EOG RESOURCES	A	Dividend	J	T	Buy (add'l)	12/05/11	J		
187. EXELON CORP BONDS 4.9% 6/15/2015	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. Express Scripts Inc Com		None	J	T	Buy	05/16/11	J		
189. Exxon Mobil	A	Dividend	J	T					
190. F5 NETWORKS INC COM		None			Sold	03/22/11	J		
191. FAMILY DOLLAR STORES		None	J	T	Buy	10/19/11	J		
192. FED HOME LN MTG CORP-6.125% 3/15/2012	A	Interest	J	T					
193. FED HOME LN MTG CORP-4.875% 11/15/013	A	Interest	J	T					
194. FED HOME LN MTG CORP-5.125% 7/15/2012	A	Interest	J	T					
195. FED NAT'L MTG ASSOC-.75% 12/18/2013	A	Interest	J	T	Buy	01/11/11	J		
196. FED NAT'L MTG ASSOC-4.75% 12/15/2010 (Y)									
197. FED NAT'L MTG ASSOC-6.125% 3/15/2012	A	Interest	J	T					
198. FHLMC 50% 2040	A	Interest	J	T	Buy	09/01/11	J		
199. FHLMC 4% 2040	B	Interest	J	T					
200. FHLMC 50% 2021	B	Interest	J	T					
201. FHLMC 50% 2037	B	Interest	J	T					
202. FHLMC 50% 2038	A	Interest			Buy	08/15/11	J		
203.					Buy (add'l)	08/23/11	J		
204.					Sold (part)	08/23/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205.					Sold	08/25/11	J		
206. FHLMC 05% 2038	A	Interest	J	T	Buy	10/12/11	J		
207. FIFTH THIRD BANCORP	A	Dividend			Sold	05/02/11	J		
208. FINMECCANICA SPA SHS	A	Dividend	J	T					
209. FIRST SOLAR INC	A	Dividend			Buy	03/15/11	J		
210.					Buy (add'l)	04/01/11	J		
211.					Sold (part)	06/02/11	J		
212.					Sold	06/24/11	J		
213. FLOWSERVE CORP		None			Buy	02/04/11	J		
214.					Sold (part)	06/02/11	J		
215.					Sold	06/13/11	J		
216. FLUOR CORP NEW		None	J	T	Buy	05/11/11	J		
217.					Buy (add'l)	05/12/11	J		
218.					Sold (part)	09/06/11	J		
219. FNMA 6% 2038	A	Interest	J	T	Redeemed (part)	02/09/11	J		
220.					Redeemed (part)	02/11/11	J		
221. FNMA 6% 2034	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34–60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. FNMA 5% 2035	B	Interest	J	T					
223. FNMA 5% 2036	A	Interest	J	T					
224. FNMA 5% 2033	A	Interest	J	T					
225. FNMA 5% 2036	A	Interest	J	T					
226. FNMA 5.5% 2037	A	Interest	J	T					
227. FNMA 05 50% 2038	A	Int./Div.	J	T	Buy	02/08/11	J		
228. FNMA 6% 2038	A	Interest	J	T	Redeemed (part)	02/09/11	J		
229. FNMA 5% 2038 (Y)									
230. FNMA 5% 2038	A	Interest	J	T					
231. FNMA 04 50% 2023	B	Interest	J	T					
232. FNMA 6% 2038	A	Interest			Redeemed	02/25/11	J		
233. FNMA 50% 2039	A	Dividend	J	T	Buy	10/13/11	J		
234. FNMA 50% 2040	B	Interest	J	T					
235. FNMA 50% 2039	A	Interest	J	T	Buy	04/25/11	J		
236. FNMA 50% 2041	A	Interest	J	T	Buy	02/08/11	J		
237. FNMA 50% 2041	A	Interest	J	T	Buy	08/08/11	J		
238. FNMA 50% 2041	B	Interest	J	T	Buy	03/15/11	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
239.					Buy (add'l)	05/10/11	J		
240. FNMA 4% 2041	A	Int./Div.	J	T	Buy	01/13/11	J		
241. FREPRT-MCMRAN CPR & GLD	A	Dividend	K	T	Sold (part)	05/04/11	J	A	
242.					Sold (part)	09/29/11	J		
243. FUJIFIM HLDGS CORP ADR	A	Dividend	J	T					
244. GAP INC DELAWARE	A	Dividend	J	T	Sold (part)	02/28/11	J	A	
245. GENERAL ELECTRIC CAP CORP SENIOR BONDS 5.25% 10/19/2012	A	Interest	J	T					
246. GENERAL ELECTRIC	A	Dividend	J	T					
247. GENERAL MOTORS CO (X)		None	J	T					
248. GENL DYNAMICS	A	Dividend	J	T	Buy	08/22/11	J		
249. GILEAD SCIENCES INC		None			Buy	07/21/11	J		
250.					Sold (part)	09/27/11	J		
251.					Sold	11/21/11	J		
252. GLAXOSMITHKLINE CAPITAL-5.65% 5/15/2018	A	Interest	J	T					
253. GlaxoSmithKline PLC ADR	A	Dividend	J	T					
254. GOLD FIELDS SP ADR NEW	A	Dividend	J	T					
255. GOLDMAN SACHS GROUP INC	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. GOLDMAN SACHS GROUP INC - NOTES	A	Interest	J	T	Buy (add'l)	05/04/11	J		
257.					Sold (part)	05/03/11	J	A	
258. GOOGLE INC		None	J	T	Buy (add'l)	02/11/11	J		
259.					Buy (add'l)	02/14/11	J		
260.					Buy (add'l)	02/23/11	J		
261.					Buy (add'l)	04/01/11	J		
262.					Buy (add'l)	05/04/11	J		
263.					Buy (add'l)	08/03/11	J		
264.					Buy (add'l)	11/30/11	J		
265. GRUPO TELEvisa SA ADR (Y)									
266. Halliburton Company	A	Dividend	J	T					
267. HARBOR INTERNATIONAL	A	Dividend	J	T					
268. HARRIS CORP DEL (FORMERLY HARRIS STRATEX NETWORK)	A	Dividend	J	T					
269. HARTFORD CAPITAL		None			Sold	08/29/11	J	A	
270. Hartford Financial Services Inc (X)	A	Dividend	J	T					
271. Hess Corp (Y)									
272. Hewlett Packard Co	A	Dividend			Buy (add'l)	02/09/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273.					Sold	07/01/11	J		
274. HOME DEPOST INC - 3.950% 9/15/2020	A	Interest			Redeemed	06/28/11	J		
275. HOME RETAIL GROUP PLC	A	Int./Div.	J	T	Buy	03/15/11	J		
276. Honeywell Intl Inc Del	A	Dividend	J	T					
277. HSBC HLDG PLC	A	Dividend			Sold	03/09/11	J		
278. HUDSON CITY BANKCORP INC	A	Dividend	J	T	Buy (add'l)	01/25/11	J		
279. IMB CORP 4.47% 11/19/2012	A	Interest	J	T					
280. ILLINOIS TOOL WORKS INC (X)	A	Dividend			Sold	12/01/11	J		
281. ILLUMINA INC COM		None	J	T	Buy	01/12/11	J		
282.					Buy (add'l)	03/16/11	J		
283.					Buy (add'l)	05/02/11	J		
284.					Buy (add'l)	07/29/11	J		
285.					Sold (part)	12/12/11	J		
286. INGERSOL-RAND PLC	A	Dividend	J	T					
287. Intel Corp	A	Dividend	J	T	Buy (add'l)	05/19/11	J		
288.					Buy (add'l)	05/19/11	J		
289.					Sold (part)	11/04/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. International Business Machines	A	Dividend	J	T					
291. INTL PAPER CO	A	Dividend	J	T	Sold (part)	01/18/11	J	A	
292.					Buy (add'l)	03/16/11	J		
293.					Buy (add'l)	06/20/11	J		
294. IVY ASSET STRATEGY FUND CL 1 (Y)									
295. JANUS FORTY FD CL 1 (X)		None			Sold	04/25/11	J	A	
296. JOHN DEERE CORP - GLOBAL BONDS 7% 3/15/2012	A	Interest	J	T					
297. JOHNSON AND JOHNSON	A	Dividend	J	T	Buy (add'l)	05/12/11	J		
298.					Buy (add'l)	06/23/11	J		
299.					Buy (add'l)	07/20/11	J		
300.					Sold (part)	11/04/11	J		
301.					Sold (part)	12/02/11	J		
302. JP Morgan Chase & Co	A	Dividend	K	T	Sold (part)	06/23/11	J	A	
303.					Buy (add'l)	12/02/11	J		
304.					Buy (add'l)	12/05/11	J		
305.					Buy (add'l)	05/03/11	J		
306.					Buy (add'l)	05/04/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307.					Buy (add'l)	11/04/11	J		
308.					Buy (add'l)	11/07/11	J		
309. JP MORGAN CHASE GLB-3.150% 7/5/2016		None	J	T	Buy	08/08/11	J		
310. JP MORGAN CHASE BONDS	A	Interest	J	T	Buy (add'l)	03/02/11	J		
311. JUNIPER NETWORKS INC		None	J	T					
312. Kimberly Clark	A	Dividend	J	T	Buy (add'l)	09/22/11	J		
313.					Buy (add'l)	10/03/11	J		
314.					Sold (part)	12/05/11	J	A	
315. KINROSS GOLD CORP	A	Dividend	J	T					
316. KOHLS CORP WISC PV 1CT	A	Dividend	J	T	Buy	08/11/11	J		
317.					Buy (add'l)	09/30/11	J		
318. KOREA ELEC POWER SPN ADR		None	J	T					
319. Kraft Foods Inc	A	Dividend			Sold	11/08/11	J	A	
320. KRAFT FOODS GLB 5.375% 2/10/2020	A	Interest	J	T					
321. KROGER CO (X)	A	Interest	J	T	Buy (add'l)	06/27/11	J		
322.					Buy (add'l)	07/05/11	J		
323.					Buy (add'l)	10/03/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

324. L-3 COMMUNICATIONS HOLDING	A	Dividend	J	T					
325. LABORATORY CP AMER HLDGS		None			Sold	01/21/11	J	A	
326. LAS VEGAS SANDS CORP		None	J	T	Buy	06/07/11	J		
327.					Buy (add'l)	06/08/11	J		
328.					Sold (part)	11/29/11	J	A	
329. LAUDER ESTEE COMPANIES INC CL A	A	Dividend	J	T	Buy	08/10/11	J		
330. LILLY ELI & CO	A	Dividend	J	T	Buy	02/07/11	J		
331.					Buy (add'l)	02/08/11	J		
332.					Buy (add'l)	05/03/11	J		
333.					Buy (add'l)	05/06/11	J		
334. LIMITED BRANDS INC	A	Dividend	J	T	Sold (part)	07/11/11	J	A	
335. LLOYDS TSB GROUP SPD		None			Sold	05/09/11	J		
336. Lockheed Martin Corp	A	Dividend	J	T	Buy (add'l)	07/11/11	J		
337. Loews Corp (Y)									
338. LORILLARD INC	A	Dividend	J	T	Buy	10/04/11	J		
339. LUBRIZOL CORP	A	Dividend			Sold (part)	03/15/11	J	B	
340.					Sold	03/16/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

341. LVMH MOET HENNESSY ADR (Y)									
342. MAINSTAY LARGE CAP		None	J	T	Buy	04/25/11	J		
343. MARATHON OIL CORP	A	Dividend	J	T	Sold (part)	03/14/11	J		
344.					Sold (part)	07/08/11	J		
345.					Sold (part)	03/01/11	J		
346.					Buy (add'l)	07/13/11	J		
347. MARATHON OIL CORP-6% 10/01/2017	A	Interest			Sold	04/14/11	J	A	
348. MATTEL INC COM	A	Dividend	J	T					
349. MCDONALDS CORP	A	Dividend	J	T	Sold (part)	01/06/11	J	A	
350.					Sold (part)	01/12/11	J	A	
351.					Sold (part)	01/13/11	J	A	
352.					Buy (add'l)	05/25/11	J		
353.					Buy (add'l)	06/01/11	J		
354. MEAD JOHNSON NUTRTION CO	A	Dividend	J	T					
355. MEADWESTVACO CORP	A	Dividend	J	T					
356. METRONIC INC COM	A	Dividend	J	T					
357. MERCK AND CO INC	A	Dividend	J	T	Sold (part)	01/24/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358. Metlife Inc	A	Dividend	J	T					
359. Microsoft Corp	A	Dividend	K	T	Buy (add'l)	08/09/11	J		
360.					Buy (add'l)	08/15/11	J		
361.					Sold (part)	03/28/11	J		
362.					Buy (add'l)	07/11/11	J		
363.					Sold (part)	12/12/11	J		
364. MITSUBISHI ESTATE ADR	A	Dividend	J	T					
365. MONSANTO CO NEW DEL COM	A	Dividend	J	T	Buy	06/01/11	J		
366.					Buy (add'l)	07/01/11	J		
367.					Buy (add'l)	10/14/11	J		
368. MORGAN STANLEY - NOTES (Y)									
369. MORGAN ST DEAN WITTER GLB-6.6% 4/1/2012 (X)	A	Interest	J	T					
370. MOTOROLA SOLUTIONS INC (FORMERLY MOTOROLA INC)	A	Dividend	J	T	Buy (add'l)	01/24/11	J		
371. MRV ENGENHRA SPND ADR		None	J	T	Buy	06/01/11	J		
372. MS&AD INS GROUP HLDGS (X)	A	Dividend	J	T					
373. NABORS INDUSTRIES LTD		None	J	T					
374. NATIONALOIL WELL VARCO	A	Dividend	J	T	Buy (add'l)	03/16/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375.					Buy (add'l)	07/27/11	J		
376. NETAPP INC		None			Sold	04/05/11	J		
377. NETFLIX COM INC		None	J	T	Sold (part)	06/07/11	J	A	
378.					Sold (part)	09/29/11	J		
379.					Buy (add'l)	11/04/11	J		
380. NEW CORP CL A		None			Sold	02/07/11	J	A	
381. NEW YORK CMNTY BANCORP (Y)									
382. NEWCREST MNG LTD SPN ADR	A	Dividend	J	T					
383. NEWMONT MINING CORP (X)	A	Dividend	J	T					
384. NEWS AMERICA INC 5.3% 12/15/2014	A	Interest			Redeemed	07/15/11	J	A	
385. NIPPON TELG&TEL SPDN ADR	A	Dividend	J	T					
386. NOBLE ENERGY INC (Y)									
387. Nokia Corp	A	Dividend	J	T					
388. NORDSTROM INC	A	Dividend			Sold	06/24/11	J	A	
389. NORTHROP GRUMMAN CORP	A	Dividend	J	T					
390. NVIDIA		None			Buy	01/07/11	J		
391.					Sold	03/10/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Tucker, Petrese B.

Date of Report

09/28/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392. OAO GAZPROM SPON ADR (X)	A	Dividend	J	T					
393. Oracle Corp	A	Dividend	J	T	Buy (add'l)	05/02/11	J		
394. PANASONIC CORP SHS (X)	A	Dividend	J	T					
395. PARKER HANNIFIN CORP	A	Dividend			Sold	08/22/11	J	A	
396. Pepsico Inc	A	Dividend	J	T	Buy (add'l)	05/18/11	J		
397.					Buy (add'l)	06/23/11	J		
398.					Sold (part)	12/01/11	J		
399.					Sold (part)	01/24/11	J		
400. Pfizer Inc Del PV .05	A	Dividend	J	T	Sold (part)	05/03/11	J		
401. PFIZER INC-6.2% 3/15/2019	A	Interest	J	T					
402. PHILIP MORRIS INTL INC	A	Dividend	J	T	Buy (add'l)	08/08/11	J		
403.					Buy (add'l)	10/31/11	J		
404.					Buy (add'l)	12/05/11	J		
405. PHILIPS ELECTRONIC NV GLB-5.750% 3/11/2018	A	Interest			Buy	03/02/11	J		
406.					Sold	06/28/11	J		
407. PIMCO EMERGING LOCAL BOND FUND	A	Dividend	J	T					
408. PIMCO FIXED INCOME SHS SERIES C INSTL	B	Dividend	K	T	Buy (add'l)	02/22/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Tucker, Petrese B.

Date of Report

09/28/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409. PIMCO FIXED INCOME SHS SERIES M INSTL	B	Interest	K	T	Buy (add'l)	02/22/11	J		
410. PIMCO TOTAL RETURN FUND CL P	A	Dividend	J	T					
411. PITNEY BOWES INC	A	Dividend	J	T					
412. PNC FINANCIAL SERVICES GROUP INC	A	Dividend	J	T					
413. PRAXAIR INC	A	Dividend	J	T	Buy	08/29/11	J		
414. PRECISION CASTPARTS	A	Dividend	J	T					
415. PRICELINE COM INC		None			Sold (part)	02/04/11	J	A	
416.					Sold	03/01/11	J	B	
417. Proctor Gamble		None	J	T	Buy (add'l)	05/12/11	J		
418.					Buy (add'l)	05/17/11	J		
419.					Buy (add'l)	06/22/11	J		
420.					Sold (part)	10/28/11	J	A	
421.					Sold (part)	12/05/11	J	A	
422. PRUDENTIAL PLC (Y)									
423. QUALCOMM INC	A	Dividend	J	T	Buy	07/21/11	J		
424.					Buy (add'l)	11/18/11	J		
425. QWEST COMM INTL INC COM (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Tucker, Petrese B.

Date of Report

09/28/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
426. RR DONNELLEY SONS	A	Dividend	J	T	Sold (part)	07/11/11	J		
427. RANGE RESOURCES CORP DEL	A	Dividend	J	T	Sold (part)	05/18/11	J		
428. Raytheon Co Delaware New	A	Dividend	J	T	Buy (add'l)	05/19/11	J		
429.					Sold (part)	12/02/11	J		
430. REYNOLDS AMERICAN INC	A	Dividend	J	T	Sold (part)	12/01/11	J		
431. ROCKWELL AUTOMATION	A	Dividend	J	T	Buy	02/14/11	J		
432.					Buy (add'l)	02/15/11	J		
433.					Sold (part)	08/23/11	J		
434.					Buy (add'l)	12/09/11	J		
435.					Buy (add'l)	12/12/11	J		
436.					Buy (add'l)	12/13/11	J		
437. ROCKWELL COLLINS	A	Dividend			Sold (part)	03/24/11	J	A	
438.					Sold	08/10/11	J		
439. ROSS STORES INC COM	A	Dividend	J	T	Sold (part)	03/21/11	J	A	
440. ROWAN COMPANIES		None			Sold	01/18/11	J	A	
441. ROYAL DUTCH SHELL	A	Dividend	J	T	Sold (part)	10/07/11	J		
442. RYDER SYSTEMS INC	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

443. SALESFORCE COM INC		None	J	T	Buy (add'l)	12/05/11	J		
444. SANDISK CORP INC		None	J	T	Sold (part)	06/23/11	J		
445.					Sold (part)	06/24/11	J		
446.					Buy (add'l)	12/05/11	J		
447.					Sold (part)	02/07/11	J	A	
448.					Sold (part)	06/06/11	J		
449. Sanofi Aventis Spon ADR	A	Dividend	J	T	Sold (part)	04/01/11	J	A	
450. SAP AG SHS	A	Dividend	J	T					
451. SARA LEE CORP	A	Dividend			Sold	12/07/11	J	A	
452. SBERBANK SPONSORED ADR		None	J	T	Buy	10/10/11	J		
453. Schlumberger Ltd	A	Dividend	J	T	Buy (add'l)	02/04/11	J		
454.					Buy (add'l)	10/26/11	J		
455.					Buy (add'l)	11/30/11	J		
456. SEKISUI HSE LD SPONS ADR	A	Dividend	J	T					
457. SEVEN AND I HOLDINGS CO (Y)									
458. SHISEIDO LTD SPONSRD ADR (Y)									
459. SIGMA ALDRICH CORP	A	Dividend	J	T	Buy	06/23/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

460.					Buy (add'l)	07/11/11	J		
461. SINA CORPORATION		None			Buy	01/13/11	J		
462.					Buy (add'l)	01/14/11	J		
463.					Sold	09/30/11	J	B	
464. SK TELECOM	A	Dividend	J	T					
465. SOUTHWEST AIRLNS CO	A	Dividend	J	T					
466. SOUTHWESTERN ENERGY CO		None			Sold	02/09/11	J		
467. SPRINT NEXTEL CORP COM SER 1		None	J	T	Buy	06/06/11	J		
468.					Buy (add'l)	07/05/11	J		
469. STARBUCKS CORP	A	Dividend	J	T	Buy (add'l)	05/18/11	J		
470. STARWOOD HOTELS AND RESORTS	A	Dividend	J	T	Buy	01/21/11	J		
471.					Buy (add'l)	01/24/11	J		
472.					Buy (add'l)	08/04/11	J		
473. STERICYCLE INC COM		None	J	T	Buy	08/19/11	J		
474. STRYKER CORP	A	Dividend			Buy	05/12/11	J		
475.					Buy (add'l)	05/13/11	J		
476.					Sold (part)	08/18/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

477.					Sold	08/19/11	J		
478. SUMITOMO MITSUI-UNSPONS	A	Dividend	J	T					
479. SUNCOR ENERGY INC NEW (Y)									
480. SWISSCOM AG ADR	A	Dividend	J	T					
481. SYMANTEC CORP		None	J	T	Buy	08/08/11	J		
482. T ROWE PRICE DIVIDEND GROWTH FUND	A	Dividend	J	T					
483. TAIWAN SEMICONDUCTOR MANUFACTURING CO LTD (Y)									
484. TARGET CORP	A	Dividend			Sold (part)	03/07/11	J	A	
485.					Sold	08/08/11	J		
486. TELECOM ITALIA SPA ADR (X)	A	Dividend	J	T					
487. TELEFONICA EMISIONES SAU COMPANY - 3.992% 2/16/2016	A	Interest	J	T	Buy	02/11/11	J		
488. TELEKOMUNIKASI INDONESIA	A	Dividend	J	T					
489. TELSTRA CORP	A	Dividend	J	T	Buy	02/18/11	J		
490. TEXAS INSTRUMENTS	A	Dividend			Sold (part)	02/09/11	J	A	
491.					Sold	02/10/11	J	A	
492. TEXTRPM INC		None			Buy	04/07/11	J		
493.					Buy (add'l)	04/08/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

494.					Buy (add'l)	04/25/11	J		
495.					Sold (part)	05/18/11	J		
496.					Sold	06/03/11	J		
497. TIME WARNER INC	A	Dividend	J	T	Buy (add'l)	05/19/11	J		
498. TIME WARNER INC-4.875% 3/15/2020	A	Interest	J	T					
499. TITANIUM METALS CORP NEW		None			Sold	05/02/11	J	A	
500. TJX COS INC NEW	A	Dividend			Sold (part)	02/07/11	J	A	
501.					Sold	03/01/11	J	A	
502. TNT EXPRESS NV SHS ADR (X)		None	J	T					
503. Total S.A. Sp ADR	A	Dividend	J	T	Sold (part)	11/01/11	J		
504. TOYOTA MOTOR CORP ADR	A	Dividend	J	T					
505. TRAVELERS COS INC	A	Dividend	J	T					
506. TURKIYE GRTI BK SPN ADR (Y)									
507. TYCO INTL LTD NAMEN-AKT	A	Dividend	J	T	Buy	06/10/11	J		
508.					Buy (add'l)	07/01/11	J		
509. TYSON FOODS INC CL A	A	Dividend	J	T	Buy	06/23/11	J		
510.					Buy (add'l)	06/24/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

511. UBS AG (Y)									
512. UNION PACIFIC CORP	A	Dividend	J	T	Buy (add'l)	10/06/11	J		
513.					Buy (add'l)	10/07/11	J		
514. UNITED PARCEL SERVICE INC CL B (Y)									
515. United Techs Corp Com	A	Dividend	J	T					
516. UNITEDHEALTH GROUP	A	Dividend	J	T					
517. UNUM GROUP	A	Dividend	J	T					
518. US TREASURY BILL-0% 12/15/2011		None			Buy	06/15/11	J		
519.					Buy (add'l)	06/27/11	J		
520.					Redeemed	09/01/11	J	A	
521. US TREASURY BILL-0% 12/22/11		None			Buy	08/01/11	J		
522.					Redeemed (part)	09/30/11	J	B	
523.					Redeemed	11/01/11	J		
524. US TREASURY BILL-0% 9/8/11		None			Buy	03/10/11	J		
525.					Redeemed	09/08/11	K	A	
526. US TREASURY BOND-4.375% 11/15/2039	A	Interest	J	T					
527. US TREASURY NOTE-2.875% 1/31/2013	A	Interest	J	T	Buy (add'l)	04/14/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

528. US TREASURY NOTE-4.25% 11/15/2017	A	Interest	J	T	Buy (add'l)	04/14/11	J		
529. US TREASURY NOTES-4.5% 9/30/2011		None			Buy (add'l)	04/14/11	J		
530.					Redeemed	09/30/11	J		
531. US TREASURY NOTE-5.125% 6/30/2011	A	Interest			Redeemed	06/30/11	J		
532. US TREASURY NOTE-.875% 2/29/2012	A	Interest	J	T	Buy (add'l)	06/10/11	J		
533. US TREASURY NOTE-.5% 05/31/2013	A	Interest	J	T	Buy	06/01/11	J		
534. US TREASURY NOTE-1% 7/31/2011	A	Interest			Redeemed	07/31/11	J	A	
535. US TREASURY NOTE-1.375% 3/15/2013	A	Interest	J	T	Buy (add'l)	06/10/11	J		
536. US TREASURY NOTE-1.375% 5/15/2012	A	Interest	J	T	Buy (add'l)	04/14/11	J		
537. US TREASURY NOTE-1.50% 7/31/2016		None	J	T	Buy	08/09/11	J		
538. US TREASURY NOTE-1.750% 1/31/2014	A	Interest	J	T					
539. US TREASURY NOTE-2.125% 8/15/2021		None	J	T	Buy	09/13/11	J		
540. US TREASURY NOTE-2.625% 06/30/2014 (Y)									
541. US TREASURY NOTE-2.375% 10/31/2014 (X)		None			Redeemed	02/11/11	J	A	
542. US TREASURY NOTE-2.375% 7/31/2017	A	Interest	J	T					
543. US TREASURY NOTE-2.5% 6/30/2017	A	Interest			Redeemed	02/10/11	J		
544. US TREASURY NOTE-2.625% 11/15/2020	A	Interest	J	T	Buy	09/01/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

545.					Buy (add'l)	09/30/11	J		
546. US TREASURY NOTE-2.625% 12/31/2014	A	Int./Div.	J	T	Buy	07/15/11	J		
547. US TREASURY NOTE-2.625% 6/30/2014	A	Interest			Redeemed (part)	05/24/11	J	A	
548.					Redeemed (part)	06/15/11	J	A	
549.					Redeemed	07/18/11	J	A	
550. US TREASURY NOTE-2.750% 11/30/2016	A	Interest	J	T	Buy (add'l)	02/01/11	J		
551.					Buy (add'l)	05/01/11	J		
552. US TREASURY NOTE-3.125% 5/15/2019	A	Interest	K	T	Buy (add'l)	01/04/11	J		
553.					Buy (add'l)	04/01/11	J		
554.					Redeemed (part)	06/27/11	J	A	
555.					Buy (add'l)	07/01/11	J		
556. US TREASURY NOTE-3.125% 5/15/2021	A	Interest	J	T	Buy	06/15/11	J		
557.					Buy (add'l)	11/01/11	J		
558.					Buy (add'l)	12/01/11	J		
559. US TREASURY NOTE-3.625% 02/15/2020	A	Interest	J	T	Buy (add'l)	04/14/11	J		
560. US TREASURY NOTE-3.625% 08/15/2019	A	Interest	J	T	Buy (add'l)	04/14/11	J		
561. US TREASURY NOTE-4% 02/15/2015	A	Interest			Redeemed	02/01/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
562. US TREASURY NOTE-4.25% 9/30/2012	B	Interest	K	T	Buy (add'l)	08/01/11	J		
563.					Redeemed (part)	07/15/11	J		
564. US TREASURY NOTE-4.5% 09/30/2011	A	Interest			Buy (add'l)	02/16/11	J		
565.					Redeemed (part)	04/01/11	J		
566.					Redeemed (part)	05/01/11	J		
567.					Redeemed (part)	06/30/11	J		
568.					Redeemed	09/01/11	J		
569. US TREASURY NOTE-5% 02/15/2011	A	Interest			Redeemed (part)	01/04/11	J		
570.					Redeemed (part)	02/01/11	J		
571.					Redeemed (part)	02/10/11	J		
572.					Redeemed	02/15/11	J		
573. US TRSY INFLATION BOND-2.375% 1/15/2029	A	Interest	J	T	Buy	06/10/11	J		
574.					Buy (add'l)	07/13/11	J		
575.					Buy (add'l)	07/27/11	J		
576. US TREASURY NOTE-2.375% 04/15/2011	A	Interest			Redeemed	04/15/11	J	A	
577. US TRSY INFLATION BOND-3.625% 4/15/2028	A	Interest	J	T	Buy	06/10/11	J		
578. US TRSY INFLATION NOTE-2.375% 1/15/2017	A	Interest			Redeemed	08/01/11	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
579. US TRSY INFLATION NOTE-3% 7/15/2012	A	Interest	J	T					
580. US TRSY INFLATION NOTE-.625% 7/15/2021		None	J	T	Buy	08/01/11	J		
581. USD CANADIAN NATURAL RES-5.7% 5/15/2017	A	Interest	J	T					
582. V F CORPORATION	A	Dividend	J	T	Sold (part)	07/14/11	J	A	
583. VALE SE (Y)									
584. VALEO SPONSORED ADR (X)	A	Dividend	J	T					
585. Valero Energy Corp New	A	Dividend	J	T	Buy	06/06/11	J		
586.					Buy (add'l)	12/12/11	J		
587. VERIFONE SYSTEMS INC		None	J	T	Buy	09/19/11	J		
588. VERIZON COMMUNICATION COM	A	Dividend	J	T	Sold (part)	10/03/11	J	A	
589.					Sold (part)	05/03/11	J	A	
590.					Sold (part)	05/05/11	J		
591. VERIZON COMMUNICATION-5.5% 02/15/2018	A	Int./Div.	J	T					
592. VIACOM INC NEW CL B (FORMERLY Viacom Inc New)	A	Dividend	J	T					
593. VISA INC CL A SHRS	A	Dividend			Sold	01/13/11	J	A	
594. VODAFONE	A	Dividend	J	T					
595. WACHOVIA CORP BOND	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
596. WACOAL HOLDINGS CORP ADR (Y)									
597. Wal-Mart Stores Inc	A	Dividend	J	T	Buy (add'l)	06/23/11	J		
598.					Buy (add'l)	10/04/11	J		
599.					Sold (part)	12/05/11	J	A	
600.					Sold (part)	02/28/11	J		
601.					Sold (part)	07/14/11	J		
602.					Sold (part)	07/15/11	J		
603. WALMART STORES INC 5.8% 2/15/2018	A	Interest	J	T					
604. WATERS CORP		None	J	T	Buy	02/10/11	J		
605.					Buy (add'l)	02/11/11	J		
606. WELLPOINT INC	A	Dividend	J	T					
607. WELLS FARGO & CO NEW DEL	A	Dividend	J	T					
608. WESTERN UN CO	A	Dividend	J	T	Buy (add'l)	05/09/11	J		
609. WHIRLPOOL CORP (X)	A	Dividend	J	T					
610. WILLIAMS COMPANIES DEL	A	Dividend			Sold	07/11/11	J	A	
611. XEROX CORP	A	Dividend	J	T					
612. XSTRATA PLC	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4) 2. Value Codes (See Columns C1 and D3) 3. Value Method Codes (See Column C2)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
613. YUM BRANDS INC	A	Dividend	J	T	Buy	05/20/11	J		
614.					Buy (add'l)	05/23/11	J		
615.					Buy (add'l)	05/24/11	J		
616.					Sold (part)	09/30/11	J		
617. POTASH CORP SASKATCHEWAN	A	Dividend			Sold	08/19/11	J	A	
618.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Investment Line 5 - Appraisal Date 1/20/2009

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Petrese B. Tucker**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544