

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Dubina, Joel F.	2. Court or Organization U.S. Court of Appeals/11th Cir	3. Date of Report 05/14/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. Circuit Judge -- Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/2011 to 12/31/2011
7. Chambers or Office Address Post Office Box 867 Montgomery, AL 36101-0867		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the <i>NONE</i> box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Shareholder	Investments Unlimited, a limited liability corp. ("LLC")
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 12

Name of Person Reporting

Dubina, Joel F.

Date of Report

05/14/2012

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 12

Name of Person Reporting

Dubina, Joel F.

Date of Report

05/14/2012

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 12

Name of Person Reporting

Dubina, Joel F.

Date of Report

05/14/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. L [REDACTED]									
2. COMMON STOCKS									
3. Insignia Fini Group Inc.	A	Dividend	J	T					
4. Torchmark Corp	A	Dividend	K	T					
5. U. S. Shelter Corp. Del	A	Dividend	J	T					
6. Money Market Funds [REDACTED] Sterne, Agee & Leach	A	Interest	J	T					
7. 12.5% interest Investments Unlimited, an L.L.C.	D	Rent	P1	W					See Section VIII.
8. 12.5% interest in house, Ada, AL		None	L	W					
9. II. TRUSTS ASSETS #1									
10. Money Market Funds [REDACTED] Sterne, Agee & Leach	A	Interest	K	T					
11. University AL Huntsville (X)	B	Interest	L	T					
12. Montgomery AL Tax CPN	B	Interest	L	T					
13. General Electric	B	Dividend	K	T					
14. Torchmark Corp.	B	Dividend	M	T					
15. River Bank and Trust (X)		None	K	T					
16. Huntsville, AL Warrants Series A	B	Interest	L	T					
17. Irondale, AL Wts - Ser. D Ins. XLCA 4%	B	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 12

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Intermediate Mun. Tr Sh Ben. Int.	C	Dividend	M	T					
19. Opp, AL Wts FSA B/E Cpn	B	Interest	L	T					
20. U.S. Treasury Bond 5/375%	C	Interest	L	T					
21. U.S. Treasury Bond 7.25%	C	Interest	L	T					
22. Altria Group Inc.	B	Dividend	K	T					
23. John Hancock Flexible Prem. Life Ins.		None	L	U					
24. Adobe Systems		None	K	T					
25. Freeport McMoran	A	Dividend	J	T					
26. Microsoft Corp.	A	Dividend	J	T					
27. Novartis A G	A	Dividend	J	T					
28. Priceline Com		None	K	T					
29. Select Sector SPDR TR SBI FINANCIAL		None			Sold	05/11/10	J		all sold in 2010
30. IShares Trust S&P	B	Dividend	K	T					
31. Protective Life Corp.	A	Dividend	K	T					
32. Equity Residential PPTYS	A	Dividend	K	T					
33. Rent-A-Center	A	Dividend	K	T					
34. Toronto Dominion Bank	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 6 of 12

Name of Person Reporting

Dubina, Joel F.

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35. Gartner, Inc.		None	J	T					
36. Google, Inc.		None	J	T					
37. Mosaic Company	A	Dividend	J	T					
38. JP Morgan USD Emerging MRKTS Bond	A	Dividend	J	T					
39. Apple Computer, Inc.		None	J	T					
40. Amazon.Com, Inc.		None	J	T					
41. Communications HLDGS	A	Dividend	J	T					
42. Emerson Electric Co.	A	Dividend	K	T					
43. iShares GSCI Commodity Index	A	Dividend	J	T					
44. iShares DJ Sel	A	Dividend	J	T					
45. [REDACTED]									
46. Money Market Funds [REDACTED] Sterne, Agee	A	Interest	J	T					
47. Torchmark Corp.	C	Dividend	N	T	Sold (part)	6/29/11	K	E	
48. 56 TMK, United Inc.	A	Dividend	J	T					
49. 12.5% interest Investments Unlimited, as L.L.C.	D	Rent	P1	W					See Section VIII
50. 12.5% interest in house, Ada, AL		None	L	W					
51. Hancey Mill Road Farm, L.L.C.		None	M	R					See Section VIII

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FINANCIAL DISCLOSURE REPORT

Page 7 of 12

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Dubina, Joel F.

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52. General Electric Com.	A	Dividend	J	T					
53. Fairhope, AL Utilities	A	Interest	J	T					
54. Tuscaloosa, AL Warrant FSA B/E	A	Interest	K	T					
55. Waddell & Reed	A	Dividend	J	T					
56. Direxion Daily Financial Bear 3 Xshares		None							all sold in 2009
57. Proshares Trust Powershares Ultrashort Real Estate		None	J	T					
58. Pimco FDS Total Retu FD CLA	A	Dividend	J	T					
59. Powershares GloBale Trust Aggregate PFD Portfolio	A	Dividend	J	T					
60. Birmingham, AL Spl CR FAC Fing Ath Children's Hospital	B	Interest	K	T					
61. Southern Company	B	Dividend	K	T					
62. Conoco Phillips	A	Dividend			Sold	05/05/11	J	C	
63. Huntsville AL RFDG & Cap Improvements WTS Ser A	A	Interest	K	T					
64. Frontline Limited		None			Sold	01/28/11	J		
65. Allergan Inc (X)		None	J	T					See Section VIII for
66. Altria Group (X)		None	K	T					lines 65-93
67. American Express COMRMT (X)		None	J	T					
68. Apple Inc. RMT (X)		None	K	T					

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FINANCIAL DISCLOSURE REPORT

Page 8 of 12

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Dubina, Joel F.

Date of Report

05/14/2012

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69. BCE INC NEW RMT (X)		None	K	T					
70. BP PRUDHOE BAY ROYALALMT TRUST (X)		None	K	T					
71. BAIDU INC RMT (X)		None	J	T					
72. BRUKER CORP. RMT (X)		None	J	T					
73. CELGENE CORP (X)		None	J	T					
74. Companhia De Bebidasrmt Das Amers Ambev Spons (X)		None	J	T					
75. Darden Restaurants IRMT (X)		None	J	T					
76. Du Pont E.L.De Nemourmt & Co. (X)		None	J	T					
77. Express Scripts Inc. RMT (X)		None	J	T					
78. Gartner Inc. RMT (X)		None	J	T					
79. Genuine Parts Companrmt (X)		None	J	T					
80. ISHARES Silver Trustrmt (X)		None	J	T					
81. ISHARES Trust RMT S & P US PFD Stock Index Fund (X)		None	J	T					
82. MICROS Systems Inc RMT (X)		None	J	T					
83. Microchip Technology RMT (X)		None	J	T					
84. Nike Inc Class B RMT (X)		None	J	T					
85. Novartis AG RMT Sponsored ADR (X)		None	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 9 of 12

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86. Praxair Inc. RMT (X)		None	J	T					
87. Priceline com Inc. Nermt (X)		None	J	T					
88. Sally Beauty Holdingrmt Inc. (X)		None	K	T					
89. SPDR Gold Trust RMT Gold Shares (X)		None	K	T					
90. TJX Companies Inc. NERMT (X)		None	K	T					
91. WD 40 Company RMT (X)		None	J	T					
92. Torchmark Corp RMT (X)		None	N	T					
93. River Bank & Trust RMT (X)		None	K	T					
94. Birmingham Baptist Al. MD Ctr Spl Cure Fac. 6.125 cpi 5.875	B	Interest	K	T	Buy	03/23/11	K		
95. Conoco Phillips		None	J	T	Buy	08/30/11	J		
96. Dell, Inc.		None			Buy	01/28/11	J		
97.					Sold	07/18/11	J	B	
98. Hewlett-Packard Co.	A	Dividend			Buy	04/20/11	J		
99.					Sold	06/16/11	J		
100. Jos. A. Banks Clothiers Inc.		None	J	T	Buy	03/31/11	J		
101.					Buy	06/10/11	J		
102. Linn Energy LLC Unit		None	J	T	Buy	08/25/11	J		

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FINANCIAL DISCLOSURE REPORT

Page 10 of 12

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103. Madison cnty AL Brd Ed Cap OID96.801 4.7% CPN 4.500%		None	K	T	Buy	09/16/11	K		
104. Reynolds American Inc.	A	Dividend	J	T	Buy	04/21/11	J		

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FINANCIAL DISCLOSURE REPORT

Page 11 of 12

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Dubina, Joel F.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII. Investments & Trusts.

No. 8 & 61. Property located in Montgomery County, AL; Walton County, FL; Lowndes County, AL

No. 63. Effective December 31, 2007, I gifted my interest in land in Montgomery County, equally to [REDACTED] I formed an L.L.C. named "Hancey Mill Road Farm, L.L.C." My 50% interest in the land was deeded into Hancey Mill Road Farm, L.L.C. and then gifted to my [REDACTED] in equal shares. The total value of this property at the time it gifted was \$790,000 to be equally shared by the 4. I filed a gift tax return showing this transfer on 4/15/08.

No. 65 through 93: These assets were inherited from [REDACTED] on December 31, 2011, which is why there is no income reported on any of these investments for 2011. Next year, income will be reported on the 2012 Financial Disclosure Report.

FINANCIAL DISCLOSURE REPORT

Page 12 of 12

Name of Person Reporting

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Joel F. Dubina**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544