

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Ebel, David M.	2. Court or Organization Tenth Circuit Court of Appeals	3. Date of Report 06/21/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge (Senior)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address Byron White U.S. Courthouse 1823 Stout Street Room 109L Denver, CO 80257		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2017

Public Employees Retirement Association (PERA) -- retirement

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Fidelity Select Energy Service Fd. (See Note 1) (Y)									
2. EYeris Inc. Class A Common Stock (Y)									
3. EYeris Inc. Class B Common Stock (Y)									
4. Janus Henderson Growth & Income Fund D Shares (See Note 2)	A	Dividend			Buy (add'l)	01/06/17	K		
5.					Sold (part)	01/24/17	K		
6.					Sold (part)	04/11/17	K	B	
7.					Sold	05/23/17	K	B	
8. Fidelity U.S. Gov't Reserves (See Note 3) (Y)									
9. Fidelity Emerging Markets Fd. (See Note 4) (Y)									
10. Fidelity Value Fd. (Y)					Sold	05/05/17	J	D	
11. Fidelity Diversified Int'l Fd. (See Note 5) (Y)									
12. Janus Henderson Short Term Bond Fund F/ K/A Janus Short Term Bond Fd. D	A	Dividend			Sold	12/11/17	J	A	
13. Janus Henderson Mid Cap Valud Fund D F/ K/A Perkins Mid-Cap Value Fd. D		None			Sold (part)	01/24/17	K	B	
14.					Sold (part)	04/11/17	K	B	
15.					Sold	05/23/17	J	A	
16. Fidelity Int'l Small Cap Fd. (See Note 6) (Y)									
17. Fidelity Contra Fd. (See Note 7) (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 I ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Northwestern Mutual Modified Whole Life Policies	E	Interest	M	T					
19. Mass. Mutual Whole Life Policy	C	Interest	K	T					
20. Thrivent Lutheran Brotherhood Whole Life Policy	B	Interest	K	T					
21. Janus Henderson Enterprise Fund D Shares F/K/A Janus Enterprise Fund D	A	Dividend			Sold	05/23/17	M	D	
22. Fidelity Environmental and Alternative Energy (See Note 8) (Y)									
23. Janus Henderson Fund D shares F/K/A/ Janus Fund D	A	Dividend			Sold	04/28/17	J	A	
24. Fidelity Select Natural Resources (See Note 9) (Y)									
25. Open Intelligence Software Group, Inc. Class A Common Stock	A	Dividend	J	U					
26. CVS Health Corp (See Note 10) (Y)									
27. Medallion Resources (See Note 11) (Y)									
28. Fidelity Acct. Individual (Y)									
29. Costco Wholesale Corp (COST) (Y)									
30. IRA #1 (H)									
31. - Janus Henderson Money Market Fund D Shares (See Note 12)	A	Interest	J	T	Sold (part)	01/06/17	L		
32.					Buy (add'l)	01/24/17	L		
33.					Buy (add'l)	04/11/17	L		
34.					Sold (part)	04/25/17	M		

1. Income Gain Codes: (See Columns B1 and D4)	A –\$1,000 or less F –\$50,001 - \$100,000	B –\$1,001 - \$2,500 G –\$100,001 - \$1,000,000	C –\$2,501 - \$5,000 H1 –\$1,000,001 - \$5,000,000	D –\$5,001 - \$15,000 H2 –More than \$5,000,000	E –\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J –\$15,000 or less N –\$250,001 - \$500,000 P3 –\$25,000,001 - \$50,000,000	K –\$15,001 - \$50,000 O –\$500,001 - \$1,000,000	P1 –\$1,000,001 - \$5,000,000 P4 –More than \$50,000,000	L –\$50,001 - \$100,000 M –\$100,001 - \$250,000 P2 –\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q –Appraisal U –Book Value	R –Cost (Real Estate Only) V –Other	S –Assessment W –Estimated	T –Cash Market	

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35.					Sold (part)	05/23/17	L		
36.					Buy (add'l)	08/21/17	K		
37.					Sold (part)	10/04/17	K		
38.					Sold (part)	12/29/17	J		
39. - Janus Henderson Global Technology Fund D Shares (See Note 13)	D	Dividend	M	T	Sold (part)	08/21/17	K	B	
40.					Buy (add'l)	10/04/17	K		
41.					Buy (add'l)	12/11/17	K		
42. - Janus Henderson Emerging Markets Fund D Shares	B	Dividend	K	T	Buy	06/09/17	L		
43.					Sold (part)	12/11/17	K	B	
44. - Janus Henderson Research Fund D Shares	A	Dividend	J	T	Buy	04/28/17	J		
45.					Sold (part)	08/21/17	J	A	
46. - Janus Henderson Overseas Fund D Shares		None			Buy	04/25/17	L		
47.					Sold	05/23/17	L	B	
48. - Janus Henderson Small Cap Value Fund D Shares		None			Buy	01/06/17	J		
49.					Sold	04/11/17	J	A	
50. - Janus Henderson Emerging Markets Fund D Shares	B	Dividend			Buy	04/25/17	L		
51.					Sold	06/09/17	L	B	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52. - Janus Henderson Diversified Alternatives Fund D Shares		None			Buy	01/06/17	K		
53.					Sold	04/11/17	K	A	
54. Brokerage (H)									
55. - Amazon.Com Inc		None	J	T	Buy (add'l)	01/13/17	J		
56.					Buy (add'l)	02/03/17	K		
57.					Sold (part)	02/28/17	K	A	
58.					Sold (part)	07/14/17	K	D	
59. - JP Morgan Chase & Co	A	Dividend			Sold	05/05/17	J		
60. - Nvidia Corp	A	Dividend	J	T	Sold (part)	01/06/17	J	D	
61.					Sold (part)	01/23/17	J	B	
62.					Buy (add'l)	01/31/17	J		
63.					Sold (part)	03/27/17	J		
64.					Buy (add'l)	08/11/17	J		
65.					Buy (add'l)	09/18/17	J		
66.					Sold (part)	11/09/17	J	B	
67. - TJX Cos Inc (See Note 14)									
68. - Spdr Gold Tr Gold Shs		None			Sold (part)	01/05/17	J		

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69.					Sold (part)	02/03/17	J		
70.					Sold (part)	03/06/17	J		
71.					Sold (part)	04/07/17	J		
72.					Sold (part)	04/26/17	J		
73.					Sold (part)	05/04/17	J		
74.					Sold (part)	06/06/17	J		
75.					Sold (part)	07/10/17	J		
76.					Sold	08/04/17	J		
77. - Ishares Core S&P 500 ETF	A	Dividend			Sold	04/18/17	J	A	
78. - Fidelity Nasdaq Composite Index	A	Dividend			Buy (add'l)	03/09/17	J		
79.					Sold	04/18/17	J	A	
80. - Fidelity MSCI Finls Index ETF		None			Sold	01/24/17	K	C	
81. - AT&T	A	Dividend			Buy (add'l)	03/30/17	J		
82.					Sold	04/21/17	J	A	
83. - Amgen Inc		None			Sold	01/12/17	K	A	
84.					Buy	02/07/17	J		
85.					Sold	02/10/17	J		

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86. - Merck & Co Inc		None			Sold	02/10/17	J	A	
87. - Sector SPDR Tr Shs Ben Int Financial	A	Dividend	J	T	Buy	04/24/17	J		
88.					Buy (add'l)	07/31/17	J		
89.					Sold (part)	08/29/17	J		
90. - United States Steel Corp		None			Buy	04/26/17	J		
91.					Sold	05/02/17	J	A	
92. - Wal-Mart Stores Inc	A	Dividend	J	T	Buy	04/26/17	J		
93.					Buy (add'l)	05/02/17	J		
94.					Sold (part)	08/21/17	J	A	
95. - Vanguard Index Fds Vanguard Small Cap Value		None			Buy	01/05/17	J		
96.					Sold	03/09/17	J	A	
97. - UTStarcom Holdings COM		None			Buy	03/31/17	J		
98.					Sold	04/18/17	J		
99. - Snap Inc		None			Buy	03/06/17	J		
100.					Sold	03/28/17	J		
101. - Sprouts Fmrk Mkt Inc		None			Buy	11/02/17	J		
102.					Sold	11/14/17	J	A	

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103. - Microsoft Corp	A	Dividend			Buy	07/19/17	J		
104.					Sold	08/17/17	J		
105. - Monsanto Co		None			Buy	01/18/17	J		
106.					Sold	01/20/17	J		
107. - MEI Pharma Inc		None			Buy	05/30/17	J		
108.					Buy (add'l)	06/01/17	J		
109.					Sold	08/16/17	J	A	
110. - Meet Group Inc		None			Buy	03/30/17	J		
111.					Sold	05/15/17	J		
112. - LAM Research Corp	A	Dividend			Buy	04/24/17	J		
113.					Buy (add'l)	05/24/17	J		
114.					Sold	08/09/17	J	A	
115. - Gilead Sciences Inc	A	Dividend			Buy	08/29/17	K		
116.					Sold	10/31/17	K		
117. - Flex Ltd Com		None			Buy	01/06/17	J		
118.					Sold	08/04/17	J	A	
119. - First Data Corp		None			Buy	09/12/17	J		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Buy (add'l)	09/14/17	J		
121.					Sold	12/21/17	J		
122. - Facebook Inc		None			Buy	01/18/17	J		
123.					Buy (add'l)	01/20/17	J		
124.					Sold (part)	08/21/17	J	B	
125.					Sold	09/18/17	J	B	
126. - Wisdom Tree Germany Hedged	A	Dividend			Buy	02/10/17	J		
127.					Buy (add'l)	05/05/17	J		
128.					Sold	08/02/17	J	A	
129. - Ishares Inc Core MSCI Emerging Mkts	A	Dividend	J	T	Buy	08/09/17	J		
130. - Boeing Co	A	Dividend	J	T	Buy	08/15/17	J		
131. - Intel Corp	A	Dividend	K	T	Buy	11/07/17	J		
132.					Buy (add'l)	12/01/17	J		
133. - Petmed Express Inc (See Note 15)	A	Dividend	J	T	Buy (add'l)	12/22/17	J		
134. - UnitedHealth Group	A	Dividend	J	T	Buy	07/24/17	J		
135. - Fidelity Core Cash Management Account (Note 16)	A	Interest	K	T					
136. IRA #2 (H)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. - FDIC Insured Deposit at US Bank (See Note 17)	A	Interest							
138. - Alphabet Inc		None	K	T	Buy (add'l)	03/30/17	K		
139.					Sold (part)	08/04/17	K	C	
140. - Fidelity Small Cap Enhanced Index Fund					Sold	04/12/17	J	A	
141. - PowerShares Exchange Traded Fd Tr II					Sold (part)	01/11/17	K	C	
142.					Sold	01/27/17	K	C	
143. - Johnson & Johnson	A	Dividend	K	T	Sold (part)	08/04/17	J	B	
144. - Fidelity Event Driven Opportunities Fund					Buy	01/09/17	K		
145.					Sold	05/05/17	K	A	
146. - Fidelity Nasdaq Composite Index Fund	B	Dividend	K	T	Buy	01/09/17	K		
147.					Sold (part)	04/12/17	K	A	
148. - Fidelity Small Cap Enhanced Index Fund					Sold	04/12/17	J	A	
149. - Fidelity Europe Fund	A	Dividend	K	T	Buy	04/26/17	K		
150.					Buy (add'l)	05/05/17	K		
151.					Sold (part)	06/22/17	K	B	
152. - Apple Inc (See Note 18)	A	Dividend	K	T	Buy (add'l)	04/28/17	K		
153.					Sold (part)	08/04/17	J	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Ebel, David M.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. - United Technologies Corp	A	Dividend	K	T	Buy	09/14/17	K		
155. - Applied Materials Inc		None	K	T	Buy	12/20/17	K		
156. - Medtronic PLC		None	K	T	Buy	12/20/17	K		
157. IRA #3 (H)									
158. - Dodge & Cox Stock Fd. (See Note 19)	B	Dividend	K	T	Sold (part)	08/21/17	J	B	
159. - Dodge & Cox Balanced Fd. (See Note 20)	C	Dividend	K	T	Sold (part)	04/25/17	L	D	
160.					Buy (add'l)	08/21/17	K		
161.					Sold (part)	10/04/17	K	B	
162.					Sold (part)	12/11/17	K	C	
163. - Dodge & Cox Income Fd. (See Note 21)	A	Dividend	J	T	Sold (part)	04/25/17	K	B	
164.					Buy (add'l)	08/21/17	K		
165.					Sold (part)	10/04/17	K	C	
166. - Dodge & Cox International Stock Fd (See Note 22)	B	Dividend	M	T	Buy (add'l)	04/25/17	L		
167.					Buy (add'l)	10/04/17	K		
168.					Buy (add'l)	12/11/17	J		
169. - Dodge & Cox Global Stock Fd (See Note 23)		None			Buy (add'l)	04/25/17	K		
170.					Sold	08/21/17	K	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. IRA #4 (H)									
172. - Proshares Large Cap Core Plus ETF	A	Dividend	K	T	Buy	05/24/17	K		
173. - MFS Intl Diversification I Fund	B	Dividend	K	T	Buy	05/24/17	K		
174. - AIG Focused Div Strat A Fund	A	Dividend	K	T	Buy	05/24/17	K		
175. - Tweedy Brown Global Value Fund	A	Dividend	K	T	Buy	05/24/17	K		
176. - iShares Russell 3000 ETF	B	Dividend	K	T	Buy	05/24/17	K		
177. - Cambiar SMID Investor Fund	A	Dividend	K	T	Buy	05/24/17	K		
178. - AMG Yackman I Fund	B	Dividend	J	T	Buy	05/24/17	J		
179. - Putnam Capital Spectrum Y Fund	A	Dividend	J	T	Buy	05/24/17	J		
180. - Highland Global Allocation I Fund	A	Dividend	J	T	Buy	05/24/17	J		
181. - Western Asset Macro Opport I Fund	A	Dividend	J	T	Buy	05/24/17	J		
182. - Templeton Global Total Return Adv Fund	A	Dividend	J	T	Buy	05/24/17	J		
183. - Legg Mason BW Alt Credit I Fund	A	Dividend	J	T	Buy	05/24/17	J		
184. - Invesco Balanced-Risk Alloc Y Fund	A	Dividend	J	T	Buy	05/24/17	J		
185. - Loomis Sayles Strategic Inc Y Fund	A	Dividend	J	T	Buy	05/24/17	J		
186. - EV MultiSector Inc I Fund	A	Dividend	J	T	Buy	05/24/17	J		
187. - Oakmark International I Fund	A	Dividend	J	T	Buy	05/24/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. - Oppenheimer Developing Markets Y Fund	A	Dividend	J	T	Buy	05/24/17	J		
189. - Dbx ETF Tr Xtrack Rusl 1000	A	Dividend	J	T	Buy	05/24/17	J		
190. - Pimco Enhanced Shrt Mtrt Exc ETF	A	Dividend	J	T	Buy	05/24/17	J		
191. - FT North American Energy Infra	A	Dividend	J	T	Buy	05/24/17	J		
192. - Lord Abbett Flt Rt F Fund	A	Dividend	J	T	Buy	05/24/17	J		
193.					Buy (add'l)	05/25/17	J		
194. - WisdomTree TR EM Mkts Qly Div ETF	A	Dividend	J	T	Buy	05/24/17	J		
195. - Goldman Sachs Strategic Inc I Fund	A	Dividend	J	T	Buy	05/24/17	J		
196. - Morgan Stanley Private Bank Money Market Account	A	Interest	J	T	Buy	05/30/17	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

- 1) Line 1 - Fidelity Select Energy Service Fd. was sold in a previous year and not reported in error. The Value Code was J and the Gain Code was B. This was an inadvertent error and did not raise any conflict of interest issues.
- 2) Line 4 - Janus Henderson Growth and Income Fund D Shares was formerly know as Janus Growth & Income Fd. D
- 3) Line 8 - Fidelity U.S. Gov't Reserves was sold in a previous year and not reported in error. The Value Code was J and the Gain Code was B. This was an inadvertent error and did not raise any conflict of interest issues.
- 4) Line 9 - Fidelity Emerging Markets Fd was sold in a previous year and not reported in error. The Value Code was J and the Gain Code was B. This was an inadvertent error and did not raise any conflict of interest issues.
- 5) Line 11 - Fidelity Diversified Int'l Fd was sold in a previous year and not reported in error. The Value Code was J and the Gain Code was B. This was an inadvertent error and did not raise any conflict of interest issues.
- 6) Line 16 - Fidelity Int'l Small Cap Fd. was sold in a previous year and not reported in error. The Value Code was J and the Gain Code was B. This was an inadvertent error and did not raise any conflict of interest issues.
- 7) Line 17 - Fidelity Contra Fd was sold in a previous year and not reported in error. The Value Code was J and the Gain Code was B. This was an inadvertent error and did not raise any conflict of interest issues.
- 8) Line 22 - Fidelity Environmental and Alternative Energy was sold in a previous year and not reported in error. The Value Code was J and the Gain Code was B. This was an inadvertent error and did not raise any conflict of interest issues.
- 9) Line 24 - Fidelity Select Natural Resources was sold in a previous year and not reported in error. The Value Code was J and the Gain Code was B. This was an inadvertent error and did not raise any conflict of interest issues.
- 10) Line 26 - CVS Health Corp was sold 1/25/16 at a loss and this sale was not reported on my 2016 report. This was an inadvertent error and did not raise any conflict of interest issues.
- 11) Line 27 - Medallion Resources was sold 4/4/14 at a loss and this sale was not reported on my 2014 report. The value of this security was below the reporting threshold. This was an inadvertent error and did not raise any conflict of interest issues.
- 12) Line 31 - Janus Henderson Money Market Fund D Shares was reported on line 8 of my 2016 report and was formerly known as Janus Money Market Fd. D
- 13) Line 39 - Janus Henderson Global Technology Fund D shares was formerly known as Janus Global Technology Fund
- 14) Line 67 - My 2016 reported a Part Sale on 11/17/16 for TJX Cos Inc but this should have been reported as a Sale. This was an inadvertent error and did not raise any conflict of interest issues.
- 15) Line 133 - Petmed Express Inc was reported on line 71 of my 2016 report
- 16) Line 135 - Fidelity Core Cash Management Account was reported on line 9 of my 2016 report and was formerly known as Fidelity Cash Reserves
- 17) Line 137 - FDIC Insured Deposit at US Bank was reported on line 56 of my 2016 report and was formerly known as US Bank
- 18) Line 152 - Apple Inc was reported on line 48 of my 2016 report
- 19) Line 158 - Dodge & Cox Stock Fd. was reported on line 18 of my 2016 report
- 20) Line 159 - Dodge & Cox Balanced Fd. was reported on line 22 of my 2016 report
- 21) Line 163 - Dodge & Cox Income Fd. was reported on line 23 of my 2016 report
- 22) Line 166 - Dodge & Cox International Stock Fd was reported on line 34 of my 2016 report
- 23) Line 169 - Dodge & Cox Global Stock Fd was reported on line 43 of my 2016 report
- 24) This report was prepared on my behalf by my accountant.

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Name of Person Reporting

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **David M. Ebel**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544