

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Stout, Alan C.	2. Court or Organization U.S. Bankruptcy Court Western District of Kentucky	3. Date of Report 08/05/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 601 W. Broadway Suite 533 Louisville, KY 40202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	President	Stout Development Inc.
2.	President/Director	Fohs Hall, Inc.
3.	Board of Trustees	Murray State University Foundation
4.	Board Member	Kentucky Conference of United Methodist Church; Board of Pensions
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	1986-2006	Kentucky Employee Retirement Systems (KERS), No control
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2012	Stout Law Office	\$30,000.00
2. 2012	Chapter 7 Trustee	\$2,500.00
3. 2012	Kentucky Retirement Systems (Pension)	\$52,508.00
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. MIDWEST REGIONAL BANKRUPTCY SEMINAR	8/16/12-8/17/12	CINCINNATI OH	SPOKE AT SEMINAR	Food, lodging and mileage reimbursement
2. NCBJ	10/23/12-10/28/12	SAN DIEGO CA	ATTENDED AND SPOKE AT SEMINAR	Lodging reimbursement
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Farmers Bank & Trust (12/31/12)	Line of Credit secured by Commercial Real Estate Mortgage	J
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Ameritrade Investment Acct. 2012	A	Dividend			Closed	08/13/12	J	A	Market
2. Edward D. Jones Investment Acct. 2012	D	Dividend	M	T					
3. a. American Balanced Fund CL A "(X)"	D	Dividend	M	T					
4. b. Ameri High Income Trust CL A "(X)"	D	Dividend	M	T					
5. c. American Mutual Fund CL A "(X)"	D	Dividend	M	T					
6. d. Capital Income Builder Fund A "(X)"	D	Dividend	M	T					
7. e. Income Fund of America A "(X)"	D	Dividend	M	T					
8. f. Realty Income Corp. - Stock	D	Dividend	M	T					
9. g. American Intl GRW - Mutual Fund	D	Dividend	M	T					
10. h. Capital World GRW - Mutual Fund	D	Dividend	M	T					
11. i. Nuveen Ky Muni Bond - Mutual Fund	D	Dividend	M	T					
12. j. Washington Mutual Invs - Mutual Fund	D	Dividend	M	T					
13. Hilliard Lyons Investment Acct. 2012	A	Dividend			Distributed	11/01/12	K	A	Market
14. Kentucky Deferred Compensation 2012	D	Dividend			Closed	10/31/12	M	A	Rollover
15. Edward Jones (SARSEP) Stout & Farmer 2012	D	Dividend	L	T					
16. a. Capital World GRW & Inc - Mutual Fund	D	Dividend	L	T					
17. b. Income Fund of America - Mutual Fund	D	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. Edward Jones (SARSEP) Stout Law Office 2012	D	Dividend	N	T					
19. a. Bond Fund of America - Mutual Fund	D	Dividend	N	T					
20. b. Capital Income Builder Fund - Mutual Fund	D	Dividend	N	T					
21. c. Capital World GRW & Inc Fund - Mutual Fund	D	Dividend	N	T					
22. d. Growth Fund of America - Mutual Fund	D	Dividend	N	T					
23. e. Investment Co of America - Mutual Fund	D	Dividend	N	T					
24. Edward Jones (IRA) 2012	D	Dividend	J	T					
25. a. Bond Fund of America - Mutual Fund	D	Dividend	J	T					
26. b. Growth Fund of America - Mutual Fund	D	Dividend	J	T					
27. c. Investment Company of America Fund - Mutual Fund	D	Dividend	J	T					
28. Ameriprise Investment Acct. & Insurance Policy 2012	A	Dividend	N	T					
29. a. RiverSource Universal Life Insurance	A	Dividend	N	T					
30. b. Invesco Funds - Mutual Fund	A	Dividend	N	T					
31. c. Arbitrage Funds - Mutual Fund	A	Dividend	N	T					
32. d. Blackrock Funds - Mutual Fund	A	Dividend	N	T					
33. e. Calamos Family of Funds - Mutual Fund	A	Dividend	N	T					
34. f. Columbia Funds Group - Mutual Fund (COL DIVIDEND OPP)	A	Dividend	N	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. g. Columbia Funds Group - Mutual Fund (COL DIVERSIFIED BOND)	A	Dividend	N	T					
36. h. Columbia Funds Group - Mutual Fund (COL S/ CAP)	A	Dividend	N	T					
37. i. Columbia Funds Group - Mutual Fund (COL SEL L/CAP)	A	Dividend	N	T					
38. j. DWS Funds - Mutual Fund	A	Dividend	N	T					
39. k. Eaton Vance Group - Mutual Fund (EATON T/M VALUE)	A	Dividend	N	T					
40. l. Eaton Vance Group - Mutual Fund (EATON GLB MACRO)	A	Dividend	N	T					
41. m. Fidelity Advisor Funds - Mutual Fund	A	Dividend	N	T					
42. n. Goldman Sachs Asset Mgt. - Mutual Fund	A	Dividend	N	T					
43. o. Janus - Mutual Fund	A	Dividend	N	T					
44. p. John Hancock Funds - Mutual Fund	A	Dividend	N	T					
45. q. Legg Mason Funds - Mutual Fund	A	Dividend	N	T					
46. r. MFS Family of Funds - Mutual Fund	A	Dividend	N	T					
47. s. Oppenheimer Funds - Mutual Funds	A	Dividend	N	T					
48. t. Prudential Jennison Mutual Fund "(X)"	A	Dividend	N	T					
49. u. Wasatch Funds - Mutual Fund	A	Dividend	N	T					
50. v. Wells Fargo Advantage - Mutual Fund (WELLS EMG MKTS)	A	Dividend	N	T					
51. w. Wells Fargo Advantage - Mutual Fund (WELLS INTL BOND)	A	Dividend	N	T					

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52. x. Wells Fargo Advantage - Mutual Fund (W. Municip. Bond)	A	Dividend	N	T					
53. Independence Bank Stock 2012	A	Dividend			Sold	06/01/12	K	A	Todd Farmer
54. Fifth Third Bank Stock 2012	A	Dividend			Sold	07/02/12	J	A	Market
55. Louisville Federal Credit Union - Savings Acct. 2011	A	Interest	J	T					
56. United Community Bank of Western KY Stock 2011	A	Dividend			Sold	04/17/12	K	C	Brucie Moore
57. BancKentucky, Inc. Stock 2012	B	Dividend			Sold	04/25/12	M		
58. Marion Development Group Stock 2012	C	Interest	M	U					
59. Real Estate 1/2 Int. Crittenden Co., KY 2012		None	K	W					
60. Real Estate 1/3 Int. - Acres Graves Co. Mayfield, KY 2011		None	K	W					
61. Kentucky Employees Credit Union 2012	A	Interest			Distributed	06/01/12	M	A	
62. Farmers Bancorp (Stock) 2012	E	Dividend	N	T					
63. Farmers Bank & Trust Co. (CD & Savings) 2012	A	Interest	K	T					
64. Green Turtle Bay Condo Grand Rivers, Livingston Co. KY 2012	D	Rent	M	W					
65. Real Estate, Crittenden Co., KY 2012	A	Rent	M	W					
66. CICA 2012- Annuity	A	Dividend			Distributed	06/01/12	J	A	Market
67. Stout Law Office 2012	E	Dividend			Sold	10/03/12	M		Robert B. Frazer
68. Marriott Vacation Time Share 2012		None	J	W					

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69. Stout Development Inc. Stock 2012	A	Royalty	L	W					
70. Marion Baseball Club LLC 2012		None	J	W					
71. Real Estate - Benton, Marshall Co., KY 2011		None	J	W					
72. Real Estate, Crittenden Co., KY 2012		None	L	W					
73. Marion Development Group Stock		None	M	W					
74. Marion Development Group (Note) 2012	C	Interest	K	T					
75. Edward Jones Simple IRA 2012		None	L	T					
76. a. AMCAP Fund Class A - Mutual Fund		None	L	T					
77. b. Fundamental Investors Fund - Mutual Fund		None	L	T					
78. Real Estate, Jefferson Co., KY		None	N	T	Buy	03/08/12	N		Chris Carter

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

I liquidated the following stocks in 2012 pursuant to the Certificate of Divestiture:

BancKentucky, Inc.

Independence Bank

United Community Bank of Western KY

Fifth Third Bank

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Alan C. Stout

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544