

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Kaufman, Victoria S.	2. Court or Organization California - Bankruptcy Court	3. Date of Report 08/13/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address United States Bankruptcy Court San Fernando Valley Division 21041 Burbank Boulevard, Suite 354 Woodland Hills, CA 91367		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Citibank accounts	A	Interest	M	T					
2. JPMorgan Chase accounts		None	K	T					
3. Trust # 1 (Y)									
4. -- No reportable assets									
5. Kaufman Investments LLC (rental property in Shorewood, IL)	B	Rent	L	U					
6. Brokerage Acct. # 1									
7. -- Money Market Account (Mesirow Financial)	A	Dividend			Redeemed	06/02/17	L		
8. -- Kandi Technologies		None			Redeemed	06/02/17	J		
9. -- Net 1 UEPS Tech		None			Redeemed	06/02/17	K		
10. -- North Atlantic Drilling		None			Sold	04/17/17	J		
11. -- Stealthgas, Inc.		None			Redeemed	06/02/17	K		
12. Brokerage Acct. # 2									
13. -- Money Market Account (Goldman Sachs)	A	Dividend	N	T					
14. -- Freds Inc.		None			Buy	12/12/17	J		
15.					Buy	12/13/17	J		
16.					Sold	12/20/17	J	A	
17. -- Money Market Account (Goldman Sachs)	A	Dividend	N	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -- Kandi Technologies Corp.		None	K	T	Sold (part)	09/15/17	J		
19.					Sold (part)	10/06/17	J	A	
20.					Sold (part)	10/10/17	J		
21.					Sold (part)	10/11/17	J		
22.					Sold (part)	10/18/17	J		
23.					Sold (part)	10/20/17	J		
24.					Sold (part)	11/09/17	J	A	
25.					Sold (part)	12/06/17	J	A	
26.					Sold (part)	12/12/17	J	A	
27. -- Net 1 UEPS Technologies, Inc. CMN		None	M	T	Sold (part)	02/06/17	J	D	
28.					Sold (part)	02/28/17	J	A	
29.					Buy	04/07/17	J		
30.					Buy	05/22/17	J		
31.					Buy	07/28/17	J		
32.					Buy	08/07/17	J		
33.					Sold (part)	11/28/17	J	C	
34. -- North Atlantic Drilling		None							

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$5,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. -- Pier 1	A	Dividend			Buy	09/26/17	J		
36.					Buy	09/27/17	J		
37.					Buy	09/29/17	J		
38.					Sold (part)	12/14/17	J	B	
39.					Sold	12/22/17	J	A	
40. -- Rice Bran Technol.		None			Sold (part)	12/05/17	J		
41.					Sold (part)	12/06/17	J		
42.					Sold	12/13/17	J	A	
43. -- Stealthgas, Inc.		None	L	T	Sold (part)	03/08/17	J		
44. -- Ultra Petroleum Corp.		None	K	T	Buy	03/10/17	J		
45.					Buy	03/16/17	J		
46.					Buy	04/26/17	J		
47.					Buy	06/28/17	J		
48. -- Unilife Corp.		None			Sold	08/28/17	J		
49. Scholarshare 529 Acct. # 1									
50. -- Passive Age Based Portfolio		None			Redeemed	01/03/17	K		
51. -- Passive Conservative Portfolio		None			Redeemed	01/04/17	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

52. IRA # 2									
53. -- Sprott Physical Gold ETV		None	J	T					
54. IRA # 3									
55. -- Ishares Gold Trust		None	K	T					
56. -- Ishares Silver Trust		None	J	T					
57. Annuity # 1									
58. -- Fidelity VIP Money Market		None	K	T					
59. Tennis Channel 401(K) (Y)									
60. -- AMCAP Fund (Y)									
61. -- American Funds 2035 Target Date (Y)									
62. -- Capital Income Builder (Y)									
63. -- Capital World Growth & Income (Y)									
64. -- New World Fund (Y)									
65. IRA # 4 (Y)									
66. -- Gvt. MoneyMarket (Schwab) (Y)									
67. -- Ishares Silver Trust (Y)									
68. -- Resource Real Estate (Y)									

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69. -- Sierra Strategic Income (Y)									
70. IRA # 5 (Y)									
71. -- Ishares Gold Trust (Y)									
72. IRA # 6 (Y)									
73. -- Fidelity Freedom 2030 (Y)									
74. -- Ishares TIPS Bond ETF (Y)									
75. -- Spartan 500 Index FD (Y)									
76. -- Wells Fargo Insured Deposit (Y)									
77. IRA #7 (Y)									
78. -- Community National Savings Account (Y)									
79. -- KBS Real Estate Inv. Trust II, Inc. (Y)									
80. -- Phillips Edison ARC Grocery (Y)									
81. Capital One account # 1	B	Interest	M	T					
82. Capital One account # 2 (Y)									
83. Capital One account # 3	A	Interest			Redeemed	12/20/17	K		
84. Annuity # 2 - John Hancock Asset Management Money Market		None	L	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Regarding the stock and cash in Brokerage Acct. #1, on June 2, 2017, all of the assets in that account were transferred to Brokerage Acct. #2. VII.7, 8, 9, and 11.

Regarding North Atlantic Drilling in Brokerage Acct. #2, on December 15, 2016, it was entirely sold.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Victoria S. Kaufman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544