

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) O'Neill, Lawrence J.	2. Court or Organization Eastern District of California	3. Date of Report 02/18/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Judge (active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address United States Courthouse 2500 Tulare Street Fresno, California 93721		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Trust #1
2.	Board of Director	Federal Bar Association
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	1999	State of California Judges Retirement Plan (pension commences at age 63)
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 16

Name of Person Reporting

O'Neill, Lawrence J.

Date of Report

02/18/2014

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 16

Name of Person Reporting

O'Neill, Lawrence J.

Date of Report

02/18/2014

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 16

Name of Person Reporting

O'Neill, Lawrence J.

Date of Report

02/18/2014

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Prudential Fin Inc (Schwab acct)	C	Interest	L	T					
2. North Track Geneva Growth Fund (Schwab) IRA	A	Interest	J	T					
3. RS Global Natural Resources Fund (Schwab) IRA	B	Interest	K	T					
4. Kensington Strategic Realty Fund (Schwab) IRA	A	Distribution	J	T					
5. Dodge and Cox Stock Fund (Schwab)	C	Dividend	L	T					
6. American Fund New World (Schwab)(IRA)	A	Interest	J	T					
7. Schwab US Treasury Money fund (IRA)	A	Interest	K	T					
8. Wells Fargo Bank Trust #1	A	Interest	J	T					
9. RS Global Natural (Schwab) IRA	A	Interest	J	T					
10. First Eagle Overseas (Schwab)	A	Interest	J	T					
11. American Funds New World (Schwab) IRA	A	Dividend	J	T					
12. Kensington Select Income (Schwab) IRA	A	Dividend	K	T					
13. Clovis Community Bank	A	Interest	J	T					
14. Argonaut Corp, Livermore, California 1985 \$84,200 Trust #1	D	Distribution	M	T					
15. Wells Fargo Bank, acct #2	A	Interest	J	T					
16. Desert Funding, Inc. Mortgage Note (Trust #1)	D	Interest	M	T					
17. Macwest Riverside Housing Inv, LLC	A	Distribution	M	U					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 16

Name of Person Reporting

O'Neill, Lawrence J.

Date of Report

02/18/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. California State University Revenue Muni Bond	A	Interest	K	T					
19. Oregon Go Elderly/Disabled muni bond	A	Interest	J	T					
20. Puerto Rico Electric Power Muni bond	A	Interest	K	T					
21. San Francisco Intl Airport muni Bond	A	Interest	J	T					
22. St. Louis MO Airport muni bond	A	Interest	J	T					
23. Bank of America Sub Not corporate bonds	B	Interest	K	T					
24. General Electric Cap Corporate bond	A	Interest			Matured	01/01/13	J		See Note in VIII
25. GTE North Inc bond	A	Interest	J	T					
26. Key Bank corporate bond	B	Interest	K	T					
27. Laclede Gas Co 1st mortgage	B	Interest	J	T					
28. Pacific Bell corp bond	B	Interest	K	T					
29. Providence Gas Co bond	A	Interest	J	T					
30. Prudential Finl Inc corporate bond	B	Interest	K	T					
31. Southwestern Bell Te. Co corporate bond	B	Interest	K	T					
32. American Funds, Fund Inv F-1	A	Dividend	K	T					
33. Capital World Growth and Income mutual fund	A	Interest	J	T					
34. Pureto Rico Convention Center bonds	B	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 16

Name of Person Reporting

O'Neill, Lawrence J.

Date of Report

02/18/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Contra Costa Community College bonds	A	Interest	J	T					
36. Encinitas Ca Public Library Constructiion Bonds	A	Interest	J	T					
37. Schwab Govt Money Fund: IRA--Schwabb	A	Interest	K	T					
38. Morgan Stanley CD (Schwab)	B	Interest	K	T					
39. Schwab Govt Money Fund: Schwabb	D	Interest	M	T					
40. TCW Total Return Bond (schwab) IRA	C	Interest	K	T					
41. JP Morgan Highbridge Stat Mkt Neutral Select CL	B	Dividend	K	T					
42. Loomis Sayles Bond CI (Schwab) (IRA)	B	Dividend	K	T					
43. Tweedy Brown Global Fund (schwab--IRA)	B	Dividend	K	T					
44. Schwab Ca Muni Money Fd Sweep shares	A	Interest	J	T					
45. Pimco All Asset Fund, Instit class Schwab	B	Interest	K	T					
46. SCH Ca Muni Money Fd shares (Schwab)	D	Interest	M	T					
47. San Francisco Calif City/County Public Utility bonds	A	Dividend	K	T					
48. Dublin, Calif School Securities	A	Dividend	K	T					
49. First Eagle Overseas IRA (Schwab)	A	Dividend	K	T					
50. T Rowe Price new ERA FD	A	Dividend	J	T					
51. State of California Govt Bond	B	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 16

Name of Person Reporting

O'Neill, Lawrence J.

Date of Report

02/18/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. JP Morgan Highbridge Stat Mkt	A	Dividend	K	T					
53. Pimco Emrg Local Bd	A	Interest	K	T					
54. California Health stock	B	Dividend	K	T					
55. T Rowe Price New Income Fund	C	Dividend	K	T					
56. Permanent Portfolio	A	Dividend	K	T					
57. Berwyn Income Fund	A	Dividend	K	T					
58. American Fund New World	A	Dividend	J	T					
59. Vanguard Ca Interim Term Tax Exempt FD (Schwab)	B	Interest	K	T					
60. First Eagle Gold Fund	C	Interest			Sold	03/18/13	M	E	
61. American Fund New World	B	Interest	K	T					
62. Osterweis Strategic Income Fund	B	Interest	K	T					
63. Highmark Generva Mid Cap Growth	C	Interest	M	T					
64. Merger Fund Reinv Divs	B	Dividend	L	T					
65. RS Global Natural	B	Interest	K	T					
66. Royce PA Mutual Fund	B	Interest	K	T					
67. Dodge and Cox Stock Fund	C	Dividend	M	T					
68. Pimco Emrg Local Bond Fund	C	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 16

Name of Person Reporting

O'Neill, Lawrence J.

Date of Report

02/18/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

69. Saratoga California UN	C	Interest	L	T					
70. Pimco Emrg Local Bd Fd Instl Cl	A	Interest	K	T					
71. T Rowe Price New Income Fund	A	Interest	K	T					
72. Market Vectors ETF Trustgold Miners	A	Interest	J	T					
73. Berwyn Income Fund	A	Int./Div.	K	T					
74. T Rowe Price Short Term Bond	B	Interest	L	T					
75. Primecap Odyssey Growth	C	Int./Div.	M	T					
76. Tweedy Browne Global	C	Interest	L	T					
77. FPA New Income Cl A	C	Interest	L	T					
78. American Fund Cap World	C	Interest	L	T					
79. Vanguard Short Term Tax Exempt FD Investor SHR X	C	Interest	M	T					
80. BMW North America Bk	C	Interest	M	T					
81. Longleaf Partners Small Cap Fund	A	Interest	K	T					
82. Pimco All Asset Instl Cl	B	Interest	L	T					
83. Market Vectors ETF Trustgold Miners Fund X	A	Interest	K	T					
84. American Fund Fundamental Inv Fd	C	Interest	M	T					
85. American Fund New World Fd CL F1	B	Int./Div.	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 16

Name of Person Reporting

O'Neill, Lawrence J.

Date of Report

02/18/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

86. Berwyn Income Fund Reinv Divs/Reinv	B	Dividend	L	T					
87. Loomis Sayles Bond Fund CL 1 Reinv/cap	B	Interest	L	T					
88. Pimco Total Return Fund Instrl Cl	B	Interest	L	T					
89. Vanguard Inflation (but see #94 below)	B	Interest	L	T					
90. Osterweis Strategic Income Fund	B	Int./Div.	L	T					
91. Doubleline total Return Bond fund	D	Int./Div.	M	T					
92. FPA Capital Fund	B	Int./Div.	L	T					
93. Vanguard Inflation (but see #99 below)	B	Int./Div.	M	T					
94. Vanguard Interim Term Tax Exmpt	B	Int./Div.	M	T					
95. Bank of China NY	D	Int./Div.	M	T					
96. Osterweis Strategic Income Fund	B	Int./Div.	L	T					
97. Pimco Total Return Fund Instrl	B	Int./Div.	M	T					
98. Primecap Idyssey Growth	B	Int./Div.	K	T					
99. Tweedy Browne Global Value Fund	A	Int./Div.	L	T					
100. American Fund New World	B	Int./Div.	L	T					
101. FPA New Income CL	B	Int./Div.	M	T					
102. Loomis Sayles Bond Fund CL	A	Int./Div.	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 16

Name of Person Reporting

O'Neill, Lawrence J.

Date of Report

02/18/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. Powershs DB Commnty	A	Int./Div.			Sold	10/08/13	K		
104. iShares Gold Trust	B	Int./Div.	K	T					
105. Exchange Trad FD	A	Int./Div.	J	T					
106. iShares MSCI Germany index fund	A	Int./Div.	J	T					
107. Vanguard MSCI	A	Int./Div.	J	T					
108. Vanguard Bond Index	C	Int./Div.			Sold	06/28/13	J		
109. Vanguard Total Stock Mkt	A	Int./Div.	J	T					
110. Vanguard REIT	B	Int./Div.			Sold	06/25/13	J		
111. iShares MSCI HK index Hong Kong index X	A	Int./Div.			Sold	06/07/13	K		
112. Powershares S&P ETF	B	Int./Div.			Sold	10/08/13	K		
113. iShares TR Barclays Tipsbonds	B	Int./Div.	K	T					
114. iShares TR Barclays, Treasury Bond Fund	A	Int./Div.	J	T					
115. iShares Nareit Global	B	Int./Div.			Sold	06/17/13	K		
116. SPDR Barclays Short Term Corp bond	B	Int./Div.	K	T					
117. Wisdomtree Asia Debt Fund	A	Int./Div.			Sold	07/03/13	J		
118. Vanguard MSCI EAFE ETF	A	Int./Div.	J	T					
119. iShares TR Russell 2000 index fund	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 16

Name of Person Reporting

O'Neill, Lawrence J.

Date of Report

02/18/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

120. iShares ETF AAA rate corp bond	A	Int./Div.	J	T					
121. Powershs QQQ Trust	B	Int./Div.			Sold	10/08/13	K		
122. Blackrock Global	D	Int./Div.	M	T					
123. American FD Cap World F1	B	Int./Div.	K	T					
124. American FD Fund Inv Fd CL F-1	C	Int./Div.	M	T					
125. American Fund New World Fd Cl F1	B	Dividend	K	T					
126. Dodge and Cox Stock Fund	B	Dividend	L	T					
127. First Eagle Gold Fund CL A	A	Int./Div.	K	T					
128. First Eagle Overseas, Fund Cl A	B	Int./Div.	K	T					
129. Highmark Geneva Mid Cap Gwth A	C	Int./Div.	L	T					
130. Longleaf Partners Small Cap fund	A	Int./Div.	K	T					
131. Merger Fund Reinv Divs	B	Dividend	K	T					
132. Pimco Emrg Local Bd Fd	B	Int./Div.	K	T					
133. Primecap Odyssey Growth Reinv Divs	D	Int./Div.	M	T					
134. RS Global Natural	A	Int./Div.	K	T					
135. T Rowe Price New Era Fd	B	Int./Div.	L	T					
136. Vanguard Div Appreciation	B	Int./Div.	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 16

Name of Person Reporting

O'Neill, Lawrence J.

Date of Report

02/18/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Tweedy Browne Global	B	Int./Div.	K	T					
138. Primecap Odyssey Growth	B	Interest	K	T					
139. RS Global Natural	A	Int./Div.	K	T					
140. Blackrock Global	C	Interest	K	T					
141. Loomis Sayles Bond Fund CL	B	Interest	K	T					
142. Pimco All Asset Instl CL	B	Int./Div.	K	T					
143. American FD Cap World	A	Int./Div.	J	T					
144. American FD Fundamental	C	Int./Div.	L	T					
145. American Fund New World	B	Int./Div.	K	T					
146. Forward Select Income	C	Int./Div.	K	T					
147. Berwyn Income Fund	C	Int./Div.	K	T					
148. Egshares ETF	A	Int./Div.	J	T					
149. First Eagle Gold Fund	C	Int./Div.	L	T					
150. Santa Clara, Calif Uni School bonds	B	Int./Div.	L	T					
151. Osterweis Strategic Income Fund	C	Int./Div.	L	T					
152. T.Rowe Price New Income Fund	D	Int./Div.	M	T					
153. Vanguard Primecap Core Fund	B	Int./Div.	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 16

Name of Person Reporting

O'Neill, Lawrence J.

Date of Report

02/18/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Pimco ETF X	A	Interest	J	T	Buy	02/11/13	J		
155. Ally Bank Common Stock X	B	Int./Div.	M	T	Buy	02/12/13	M		
156. Goldman Sachs Bank Common Stock X	C	Int./Div.	M	T	Buy	02/12/13	M		
157. Fifth Third Bank Common Stock X	B	Int./Div.	M	T	Buy	02/13/13	M		
158. Primecap Odyssey Growth X	B	Int./Div.	K	T	Buy	01/14/13	K		
159. Royce Pa Mutual Fund X	A	Int./Div.	K	T	Buy	01/14/13	K		
160. T Rowe Price New ERA Fd X	A	Int./Div.	K	T	Buy	01/14/13	K		
161. Highmark Geneva Mid Cap X	B	Int./Div.	L	T	Buy	01/14/13	L		
162. American Fund Cap World X	B	Int./Div.	K	T	Buy	01/14/13	K		
163. Wisdomtree Jap Hegd Eqty X	A	Int./Div.	J	T	Buy	01/23/13	J		
164. Spdr Gold Trust X	A	Dividend	K	T	Buy	02/13/13	K		
165. Vanguard Div Appreciation X	C	Int./Div.	L	T	Buy	03/22/13	L		
166. Market Vectors ETF Trustgold Miners Fund X	C	Int./Div.	L	T	Buy	04/08/13	L		
167. GE Money Bank Common Stock X	B	Int./Div.	M	T	Buy	05/22/13	M		
168. MSCI UTD Kingdm United Kingdom Index Fund X	A	Int./Div.	K	T	Buy	06/12/13	K		
169. ishares TR Russell 2000 Index fund X	A	Int./Div.	J	T	Buy	06/17/13	J		
170. SPDR Barclarys ETF	A	Int./Div.	K	T	Buy	06/28/13	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 16

Name of Person Reporting

O'Neill, Lawrence J.

Date of Report

02/18/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

171. Wisdomtree Trust Brazilian Real Fund X	A	Int./Div.	K	T	Buy	10/15/13	K		
172.									
173.									
174.									
175.									
176.									
177.									
178.									
179.									
180.									
181.									
182.									
183.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 15 of 16

Name of Person Reporting

O'Neill, Lawrence J.

Date of Report

02/18/2014

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The Argonaut Investment at VII, line 17 was purchased more than 20 years ago (prior to taking the Bench) at an initial investment of \$50,000.

All of the assets in Part VII are in the Living Trust referred to in Part I, line 1.

In VII, line 24, there is an indication of an asset called "General Electric Cap Corporate Bond." In not recognizing it on my financial statements from my Financial Advisor, he was contacted. The asset has been out of the portfolio for at least 5 years, with no record of the date of maturation due to an absence of records more than five years old. I have placed the date of maturation at 01/01/13, only because the system doesn't allow for anything before that date.

FINANCIAL DISCLOSURE REPORT

Page 16 of 16

Name of Person Reporting

O'Neill, Lawrence J.

Date of Report

02/18/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Lawrence J. O'Neill**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544