

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Shannon, Brendan L.	2. Court or Organization U.S. Bankruptcy Court for the District of Delaware	3. Date of Report 12/11/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. Bankruptcy Judge, Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 824 Market Street Wilmington, DE 19801		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Member	Centreville Layton School's Board of Directors
2.	President	neighborhood civic association, Welshire Civic Association
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2013	St. Johns University; salary for teaching graduate classes at law school as adjunct professor	\$7,000.00
2. 2013	Widener University Law School; salary for teaching classes at law school as adjunct professor	\$18,750.00
3. 2013	Matthew Bender & Co., Inc.; pay for revising chapter of textbook	\$720.00
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2013	per diem pay for substitute teaching at Wilmington Friends School
2. 2013	salary from Delaware Family Voices
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. National Bankruptcy Conference	4/8/13 - 4/9/13	Fort Worth, TX	speaking at an educational seminar	transportation, food, lodging
2. St. John's University School of Law	4/19/13 - 4/20/13	New York, NY	teaching a course at University	transportation, food, lodging
3. American Bankruptcy Institute	8/8/13 - 8/10/13	Hershey, PA	teaching at an educational seminar	transportation, food, lodging
4. American Bankruptcy Institute	9/26/13 - 9/27/13	Washington, D.C.	teaching at an educational seminar	transportation, food, lodging

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5.	St. John's University School of Law	10/17/13 - 10/19/13	New York NY	teaching a courss at University	transportation, food, lodging
6.	National Bankruptcy Conference	11/114/13 - 11/15/13	Washington, D.C.	speaking at an educational seminar	transportation, food, lodging

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. M & T Bank	A	Interest	J	T					
2. Vanguard Prime Money Market Fund	D	Int./Div.	M	T					
3.					Buy (add'l)	1/11/13	K		
4.					Sold (part)	2/19/13	J		
5.					Sold (part)	2/27/13	J		
6.					Sold (part)	03/08/13	J		
7.					Sold (part)	04/10/13	J		
8.					Buy (add'l)	04/30/13	L		
9.					Sold (part)	05/30/13	J		
10.					Sold (part)	05/06/13	J		
11.					Sold (part)	07/31/13	J		
12.					Sold (part)	08/01/13	K		
13.					Sold (part)	9/18/13	J		
14.					Sold (part)	9/26/13	J		
15.					Buy (add'l)	10/03/13	J		
16.					Sold (part)	11/05/13	J		
17.					Sold (part)	12/11/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.					Sold (part)	12/19/13	J		
19. Vanguard Intermediate Term Tax Exempt Fund, Admiral Shares	D	Int./Div.	N	T					
20.					Buy (add'l)	01/31/13	J		
21.					Buy (add'l)	02/28/13	J		
22.					Buy (add'l)	03/28/13	J		
23.					Buy (add'l)	04/30/13	J		
24.					Buy (add'l)	05/31/13	J		
25.					Buy (add'l)	06/28/13	J		
26.					Buy (add'l)	07/31/13	J		
27.					Buy (add'l)	08/30/13	J		
28.					Buy (add'l)	09/30/13	J		
29.					Buy (add'l)	10/31/13	J		
30.					Buy (add'l)	11/29/13	J		
31.					Buy (add'l)	12/31/13	J		
32. Vanguard Health Care Fund	D	Int./Div.	M	T					
33.					Buy (add'l)	03/19/13	J		
34.					Buy (add'l)	12/17/13	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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35.									
36.									
37.									
38. Vanguard Total International Stock Index Fund, Admiral Shar	E	Dividend	M	T					
39.					Buy (add'l)	03/21/13	J		
40.					Buy (add'l)	06/21/13	J		
41.					Buy (add'l)	09/20/13	J		
42.					Buy (add'l)	12/19/13	J		
43. Vanguard Total Stock Market Index Fund, Admiral Shares	F	Dividend	O	T					
44.					Buy (add'l)	03/21/13	J		
45.					Sold (part)	04/30/13	L		
46.					Buy (add'l)	06/21/13	J		
47.					Buy (add'l)	09/20/13	J		
48.					Buy (add'l)	12/19/13	J		
49. Vanguard Prime Money Market Fund, IRA	A	Dividend	L	T					
50. Vanguard Diversified Equity Fund, IRA	C	Dividend	M	T					
51. Vanguard Total International Stock Index Fund, IRA	A	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$250,001 - \$500,000	
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52. Vanguard Total Bond Market Index Fund, IRA	A	Dividend	L	T					
53. Fidelity Contrafund	C	Dividend	L	T					
54. Fidelity Low Priced Stock Fund	D	Dividend	M	T					
55. Fidelity Low Priced Stock Fund, IRA	A	Dividend	K	T					
56. Fidelity 529 Education Account (1)(age based)	D	Dividend	L	T					
57. Fidelity 529 Education Account (2)(age based)	D	Dividend	L	T					
58. Fidelity 529 Education Account (3)(age based)	D	Dividend	L	T					
59. Rental property in Monroe County, PA	C	Rent	N	W					
60. Vanguard Prime Money Market	A	Dividend	K	T					
61. Vanguard Diversified Equity, IRA	B	Dividend	L	T					
62. Fidelity Contrafund IRA	C	Dividend	K	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Brendan L. Shannon**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
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Washington, D.C. 20544