

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) DIEHL, MARY GRACE	2. Court or Organization UNITED STATES BANKRUPTCY COURT	3. Date of Report 04/28/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) BANKRUPTCY JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 1215 UNITED STATES COURTHOUSE 75 TED TURNER DRIVE, S.W. ATLANTA, GA 30303		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Trust #1
2.	President/Immediate Past President	National Conference of Bankruptcy Judges
3.	Member, Board of Directors	American Bankruptcy Institute
4.	Vice President	American College of Bankruptcy
5.	Member, Board of Trustees	Turnaround Management Association

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. Ongoing	Contract with LexisNexis/Collier to edit book on Professional Compensation in Bankruptcy Cases
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2016	Lexis/Nexis Royalties	\$2,429.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	Graduate Fellowship Georgia State University
2. 2016	Self Employed Attorney
3. 2016	Book Royalties from University of Illinois Press
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. National Conference of Bankruptcy Judges	October 25-30, 2016	San Francisco, CA	Judges Conference	Reimbursement of Travel and Hotel
2. American College of Bankruptcy	March 17-20, 2016	Washington, DC	Professional Meeting	Partial Reimbursement of Travel and Hotel
3. American Bankruptcy Institute	April 14-15, 2016	Washington, DC	Educational Seminar Speaker	Reimbursement of Travel and Hotel
4. Turnaround Management Association	February 16-18, 2016	Las Vegas, NV	Certification Oversight Committee Meeting	Reimbursement of Travel and Hotel
5. National Conference of Bankruptcy Judges	March 14-15, 2016	Fort Worth, TX	Mid-Year Meeting	Reimbursement of Hotel and Travel

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6.	National Assn of Bankruptcy Trustees	September 7-10, 2016	San Diego, CA	Speaker at Educational Seminar	Reimbursement of Travel and Hotel
7.	Turnaround Management Assn	November 1-3, 2016	ORLANDO, FL	Board of Directors Meeting	Reimbursement of Travel and Hotel
8.	American Bankruptcy Institute	November 30-December 3, 2016	Los Angeles, CA	Speaker at Educational Seminar	Reimbursement of Travel and Hotel
9.	Natinoal Association of Chapter 13 Trustees	July 20-23, 2016	Philadelphia, PA	Speaker at Educational Seminar	Reimbursement of Travel and Hotel
10.	National Conference of Bankruptcy Judges	August 7-9, 2016	Washington, DC	Meeting of NCBC	Reimbursement of Travel and Hotel
11.	National Conference of Bankruptcy Judges	December 11-12, 2016	Washington DC	Meeting at Supreme Court	Reimbursement of Travel, Hotel and Meals
12.	National Conference of Bankruptcy Judges	September 13-15, 2016	Washington, DC	Meeting of Legislative Committee	Reimbursement of Travel and Hotel
13.	University of Miami Law School	February 26-28, 2016	Miami, FL	Judge Moot Court Competition	Reimbursement of Travel and Hotel
14.	Instituto Brasileiro de Estudos de Recuperacao de Empresas	Marcy 2-4, 2016	Sao Paulo, Brazil	Speaker at Seminar and Meetings with Brazilian Judiciary	Reimbursement of Travel, Hotel and Meals
15.	Federal Judges Association	May 17-18, 2016	Washington, DC	Board of Directors Meeting	Reimbursement of Travel, Hotel and Meals
16.	Turnaround Management Association	June 2-3, 2016	Nashville, TN	Speaker at Educational Program	Reimbursement of Mileage and Hotel
17.	Federal Magistrate Judges Association	July 18-20, 2016	San Francisco, CA	Board of Directors Meeting	Reimbursement of Travel, Hotel and Meals
18.	National Conference of Bankruptcy Judges	August 25-27, 2016	Denver, CO	Officers Meeting	Reimbursement of Travel, Hotel and Meals

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Dr. Jung Ho Lee Sr.	Real estate lease	K
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Bank of America Accounts	A	Interest	M	T					
2. Wells Fargo Bank Account		None	J	T					
3. Emory Credit Union		None	J	T					
4. Mass Mutual Life Insurance Policy#1(traditional whole life)	C	Dividend	M	T					
5. Mass Mutual Insurance Policy #2(traditional whole life)	C	Dividend	M	T					
6. Prudential Insurance Policy (traditional whole life)	A	Interest	K	T					
7. Brokerage Account #1 (-13012)									
8. --Bank Deposit Account- FIA Card services	A	Interest	K	T					
9. --Bank of America (formerly ML Bank)	A	Interest	J	T					
10. --Eaton Vance Large Cap Value Fund Class A	B	Distribution	M	T	Buy (add'l)	01/06/16	J		
11.					Buy (add'l)	04/21/16	J		
12.					Buy (add'l)	06/02/16	K		
13.					Buy (add'l)	07/06/16	K		
14.					Buy (add'l)	10/26/16	J		
15.					Sold (part)	01/29/16	J	A	
16.					Sold (part)	03/29/16	J	A	
17.					Sold (part)	04/12/16	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.					Sold (part)	04/28/16	J	A	
19.					Sold (part)	05/31/16	J	A	
20.					Sold (part)	06/28/16	J	A	
21.					Sold (part)	07/29/16	J	A	
22.					Sold (part)	08/29/16	J	A	
23.					Sold (part)	11/14/16	J	A	
24. --Dreyfus Appreciation Fd	E	Distribution	M	T	Buy (add'l)	01/06/16	K		
25.					Buy (add'l)	04/21/16	J		
26.					Buy (add'l)	06/02/16	K		
27.					Buy (add'l)	07/06/16	K		
28.					Buy (add'l)	10/26/16	J		
29.					Sold (part)	01/29/16	J	A	
30.					Sold (part)	03/29/16	J	A	
31.					Sold (part)	04/12/16	J	A	
32. --Hancock Horizon Diversified Intl Fd Inst					Sold	01/06/16	L	A	
33. --Allianzgi NFJ Dividend Value Fund CL P	C	Dividend	M	T	Buy (add'l)	01/06/16	J		
34.					Buy (add'l)	06/02/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35.					Buy (add'l)	07/06/16	K		
36.					Buy (add'l)	10/26/16	J		
37.					Sold (part)	04/12/16	K	A	
38.					Sold (part)	04/20/16	J	A	
39.					Sold (part)	04/28/16	J	A	
40.					Sold (part)	07/29/16	J	A	
41.					Sold (part)	08/29/16	J	A	
42.					Sold (part)	11/14/16	J	A	
43.	--Eaton Vance Atlanta Capital SMID Cap Fd CL1	B	Distribution	L	T	Buy (add'l)	01/06/16	J	
44.					Buy (add'l)	04/21/16	J		
45.					Buy (add'l)	07/06/16	J		
46.					Buy	10/26/16	J		
47.					Sold (part)	04/12/16	K	A	
48.					Sold (part)	04/28/16	J	A	
49.					Sold (part)	05/31/16	J	A	
50.					Sold (part)	06/01/16	K	A	
51.					Sold (part)	06/28/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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52.					Sold (part)	08/29/16	J	A	
53.					Sold (part)	11/14/16	J	A	
54.					Sold (part)	11/30/16	J	A	
55. --Mainstay Large Cap Growth Fund CL 1	D	Distribution	M	T	Buy (add'l)	01/07/16	J		
56.					Buy (add'l)	04/21/16	J		
57.					Buy (add'l)	06/02/16	K		
58.					Buy (add'l)	07/06/16	K		
59.					Buy (add'l)	10/26/16	J		
60.					Sold (part)	04/12/16	K	A	
61.					Sold (part)	04/28/16	J	A	
62.					Sold (part)	05/31/16	J	A	
63.					Sold (part)	06/28/16	J	A	
64.					Sold (part)	07/29/16	J	A	
65.					Sold (part)	08/29/16	J	A	
66. --Mainstay Epoch Global Equity Yield Fund CL 1	D	Distribution	M	T	Buy (add'l)	01/06/16	J		
67.					Buy (add'l)	04/21/16	J		
68.					Buy (add'l)	06/02/16	K		

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69.					Buy (add'l)	10/26/16	K		
70.					Sold (part)	04/12/16	L	A	
71.					Sold (part)	04/28/16	J	A	
72.					Sold (part)	05/31/16	J	A	
73.					Sold (part)	06/28/16	J	A	
74.					Sold (part)	07/05/16	J	A	
75. --MFS Research International Fd CL1	C	Dividend	M	T	Buy (add'l)	01/06/16	J		
76.					Buy (add'l)	06/01/16	K		
77.					Buy (add'l)	10/26/16	K		
78.					Sold (part)	04/12/16	L	A	
79.					Sold (part)	04/20/16	J	A	
80.					Sold (part)	04/28/16	J	A	
81.					Sold (part)	05/31/16	J	A	
82.					Sold (part)	07/05/16	J	A	
83.					Sold (part)	07/29/16	J	A	
84.					Sold (part)	08/29/16	J	A	
85. --Prudential Jennison Small Company Fd Inc Z	C	Distribution	L	T	Buy (add'l)	01/06/16	K		

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86.					Buy (add'l)	07/06/16	J		
87.					Buy (add'l)	10/26/16	J		
88.					Sold (part)	04/12/16	K	A	
89.					Sold (part)	04/20/16	J	A	
90.					Sold (part)	04/28/16	J	A	
91.					Sold (part)	05/31/16	J	A	
92.					Sold (part)	06/01/16	K	A	
93.					Sold (part)	06/28/16	J	A	
94.					Sold (part)	07/29/16	J	A	
95.					Sold (part)	08/29/16	J	A	
96.					Sold (part)	11/14/16	J	A	
97. Brokerage Acct #2 (-13017)									
98. --Georgia St Rd & Twy Auth Rev	B	Interest	K	T					
99. --University Houston Tex	A	Interest	K	T					
100. --DeKalb Private Hosp Auth	B	Interest	K	T					
101. --Columbia Co Ga Wtr & Sew	A	Interest	J	T					
102. --Athens-Clarke Cnty GA Uni Govt Auth Rev	B	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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103. --Athens Clarke County GA UNI Govt Sales Tax:Jail	A	Interest	K	T					
104. --Metro Atlanta RTA GA SLS TaxRV Third Series	B	Interest	K	T					
105. --DeKalb Cnty Ga Wtr-Sew	B	Interest	K	T					
106. Met Wash DC Arpts AT	A	Interest	K	T					
107. West Virginia St Hosp Fin Auth Hosp Rev A		None	J	T	Buy	12/20/16	J		
108. Brokerage Acct #3 (-12445)									
109. --SP500 ARN Issuer BAC/due 3/28/16	A	Distribution			Redeemed	03/28/16	K	B	
110. --SP500 ARN Issuer BAC/due 5/27/16		None			Redeemed	05/27/16	M	A	
111. --SP500 ARN Issuer CS/due 9/30/16	E	Distribution			Redeemed	09/30/16	N	E	
112. --SX5E ARN Issuer BAC/due 5/27/16	A	Distribution			Redeemed	05/27/16	M	A	
113. --SX5E ARN Issuer BAC/due 6/24/16		None			Redeemed	06/24/16	M	A	
114. SX5E ARN Issuer BAC/due 9/30/16		None			Redeemed	09/30/16	N	A	
115. SP500 ARN Issuer BAC/due 5/27/16		None	M	T	Buy	07/07/16	M		
116. SP500ARN Issuer BARC/due 11/22/17		None	N	T	Buy	09/29/16	N		
117. SX5EARN Issuer BAC/due 7/28/17		None	M	T	Buy	05/26/16	M		
118. Sx5E ARN Issuer BAC/due 5/26/17		None	K	T	Buy	03/23/16	K		
119. SX5E ARN Issuer BAC/due 11/22/17		None	N	T	Buy	09/29/16	N		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Investment Account #3 --13590									
121. Allianzgi NFJ Divident Value Fd	A	Dividend	K	T	Buy	11/03/16	K		
122. Dreyfus Appreciation Fd	A	Dividend	K	T	Buy	11/03/16	K		
123. Eaton Vance Atlanta	A	Distribution	J	T	Buy	11/03/16	J		
124. Eaton Vance Large Cap Value Fund Cl i	A	Dividend	K	T	Buy	11/03/16	K		
125. Mainstay Large Cap Growth Fund	B	Dividend	K	T	Buy	11/03/16	K		
126. Mainstay Epoch Global Equity Yield Fund	A	Dividend	K	T	Buy	11/03/16	K		
127. MFS Research International FD	A	Dividend	K	T	Buy	11/03/16	K		
128. Prudential Jennison Small Company Fd	A	Dividend	J	T	Buy	11/03/16	J		
129. IRA #1 (-13022)									
130. --ML Winton FuturesAccess LLC		None	N	T					
131. IRA #2 (-12598)									
132. --Eaton Vance Large Cap Value FUnD Class A	A	Dividend			Buy (add'l)	01/06/16	J		
133.					Buy (add'l)	04/21/16	J		
134.					Buy (add'l)	05/25/16	J		
135.					Sold	08/19/16	K	A	
136. --FIA Card Services (formerly ML Bank USA RASP)	A	Interest							

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. --Dreyfus Appreciation Fd	B	Distribution			Buy (add'l)	01/06/16	J		
138.					Buy (add'l)	04/21/16	J		
139.					Buy (add'l)	05/25/16	J		
140.					Sold	08/19/16	K	A	
141. Allianzgi NFJ Dividend Value Fund CL P	A	Dividend			Buy (add'l)	01/06/16	J		
142.					Buy (add'l)	04/21/16	J		
143.					Buy (add'l)	05/25/16	J		
144.					Sold	08/19/16	K	A	
145. Eaton Vance Atlanta Capital SMID Cap Fd CL 1		None			Buy (add'l)	04/21/16	J		
146.					Buy (add'l)	05/25/16	J		
147.					Sold	08/19/16	J	A	
148. Mainstay Large Cap Growth Fund CL1		None			Buy (add'l)	01/06/16	J		
149.					Buy (add'l)	04/21/16	J		
150.					Buy (add'l)	05/25/16	J		
151.					Sold	08/19/16	K	A	
152. Mainstay Epoch Global Equity Yield Fund CL 1	A	Dividend			Buy (add'l)	01/06/16	J		
153.					Buy (add'l)	04/21/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Buy (add'l)	05/25/16	J		
155.					Sold	08/19/16	K	A	
156. MFS Research International Fd Cl1		None			Buy (add'l)	01/06/16	J		
157.					Buy (add'l)	04/21/16	J		
158.					Buy (add'l)	05/25/16	J		
159.					Sold	08/19/16	K	B	
160. Prudential Jennison Small Company Fd Inc Z		None			Buy (add'l)	01/07/16	J		
161.					Buy (add'l)	04/21/16	J		
162.					Buy (add'l)	05/25/16	J		
163.					Sold	08/19/16	J	A	
164. Partners Group Private Equity (TEI) LLC		None	M	T	Buy	09/29/16	M		
165. IRA #3 (12601/14937)									
166. -FIA Card Svcs (Formerly -ML Bank USA RASP)	A	Interest	K	T					
167. SP 500 ARN Issuer BAC/due 4/28/17		None	N	T	Buy	02/25/16	N		
168. SP500 ARN Issuer BAC		None			Redeemed	02/26/16	N	A	
169. --Eaton Vance Large Cap Value Fund Class A	B	Dividend	L	T	Buy (add'l)	04/21/16	J		
170.					Buy (add'l)	05/25/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Buy (add'l)	08/23/16	J		
172.					Sold (part)	08/19/16	K		
173. --Dreyfus Appreciation Fd	B	Dividend	L	T	Buy (add'l)	04/21/16	J		
174.					Buy (add'l)	05/25/16	J		
175.					Buy (add'l)	08/23/16	J		
176.					Sold (part)	08/19/16	K		
177. --Allianzgi NFJ Dividend Value Fund CL P	B	Dividend	L	T	Buy (add'l)	04/21/16	J		
178.					Buy (add'l)	05/25/16	J		
179.					Buy (add'l)	08/23/16	J		
180.					Sold (part)	08/19/16	K		
181. --Eaton Vance Atlanta Capital SMID Cap FD Cl I	A	Dividend	K	T	Buy (add'l)	04/21/16	J		
182.					Buy (add'l)	05/25/16	K		
183.					Sold (part)	08/19/16	K		
184.					Sold (part)	08/23/16	J		
185. --Mainstay Large Cap Growth Fund Cl I	A	Dividend	L	T	Buy (add'l)	04/21/16	J		
186.					Buy (add'l)	05/25/16	J		
187.					Buy (add'l)	08/23/16	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.					Sold (part)	08/19/16	K		
189. --Mainstay Epoch Global Equity Yield Fund Cl 1	C	Dividend	M	T	Buy (add'l)	04/21/16	K		
190.					Buy (add'l)	05/25/16	J		
191.					Sold (part)	08/19/16	L		
192.					Sold (part)	08/23/16	K		
193. MFS Research International Fd Cl 1	C	Dividend	M	T	Buy (add'l)	04/21/16	J		
194.					Buy (add'l)	05/25/16	K		
195.					Sold (part)	08/19/16	L		
196.					Sold (part)	08/23/16	K		
197. Prudential Jennison Small Company Fd Inc Z	A	Dividend	K	T	Buy (add'l)	04/21/16	J		
198.					Buy (add'l)	05/25/16	K		
199.					Sold (part)	08/19/16	K		
200.					Sold (part)	08/23/16	J		
201. Partners Group Private Equity (TEI) LLC		None	N	T	Buy	10/03/16	N		
202. SEP #1 (-12599)									
203. --Eaton Vance Large Cap Value FUnd Class Amgd221712	A	Int./Div.	K	T	Buy (add'l)	01/07/16	J		
204.					Buy (add'l)	04/21/16	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205.					Buy (add'l)	08/23/16	J		
206. --FIA CArD Svcs (formerly ML Bank USA RASP)	A	Int./Div.	J	T					
207. --Dreyfus Appreciation Fd	A	Int./Div.	K	T	Buy (add'l)	01/07/16	J		
208.					Buy (add'l)	04/21/16	J		
209.					Buy (add'l)	08/23/16	J		
210. Allianzgi NJF Dividend Value Fund CL P	A	Int./Div.	K	T	Buy (add'l)	01/07/16	J		
211.					Sold (part)	04/21/16	J		
212.					Buy (add'l)	08/23/16	J		
213. Eaton Vance Atlanta Capital SMID Cap Fd CL I	A	Int./Div.	K	T	Sold (part)	04/21/16	J	A	
214.					Sold (part)	08/23/16	J	A	
215. Mainstay Large Cap Growth Fund Cl I	A	Int./Div.	K	T	Buy (add'l)	01/07/16	J		
216.					Buy (add'l)	08/23/16	J		
217.					Buy (add'l)	04/21/16	J		
218. Mainstay Epoch Global Equity Yield Fund Cl I	B	Int./Div.	K	T	Buy (add'l)	01/07/16	J		
219.					Sold (part)	04/21/16	J	A	
220.					Sold (part)	08/23/16	J	A	
221. MFS Research International Fund Cl I	A	Int./Div.	K	T	Buy (add'l)	01/07/16	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

222.					Buy (add'l)	04/21/16	J		
223.					Sold (part)	08/23/16	J	A	
224. Prudential Jennison Small Company Fd Inc Z	A	Int./Div.	K	T	Buy (add'l)	01/07/16	J		
225.					Sold (part)	04/21/16	J	A	
226.					Sold (part)	08/23/16	J	A	
227. SEP #2 (-12600)									
228. Bank of America NA RASP (Cash Acct)	A	Int./Div.	J	T	Open	01/04/16	J		
229. --Eaton Vance Large Cap Value Fund Class A	A	Int./Div.	J	T	Buy (add'l)	01/07/16	J		
230.					Buy (add'l)	04/21/16	J		
231.					Buy (add'l)	05/25/16	J		
232.					Buy (add'l)	08/23/16	J		
233. --Dreyfus Appreciation Fd	A	Int./Div.	J	T	Buy (add'l)	01/07/16	J		
234.					Sold (part)	02/25/16	J	A	
235.					Buy (add'l)	04/21/16	J		
236.					Buy (add'l)	05/25/16	J		
237.					Buy (add'l)	08/23/16	J		
238. Allianzgi NFJ Divident Value Fund Cl P	A	Int./Div.	J	T	Buy (add'l)	01/07/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239.					Sold (part)	02/25/16	J	A	
240.					Sold (part)	04/21/16	J	A	
241.					Buy (add'l)	05/25/16	J		
242.					Buy (add'l)	08/23/16	J		
243. Eaton Vance Atlanta Capital SMID Cap Fd C11	A	Int./Div.	J	T	Buy (add'l)	02/11/16	J		
244.					Sold (part)	02/25/16	J	A	
245.					Sold (part)	04/21/16	J	A	
246.					Sold (part)	05/25/16	J	A	
247.					Sold (part)	08/23/16	J	A	
248. Mainstay Large Cap Growth Fund C11	A	Int./Div.	J	T	Buy (add'l)	01/07/16	J		
249.					Buy (add'l)	04/21/16	J		
250.					Buy (add'l)	05/25/16	J		
251.					Buy (add'l)	08/23/16	J		
252. Mainstay Epoch Global Equity Yield Fund C11	A	Int./Div.	J	T	Buy (add'l)	01/07/16	J		
253.					Sold (part)	02/25/16	J	A	
254.					Sold (part)	04/21/16	J	A	
255.					Buy (add'l)	05/25/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256.					Sold (part)	08/23/16	J	A	
257. MFS Research International Fund C11	A	Int./Div.	J	T	Buy (add'l)	01/07/16	J		
258.					Buy (add'l)	04/21/16	J		
259.					Buy (add'l)	05/25/16	J		
260.					Sold (part)	08/23/16	J	A	
261. Prudential Jennison Small Company Fund Inc Z	A	Int./Div.	J	T	Buy (add'l)	01/07/16	J		
262.					Sold (part)	04/21/16	J	A	
263.					Sold (part)	05/25/16	J	A	
264.					Sold (part)	08/23/16	J	A	
265. 401(k) Plan (-05031)									
266. SX5E ARN ISSUER BAC/due 6/30/17	A	Interest	J	T	Buy	04/28/16	J		
267. SX5E ARN Issuer BAC/Due 3/17/17	C	Interest	K	T	Buy	01/28/16	K		
268. MJ Defined Benefit Plan (-05030)									
269. SX5E ARN Issuer BAC/due 6/30/17	D	Interest	N	T	Buy	04/28/16	N		
270.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Investment Account #3 (--13590) was funded with funds from an inheritance from a relative who died in July 2016..

Trust #1 is a charitable trust and is not reportable because it was not created by me, my spouse or a dependent child and no income is received by me, my spouse or a dependent child. Neither do any of these persons have a beneficial interest in the trust. This information was previously reported but is no longer necessary.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ MARY GRACE DIEHL**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544