

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                          |                                                                  |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting</b> (last name, first, middle initial)<br><br>Clarkson, Scott C.                                                                                                                   | <b>2. Court or Organization</b><br><br>United States Bankruptcy Court                                                                                                                                                                                                                    | <b>3. Date of Report</b><br><br>04/20/2018                       |
| <b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)<br><br>Bankruptcy Judge                                                              | <b>5a. Report Type</b> (check appropriate type)<br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>01/01/2017<br>to<br>12/31/2017 |
| <b>7. Chambers or Office Address</b><br><br>Ronald Reagan Federal Building and Courthouse<br>411 West 4th Street<br>Santa Ana, CA 92701                                                                   |                                                                                                                                                                                                                                                                                          |                                                                  |
| <p align="center"><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</p> |                                                                                                                                                                                                                                                                                          |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

|    |  |  |
|----|--|--|
| 1. |  |  |
| 2. |  |  |
| 3. |  |  |
| 4. |  |  |
| 5. |  |  |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

|    |  |
|----|--|
| 1. |  |
| 2. |  |
| 3. |  |

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Name of Person Reporting

Clarkson, Scott C.

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u> | <u>INCOME</u><br>(yours, not spouse's) |
|-------------|------------------------|----------------------------------------|
| 1.          |                        |                                        |
| 2.          |                        |                                        |
| 3.          |                        |                                        |
| 4.          |                        |                                        |

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u>                         |
|-------------|------------------------------------------------|
| 1. 2017     | Calpirs (California state retirement benefits) |
| 2. 2017     | Self-employed (counselor)                      |
| 3.          |                                                |
| 4.          |                                                |

**IV. REIMBURSEMENTS** *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

| <u>SOURCE</u>                          | <u>DATES</u>        | <u>LOCATION</u> | <u>PURPOSE</u>           | <u>ITEMS PAID OR PROVIDED</u> |
|----------------------------------------|---------------------|-----------------|--------------------------|-------------------------------|
| 1. California Bankruptcy Forum         | May 14-17, 2017     | San Diego, CA   | Law Seminar              | Transportation, meals, hotel  |
| 2. National Conf. of Bankruptcy Judges | October 22-25, 2017 | Las Vegas, NV   | Professional Association | Transportation, meals, hotel  |
| 3.                                     |                     |                 |                          |                               |
| 4.                                     |                     |                 |                          |                               |
| 5.                                     |                     |                 |                          |                               |

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. Bank of America (various accounts)                                                                                       | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 2. FolioFN (cash account)                                                                                                   | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 3. WMI (Common Stock)                                                                                                       | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 4. IRA #1 (H)                                                                                                               |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 5. FolioFN (cash account)                                                                                                   | A                                       | Interest                                      | O                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 6. BABA                                                                                                                     |                                         | None                                          |                                                 |                                           | Buy                                             | 06/15/17                | L                               |                                |                                                                   |
| 7.                                                                                                                          |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/27/17                | J                               |                                |                                                                   |
| 8.                                                                                                                          |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 07/25/17                | J                               |                                |                                                                   |
| 9.                                                                                                                          |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 08/08/17                | J                               |                                |                                                                   |
| 10.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 09/19/17                | J                               |                                |                                                                   |
| 11.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 10/03/17                | J                               |                                |                                                                   |
| 12.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 10/09/17                | M                               | E                              |                                                                   |
| 13. GOOGL                                                                                                                   |                                         | None                                          |                                                 |                                           | Buy                                             | 06/15/17                | L                               |                                |                                                                   |
| 14.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 06/27/17                | J                               |                                |                                                                   |
| 15.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 06/27/17                | J                               |                                |                                                                   |
| 16.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 07/05/17                | J                               |                                |                                                                   |
| 17.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 08/08/17                | J                               |                                |                                                                   |

|                                                  |                                                                                 |                                                        |                                                                                          |                                                              |                                                     |
|--------------------------------------------------|---------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-----------------------------------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                 | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>I = \$15,001 - \$50,000            | E = \$15,001 - \$50,000<br>F = \$50,001 - \$100,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$250,001 - \$500,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                                                     |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                 | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                                                     |

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Name of Person Reporting

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Date of Report

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|          |   |          |  |  |                |          |   |   |  |
|----------|---|----------|--|--|----------------|----------|---|---|--|
| 18.      |   |          |  |  | Buy<br>(add'l) | 09/19/17 | J |   |  |
| 19.      |   |          |  |  | Sold<br>(part) | 10/03/17 | J |   |  |
| 20.      |   |          |  |  | Sold           | 10/09/17 | M | D |  |
| 21. AMZN |   | None     |  |  | Buy            | 06/15/17 | L |   |  |
| 22.      |   |          |  |  | Buy<br>(add'l) | 08/08/17 | J |   |  |
| 23.      |   |          |  |  | Buy<br>(add'l) | 09/19/17 | J |   |  |
| 24.      |   |          |  |  | Buy<br>(add'l) | 10/03/17 | J |   |  |
| 25.      |   |          |  |  | Sold           | 10/09/17 | M | C |  |
| 26. ACC  | A | Dividend |  |  | Buy            | 01/03/17 | K |   |  |
| 27.      |   |          |  |  | Buy<br>(add'l) | 03/06/17 | J |   |  |
| 28.      |   |          |  |  | Buy<br>(add'l) | 05/01/17 | J |   |  |
| 29.      |   |          |  |  | Sold           | 06/14/17 | K |   |  |
| 30. AMH  | A | Dividend |  |  | Buy            | 01/03/17 | K |   |  |
| 31.      |   |          |  |  | Buy<br>(add'l) | 01/09/17 | J |   |  |
| 32.      |   |          |  |  | Sold<br>(part) | 04/11/17 | J |   |  |
| 33.      |   |          |  |  | Buy<br>(add'l) | 05/09/17 | J |   |  |
| 34.      |   |          |  |  | Buy<br>(add'l) | 06/12/17 | J |   |  |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
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| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|         |   |          |  |  |                |          |   |   |  |
|---------|---|----------|--|--|----------------|----------|---|---|--|
| 35.     |   |          |  |  | Sold           | 06/14/17 | K | C |  |
| 36. AIV | A | Dividend |  |  | Buy            | 01/03/17 | K |   |  |
| 37.     |   |          |  |  | Buy<br>(add'l) | 01/09/17 | J |   |  |
| 38.     |   |          |  |  | Sold<br>(part) | 04/11/17 | J |   |  |
| 39.     |   |          |  |  | Sold           | 06/14/17 | K |   |  |
| 40. AVB | A | Dividend |  |  | Buy            | 01/03/17 | K |   |  |
| 41.     |   |          |  |  | Buy<br>(add'l) | 01/09/17 | J |   |  |
| 42.     |   |          |  |  | Sold<br>(part) | 04/11/17 | J |   |  |
| 43.     |   |          |  |  | Sold           | 06/14/17 | K | C |  |
| 44. BRG | B | Dividend |  |  | Buy            | 01/03/17 | K |   |  |
| 45.     |   |          |  |  | Buy<br>(add'l) | 01/09/17 | J |   |  |
| 46.     |   |          |  |  | Buy<br>(add'l) | 01/18/17 | J |   |  |
| 47.     |   |          |  |  | Buy<br>(add'l) | 02/22/17 | J |   |  |
| 48.     |   |          |  |  | Buy<br>(add'l) | 03/13/17 | J |   |  |
| 49.     |   |          |  |  | Sold<br>(part) | 04/11/17 | J |   |  |
| 50.     |   |          |  |  | Buy<br>(add'l) | 05/30/17 | J |   |  |
| 51.     |   |          |  |  | Sold           | 06/14/17 | K |   |  |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
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| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

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Name of Person Reporting

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☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 52. CPT                                                                                                                     | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 01/03/17                | K                               |                                |                                                                   |
| 53.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 01/09/17                | J                               |                                |                                                                   |
| 54.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 04/11/17                | J                               |                                |                                                                   |
| 55.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 06/14/17                | K                               | A                              |                                                                   |
| 56. SFR                                                                                                                     | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 01/03/17                | K                               |                                |                                                                   |
| 57.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 01/09/17                | J                               |                                |                                                                   |
| 58.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 03/06/17                | J                               |                                |                                                                   |
| 59.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 04/11/17                | J                               |                                |                                                                   |
| 60.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 06/14/17                | K                               | C                              |                                                                   |
| 61. EDR                                                                                                                     | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 01/03/17                | K                               |                                |                                                                   |
| 62.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 01/09/17                | J                               |                                |                                                                   |
| 63.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 01/30/17                | J                               |                                |                                                                   |
| 64.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 06/14/17                | K                               |                                |                                                                   |
| 65. ELS                                                                                                                     | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 01/03/17                | K                               |                                |                                                                   |
| 66.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 04/11/17                | J                               |                                |                                                                   |
| 67.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 06/14/17                | K                               | C                              |                                                                   |
| 68. EQR                                                                                                                     | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 01/03/17                | K                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                              |                                                              |                                                       |
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| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 69.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 04/11/17                | J                               |                                |                                                                   |
| 70.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 06/14/17                | K                               | B                              |                                                                   |
| 71. ESS                                                                                                                     | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 01/03/17                | K                               |                                |                                                                   |
| 72.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 01/09/17                | J                               |                                |                                                                   |
| 73.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 04/11/17                | J                               |                                |                                                                   |
| 74.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 05/01/17                | J                               |                                |                                                                   |
| 75.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 06/14/17                | K                               | B                              |                                                                   |
| 76. FB                                                                                                                      |                                         | None                                          |                                                 |                                           | Buy                                             | 06/15/17                | L                               |                                |                                                                   |
| 77.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 07/25/17                | J                               |                                |                                                                   |
| 78.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 08/08/17                | J                               |                                |                                                                   |
| 79.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 10/09/17                | M                               | E                              |                                                                   |
| 80. IRT                                                                                                                     | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 01/03/17                | K                               |                                |                                                                   |
| 81.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 01/09/17                | J                               |                                |                                                                   |
| 82.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 05/01/17                | J                               |                                |                                                                   |
| 83.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 06/14/17                | K                               | B                              |                                                                   |
| 84. INVH                                                                                                                    | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 04/11/17                | K                               |                                |                                                                   |
| 85.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 06/14/17                | K                               | A                              |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Clarkson, Scott C.

Date of Report

04/20/2018

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|          |   |          |  |  |                |          |   |   |  |
|----------|---|----------|--|--|----------------|----------|---|---|--|
| 86. MAA  | A | Dividend |  |  | Buy            | 01/03/17 | K |   |  |
| 87.      |   |          |  |  | Sold<br>(part) | 01/09/17 | K |   |  |
| 88.      |   |          |  |  | Sold<br>(part) | 04/11/17 | J |   |  |
| 89.      |   |          |  |  | Sold           | 06/14/17 | K | C |  |
| 90. MORE | A | Dividend |  |  | Buy            | 01/03/17 | K |   |  |
| 91.      |   |          |  |  | Sold<br>(part) | 01/09/17 | K |   |  |
| 92.      |   |          |  |  | Sold           | 06/14/17 | K |   |  |
| 93. NXRT | A | Dividend |  |  | Buy            | 01/03/17 | K |   |  |
| 94.      |   |          |  |  | Sold<br>(part) | 01/09/17 | J |   |  |
| 95.      |   |          |  |  | Sold<br>(part) | 05/11/17 | J |   |  |
| 96.      |   |          |  |  | Sold           | 06/14/17 | K | B |  |
| 97. APTS | A | Dividend |  |  | Buy            | 01/03/17 | K |   |  |
| 98.      |   |          |  |  | Buy<br>(add'l) | 01/09/17 | J |   |  |
| 99.      |   |          |  |  | Buy<br>(add'l) | 03/06/17 | J |   |  |
| 100.     |   |          |  |  | Sold<br>(part) | 04/11/17 | J |   |  |
| 101.     |   |          |  |  | Sold<br>(part) | 04/24/17 | J |   |  |
| 102.     |   |          |  |  | Sold<br>(part) | 05/09/17 | J |   |  |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
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| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Clarkson, Scott C.

Date of Report

04/20/2018

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|           |   |          |  |  |                |          |   |   |  |
|-----------|---|----------|--|--|----------------|----------|---|---|--|
| 103.      |   |          |  |  | Buy<br>(add'l) | 05/16/17 | J |   |  |
| 104.      |   |          |  |  | Sold           | 06/14/17 | K | C |  |
| 105. PCLN |   | None     |  |  | Buy            | 06/15/17 | L |   |  |
| 106.      |   |          |  |  | Sold<br>(part) | 07/25/17 | J |   |  |
| 107.      |   |          |  |  | Buy<br>(add'l) | 09/19/17 | J |   |  |
| 108.      |   |          |  |  | Sold           | 10/09/17 | M | E |  |
| 109. SYB  | A | Dividend |  |  | Buy            | 01/03/17 | K |   |  |
| 110.      |   |          |  |  | Sold<br>(part) | 02/06/17 | J |   |  |
| 111.      |   |          |  |  | Sold<br>(part) | 03/06/17 | K |   |  |
| 112.      |   |          |  |  | Sold           | 05/10/17 | J | C |  |
| 113. SUI  | A | Dividend |  |  | Buy            | 01/03/17 | K |   |  |
| 114.      |   |          |  |  | Sold<br>(part) | 04/11/17 | J |   |  |
| 115.      |   |          |  |  | Sold           | 06/14/17 | K | C |  |
| 116. UMH  | A | Dividend |  |  | Buy            | 01/03/17 | K |   |  |
| 117.      |   |          |  |  | Buy<br>(add'l) | 01/09/17 | J |   |  |
| 118.      |   |          |  |  | Sold<br>(part) | 03/28/17 | J |   |  |
| 119.      |   |          |  |  | Sold<br>(part) | 04/11/17 | J |   |  |

|                                                  |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|--------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A ~\$1,000 or less<br>F ~\$50,001 - \$100,000                                      | B ~\$1,001 - \$2,500<br>G ~\$100,001 - \$1,000,000   | C ~\$2,501 - \$5,000<br>H1 ~\$1,000,001 - \$5,000,000                                  | D ~\$5,001 - \$15,000<br>H2 ~More than \$5,000,000         | E ~\$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J ~\$15,000 or less<br>N ~\$250,001 - \$500,000<br>P3 ~\$25,000,001 - \$50,000,000 | K ~\$15,001 - \$50,000<br>O ~\$500,001 - \$1,000,000 | L ~\$50,001 - \$100,000<br>P1 ~\$1,000,001 - \$5,000,000<br>P4 ~More than \$50,000,000 | M ~\$100,001 - \$250,000<br>P2 ~\$5,000,001 - \$25,000,000 |                        |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                    | R = Cost (Real Estate Only)<br>V = Other             | S = Assessment<br>W = Estimated                                                        | T = Cash Market                                            |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Clarkson, Scott C.

Date of Report

04/20/2018

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 120.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 05/30/17                | J                               |                                |                                                                   |
| 121.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 06/14/17                | K                               | C                              |                                                                   |
| 122. UDR                                                                                                                    | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 01/03/17                | K                               |                                |                                                                   |
| 123.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 01/09/17                | J                               |                                |                                                                   |
| 124.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 04/11/17                | J                               |                                |                                                                   |
| 125.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 05/01/17                | J                               |                                |                                                                   |
| 126.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 06/14/17                | K                               | C                              |                                                                   |
| 127. IRA #2 (H)                                                                                                             |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 128. FolioFN (cash account)                                                                                                 | A                                       | Interest                                      | N                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 129. BABA                                                                                                                   |                                         | None                                          |                                                 |                                           | Buy                                             | 06/15/17                | K                               |                                |                                                                   |
| 130.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 08/08/17                | J                               |                                |                                                                   |
| 131.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 09/19/17                | J                               |                                |                                                                   |
| 132.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 10/09/17                | K                               | D                              |                                                                   |
| 133. GOOGL                                                                                                                  |                                         | None                                          |                                                 |                                           | Buy                                             | 06/15/17                | K                               |                                |                                                                   |
| 134.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 08/08/17                | J                               |                                |                                                                   |
| 135.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 10/09/17                | K                               | B                              |                                                                   |
| 136. AMZN                                                                                                                   |                                         | None                                          |                                                 |                                           | Buy                                             | 06/15/17                | K                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
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| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Clarkson, Scott C.

Date of Report

04/20/2018

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 137.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 08/08/17                | J                               |                                |                                                                   |
| 138.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 10/09/17                | K                               | B                              |                                                                   |
| 139. ACC                                                                                                                    | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 01/03/17                | J                               |                                |                                                                   |
| 140.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 06/14/17                | J                               |                                |                                                                   |
| 141. AMH                                                                                                                    | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 01/03/17                | J                               |                                |                                                                   |
| 142.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 06/14/17                | J                               | A                              |                                                                   |
| 143. AIV                                                                                                                    | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 01/03/17                | J                               |                                |                                                                   |
| 144.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 06/14/17                | J                               |                                |                                                                   |
| 145. AVB                                                                                                                    | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 01/03/17                | J                               |                                |                                                                   |
| 146.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 06/14/17                | J                               | A                              |                                                                   |
| 147. BRG                                                                                                                    | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 01/03/17                | J                               |                                |                                                                   |
| 148.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 06/14/17                | J                               |                                |                                                                   |
| 149. CPT                                                                                                                    | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 01/03/17                | J                               |                                |                                                                   |
| 150.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 06/14/17                | J                               | A                              |                                                                   |
| 151. SFR                                                                                                                    | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 01/03/17                | J                               |                                |                                                                   |
| 152.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 06/14/17                | J                               | B                              |                                                                   |
| 153. EDR                                                                                                                    | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 01/03/17                | J                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
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# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Clarkson, Scott C.

Date of Report

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 154.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 06/14/17                | J                               |                                |                                                                   |
| 155. ELS                                                                                                                    | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 01/03/17                | J                               |                                |                                                                   |
| 156.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 06/14/17                | J                               | B                              |                                                                   |
| 157. EQR                                                                                                                    | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 01/03/17                | J                               |                                |                                                                   |
| 158.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 06/14/17                | J                               | A                              |                                                                   |
| 159. ESS                                                                                                                    | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 01/03/17                | J                               |                                |                                                                   |
| 160.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 06/14/17                | J                               | B                              |                                                                   |
| 161. FB                                                                                                                     |                                         | None                                          |                                                 |                                           | Buy                                             | 06/15/17                | K                               |                                |                                                                   |
| 162.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 08/08/17                | J                               |                                |                                                                   |
| 163.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 10/09/17                | K                               | D                              |                                                                   |
| 164. IRT                                                                                                                    | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 01/03/17                | J                               |                                |                                                                   |
| 165.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 06/14/17                | J                               | A                              |                                                                   |
| 166. INVH                                                                                                                   | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 04/11/17                | J                               |                                |                                                                   |
| 167.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 06/14/17                | J                               |                                |                                                                   |
| 168. MAA                                                                                                                    | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 01/03/17                | J                               |                                |                                                                   |
| 169.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 06/14/17                | J                               | A                              |                                                                   |
| 170. MORE                                                                                                                   | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 01/03/17                | J                               |                                |                                                                   |

|                         |                                  |                             |                                |                                 |                         |
|-------------------------|----------------------------------|-----------------------------|--------------------------------|---------------------------------|-------------------------|
| 1. Income Gain Codes:   | A = \$1,000 or less              | B = \$1,001 - \$2,500       | C = \$2,501 - \$5,000          | D = \$5,001 - \$15,000          | E = \$15,001 - \$50,000 |
| (See Columns B1 and D4) | F = \$50,001 - \$100,000         | G = \$100,001 - \$1,000,000 | H1 = \$1,000,001 - \$5,000,000 | H2 = More than \$5,000,000      |                         |
| 2. Value Codes          | J = \$15,000 or less             | K = \$15,001 - \$50,000     | L = \$50,001 - \$100,000       | M = \$100,001 - \$250,000       |                         |
| (See Columns C1 and D3) | N = \$250,001 - \$500,000        | O = \$500,001 - \$1,000,000 | P1 = \$1,000,001 - \$5,000,000 | P2 = \$5,000,001 - \$25,000,000 |                         |
|                         | P3 = \$25,000,001 - \$50,000,000 |                             | P4 = More than \$50,000,000    |                                 |                         |
| 3. Value Method Codes   | Q = Appraisal                    | R = Cost (Real Estate Only) | S = Assessment                 | T = Cash Market                 |                         |
| (See Column C2)         | U = Book Value                   | V = Other                   | W = Estimated                  |                                 |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Clarkson, Scott C.

Date of Report

04/20/2018

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 171.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 06/14/17                | J                               |                                |                                                                   |
| 172. NXRT                                                                                                                   | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 01/03/17                | J                               |                                |                                                                   |
| 173.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 06/14/17                | J                               | B                              |                                                                   |
| 174. APTS                                                                                                                   | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 01/03/17                | J                               |                                |                                                                   |
| 175.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 05/09/17                | J                               |                                |                                                                   |
| 176.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 06/14/17                | J                               | A                              |                                                                   |
| 177. PCLN                                                                                                                   |                                         | None                                          |                                                 |                                           | Buy                                             | 06/15/17                | K                               |                                |                                                                   |
| 178.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 08/08/17                | J                               |                                |                                                                   |
| 179.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/19/17                | J                               |                                |                                                                   |
| 180.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 10/09/17                | K                               | C                              |                                                                   |
| 181. SYB                                                                                                                    | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 01/03/17                | J                               |                                |                                                                   |
| 182.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 03/06/17                | J                               |                                |                                                                   |
| 183.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 05/10/17                | J                               | C                              |                                                                   |
| 184. SUI                                                                                                                    | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 01/03/17                | J                               |                                |                                                                   |
| 185.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 06/14/17                | J                               | B                              |                                                                   |
| 186. UMH                                                                                                                    | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 01/03/17                | J                               |                                |                                                                   |
| 187.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 06/14/17                | J                               | B                              |                                                                   |

|                                                  |                                                                                    |                                                      |                                                                                       |                                                            |                        |
|--------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|---------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A ~\$1,000 or less<br>F ~\$50,001 - \$100,000                                      | B ~\$1,001 - \$2,500<br>G ~\$100,001 - \$1,000,000   | C ~\$2,501 - \$5,000<br>H1 ~\$1,000,001 - \$5,000,000                                 | D ~\$5,001 - \$15,000<br>H2 ~More than \$5,000,000         | E ~\$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J ~\$15,000 or less<br>N ~\$250,001 - \$500,000<br>P3 ~\$25,000,001 - \$50,000,000 | K ~\$15,001 - \$50,000<br>O ~\$500,001 - \$1,000,000 | L ~\$50,001 - \$100,000<br>P1 ~\$1,000,001 - \$5,000,000<br>P4 ~More than \$5,000,000 | M ~\$100,001 - \$250,000<br>P2 ~\$5,000,001 - \$25,000,000 |                        |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                    | R = Cost (Real Estate Only)<br>V = Other             | S = Assessment<br>W = Estimated                                                       | T = Cash Market                                            |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Clarkson, Scott C.

Date of Report

04/20/2018

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 188. UDR                                                                                                                    | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 01/03/17                | J                               |                                |                                                                   |
| 189.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 06/14/17                | J                               | B                              |                                                                   |

|                         |                                  |                             |                                |                                 |                         |
|-------------------------|----------------------------------|-----------------------------|--------------------------------|---------------------------------|-------------------------|
| 1. Income Gain Codes:   | A = \$1,000 or less              | B = \$1,001 - \$2,500       | C = \$2,501 - \$5,000          | D = \$5,001 - \$15,000          | E = \$15,001 - \$50,000 |
| (See Columns B1 and D4) | F = \$50,001 - \$100,000         | G = \$100,001 - \$1,000,000 | H1 = \$1,000,001 - \$5,000,000 | H2 = More than \$5,000,000      |                         |
| 2. Value Codes          | J = \$15,000 or less             | K = \$15,001 - \$50,000     | L = \$50,001 - \$100,000       | M = \$100,001 - \$250,000       |                         |
| (See Columns C1 and D3) | N = \$250,001 - \$500,000        | O = \$500,001 - \$1,000,000 | P1 = \$1,000,001 - \$5,000,000 | P2 = \$5,000,001 - \$25,000,000 |                         |
|                         | P3 = \$25,000,001 - \$50,000,000 |                             | P4 = More than \$50,000,000    |                                 |                         |
| 3. Value Method Codes   | Q = Appraisal                    | R = Cost (Real Estate Only) | S = Assessment                 | T = Cash Market                 |                         |
| (See Column C2)         | U = Book Value                   | V = Other                   | W = Estimated                  |                                 |                         |

**FINANCIAL DISCLOSURE REPORT**

Page 16 of 17

Name of Person Reporting

Clarkson, Scott C.

Date of Report

04/20/2018

**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred.

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Clarkson, Scott C.

Date of Report

04/20/2018

## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Scott C. Clarkson**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544