

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) MOLLWAY, SUSAN O.	2. Court or Organization District of Hawaii	3. Date of Report 12/14/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge, Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address United States District Court 300 Ala Moana Boulevard, #C409 Honolulu, HI 96850		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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Name of Person Reporting

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Hawaii State Federal Credit Union common stock	A	Interest	J	T					
2. Columbia Life Insurance (Note Part VIII)		None							
3. First Hawaiian Bank	A	Interest	J	T					
4. U.S. Savings Bond Series EE	A	Interest	J	T					
5. EV Worldwide (IRA #1)	A	Dividend			Sold	02/09/16	J	A	
6. Blackrock Equity (IRA #1)	A	Dividend			Sold	02/09/16	J	A	
7. Blackrock Global Long Short Credit Fund CL C (IRA #1)	A	Dividend			Sold	02/09/16	J	A	
8. Consumer Discretionary SPDR (XLY) (IRA #1)	A	Dividend	J	T	Buy	02/09/16	J		
9.					Buy	02/16/16	J		
10.					Sold (part)	05/18/16	J	A	
11. Health Care Select SPDR (XLV) (IRA #1)	A	Dividend	J	T	Buy	02/09/16	J		
12.					Sold (part)	05/18/16	J	A	
13.					Sold (part)	06/22/16	J	A	
14. Ishares Inc. Core MSCI Emerging Markets ETF (IEMG) (IRA #1)	A	Dividend	J	T	Buy	02/09/16	J		
15.					Buy	05/19/16	J		
16.					Sold (part)	06/22/16	J	A	
17.					Sold (part)	09/23/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$500,000.00	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Ishares Iboxx S (LQD) (IRA #1)	A	Dividend	J	T	Buy	02/09/16	J		
19.					Buy	06/22/16	J		
20. Ishares MBS ETF (MBB) (IRA #1)	A	Dividend	J	T	Buy	02/09/16	J		
21.					Buy	05/18/16	J		
22.					Buy	09/23/16	J		
23. DELETE (Note Part VIII)									
24. DELETE									
25. DELETE									
26. Ishares MSCI EAFE (EFA) (IRA #1)	A	Dividend	J	T	Buy	02/09/16	J		
27.					Sold (part)	05/18/16	J	A	
28.					Buy	06/22/16	J		
29. Ishares 3-7 Year Treasury Bond ETF (IEI) (IRA #1)	A	Dividend	J	T	Buy	02/09/16	J		
30.					Buy	06/22/16	J		
31. Ishares Tips Bond ETF (TIP) (IRA #1)	A	Dividend	J	T	Buy	02/09/16	J		
32.					Buy	06/22/16	J		
33. Market Vectors J.P. (IRA #1)	A	Dividend			Buy	02/09/16	J		
34.					Sold	05/12/16	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000	R = Cost (Real Estate Only)	P4 = More than \$50,000,000	S = Assessment	T = Cash Market
(See Column C2)	Q = Appraisal	V = Other	W = Estimated		
	U = Book Value				

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Materials Select Sector SPDR Fund (XLB) (IRA #1)	A	Dividend	J	T	Buy	02/09/16	J		
36. Powershares Global Exch Traded FD TR (PCY) (IRA #1) (Note Part VIII)	A	Dividend	J	T	Buy	02/09/16	J		
37.					Buy	06/22/16	J		
38. Powershares Preferred (PGX) (IRA #1)	A	Dividend	J	T	Buy	02/09/16	J		
39.					Buy	05/18/16	J		
40. Real Estate Select SPDR FD SHS (XLRE) (IRA #1)	A	Dividend	J	T	Buy	09/23/16	J		
41. Sector SPDR Consumers STPL (XLP) STP (IRA #1)	A	Dividend	J	T	Buy	02/09/16	J		
42.					Sold (part)	06/22/16	J	A	
43.					Buy	09/23/16	J		
44. Sector SPDR Energy (XLE) (IRA #1)	A	Dividend	J	T	Buy	02/09/16	J		
45.					Sold (part)	02/16/16	J	A	
46.					Sold (part)	05/18/16	J	A	
47.					Sold (part)	06/22/16	J	A	
48.					Buy	09/23/16	J		
49. Sector SPDR Industrial (XLI) (IRA #1)	A	Dividend	J	T	Buy	02/09/16	J		
50.					Buy	02/16/16	J		
51.					Sold (part)	05/18/16	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52.					Sold (part)	06/22/16	J		
53. Sector SPDR Utilities (XLU) (IRA #1)	A	Dividend	J	T	Buy	02/09/16	J		
54.					Sold (part)	06/22/16	J	A	
55. SPDR Barclays (IRA #1)	A	Dividend			Buy	02/09/16	J		
56.					Sold (part)	05/18/16	J	A	
57.					Sold	06/22/16	J	A	
58. SPDR Insurance (IRA #1)	A	Dividend	J	T	Buy	05/18/16	J		
59.					Sold (part)	05/18/16	J	A	
60. Vaneck Vectors J.P. Morgan EM Local Currency (EMLC) (IRA #1)	A	Dividend	J	T	Buy	05/02/16	J		
61.					Buy	05/18/16	J		
62.					Buy	06/22/16	J		
63. Vanguard Financials ETF (VFH) (IRA #1)	A	Dividend	J	T	Buy	02/09/16	J		
64.					Sold (part)	05/18/16	J	A	
65.					Sold (part)	06/22/16	J	A	
66.					Sold (part)	09/23/16	J	A	
67. Vanguard Information Tech ETF (VGT) (IRA #1)	A	Dividend	J	T	Buy	02/09/16	J		
68.					Sold (part)	05/18/16	J	A	

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69.					Sold (part)	06/22/16	J	A	
70.					Sold (part)	09/23/16	J	A	
71. Vanguard Intermediate Term Bond ETF (BIV) (IRA #1)	A	Dividend	J	T	Buy	02/09/16	J		
72.					Buy	05/18/16	J		
73.					Buy	06/22/16	J		
74.					Buy	09/23/16	J		
75. Vanguard Short-Term Bond (BSV) (IRA #1)	A	Dividend	J	T	Buy	02/09/16	J		
76.					Buy	05/18/16	J		
77.					Sold (part)	06/22/16	J	A	
78. Vanguard Telecomm Services ETF (VDX) (IRA #1)	A	Dividend	J	T	Buy	02/09/16	J		
79.					Sold (part)	06/22/16	J	A	
80. Advisory Research (Main IRA)	A	Dividend			Sold	02/09/16	J	A	
81. Blackrock Energy (Main IRA)	A	Dividend			Sold	02/09/16	J	A	
82. Blackrock Equity (Main IRA)	A	Dividend			Sold	02/09/16	K	C	
83. Blackrock Citibank Global (Main IRA)	A	Dividend			Sold	02/09/16	K	A	
84. Blackrock Global Long (Main IRA)	A	Dividend			Sold	02/09/16	K	A	
85.					Buy	02/09/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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86.					Buy	05/11/16	J		
87.					Buy	08/10/16	J		
88.					Sold (part)	06/01/16	J	A	
89.					Sold	10/17/16	J	A	
90. Loomis Sayles (Main IRA)	A	Dividend			Sold	02/09/16	J	A	
91. Sunamerica Focused (Main IRA)	A	Dividend			Sold	02/09/16	K	A	
92. Touchstone Strategic (Main IRA)	A	Dividend			Sold	02/09/16	J	A	
93. Transparent Value (Main IRA)	A	Distribution			Sold	02/09/16	J	A	
94. MFS Utilities (Main IRA)	A	Dividend			Sold	02/09/16	K	B	
95. PIMCO Real Return (Main IRA)	A	Dividend			Sold	02/09/16	K	A	
96. Putnam Absolute Return (Main IRA)	A	Dividend			Sold	02/09/16	K	A	
97. Wisdomtree Global (Main IRA)	A	Dividend			Sold	02/09/16	J	A	
98. Wisdomtree (Emergine) (Main IRA)	A	Dividend			Sold	02/09/16	J	A	
99. Zacks Multiopp (Main IRA)	A	Dividend			Sold	02/09/16	K	D	
100. BOA Cash Account (Main IRA)	A	Dividend	J	T					
101. Alps Alerian MLP ETF (AMLP) (Main IRA)	A	Dividend			Buy	02/09/16	J		
102.					Sold (part)	05/11/16	J	B	

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103.					Sold (part)	06/01/16	J	C	
104.					Buy	08/10/16	J		
105.					Sold	10/17/16	J	B	
106. Arbitrage Funds CL I (ARBNX) (Main IRA)	A	Dividend			Buy	02/09/16	J		
107.					Buy	05/11/16	J		
108.					Sold (part)	06/01/16	J	A	
109.					Buy	08/10/16	J		
110.					Sold	10/17/16	J	A	
111. Blackrock Hi Yld (Main IRA)	A	Dividend			Buy	05/11/16	J		
112.					Sold (part)	06/01/16	J	A	
113.					Sold (part)	10/17/16	J	A	
114.					Sold	10/17/16	J	A	
115. Blackstone Alt Multi- Strategy Mutual Fund Class I (BXMIX)(Main IRA)	A	Dividend			Buy	06/01/16	J		
116.					Buy	8/10/16	J		
117.					Sold	10/17/16	K	A	
118. Consumer Discretionary SPDR (XLY) (Main IRA)	A	Dividend	J	T	Buy	10/17/16	J		
119. Deutsche X-Trackers MSCI EAFE Hedged Equity ETF (Main IRA)	A	Dividend			Buy	02/09/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Sold (part)	05/11/16	J	A	
121.					Sold (part)	06/01/16	J	A	
122.					Buy	08/10/16	J		
123.					Sold	10/17/16	J	A	
124. Doubleline Total Return Bond Fund CL 1 (DBL TX) (Main IRA)	A	Dividend			Buy	02/09/16	K		
125.					Buy	05/11/16	J		
126.					Sold (part)	06/01/16	J	A	
127.					Buy	08/10/16	J		
128.					Sold	10/17/16	J	A	
129. Health Care Select SPDR (XLV) (Main IRA)	A	Dividend	J	T	Buy	10/17/16	J		
130. Invesco Balanced Risk Allocation FD CL Y (Main IRA)	A	Dividend			Buy	02/09/16	K		
131.					Buy	05/11/16	J		
132.					Sold (part)	06/01/16	J	A	
133.					Sold (part)	08/10/16	J	A	
134.					Sold	10/17/16	J	B	
135. Ishares Inc. Core MSCI Emerging Markets ETF (IEMG) (Main IRA)	A	Dividend	J	T	Buy	06/01/16	J		
136.					Buy	08/10/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Buy	10/17/16	J		
138.					Sold (part)	10/17/16	J	A	
139. Ishares Edge MSCI (Min. Vol. EAFE ETF) (Main IRA)	A	Dividend			Buy	06/01/16	J		
140.					Sold (part)	06/01/16	J		
141.					Buy	08/10/16	J		
142.					Sold (part)	08/10/16	J	A	
143.					Sold	10/17/16	J	A	
144. Ishares Floating Rate Bond ETF (Main IRA)	A	Dividend			Buy	02/09/16	J		
145.					Buy	05/11/16	J		
146.					Sold (part)	06/01/16	J	A	
147.					Buy	08/10/16	J		
148.					Sold	10/17/16	J	A	
149. Ishares Gold TR (Main IRA)	A	Dividend			Buy	02/09/16	J		
150.					Buy	05/11/16	J		
151.					Buy	06/01/16	J		
152.					Sold (part)	08/10/16	J	A	
153.					Sold	10/17/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Ishares Iboxx \$ High Yield (HYG) (Main IRA)	A	Dividend	J	T	Buy	10/17/16	J		
155. Ishares MBS ETF (MBB) (Main IRA)	A	Dividend	J	T	Buy	10/17/16	J		
156. Ishares MSCI EAFE (EFA) (Main IRA)	A	Dividend	J	T	Buy	10/17/16	J		
157. Ishares MSCI USA (Main IRA)	A	Dividend			Buy	02/09/16	J		
158.					Sold	05/11/16	J	A	
159. Ishares 3-7 Year Treasury Bond ETF (IEI) (Main IRA)	A	Dividend	J	T	Buy	10/17/16	J		
160. Ishares Tips Bond ETF (TIP) (Main IRA)	A	Dividend	J	T	Buy	10/17/16	J		
161. Ishares TR Russell 2000 (Main IRA)	A	Dividend			Buy	02/09/16	J		
162.					Sold (part)	05/11/16	J	A	
163.					Sold (part)	06/01/16	J	A	
164.					Sold	10/17/16	J	A	
165. Ishares Trust SHS Ishare (Vol. EM ETF) (Main IRA)	A	Dividend			Buy	02/09/16	J		
166.					Sold	06/01/16	J	A	
167. JP Morgan Core Bond Fund FD CL SEL (Main IRA)	A	Dividend			Buy	02/09/16	J		
168.					Buy	05/11/16	J		
169.					Sold (part)	06/01/16	J	A	
170.					Buy	08/10/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Sold	10/17/16	J	A	
172. Materials Select Sector SPDR Fund (XLB) (Main IRA)	A	Dividend	J	T	Buy	10/17/16	J		
173. Merger Fund CL Inv (Main IRA)	A	Dividend			Buy	02/09/16	J		
174.					Buy	05/11/16	J		
175.					Sold (part)	06/01/16	J	A	
176.					Buy	08/10/16	J		
177.					Sold	10/17/16	J	A	
178. Powershares EM Sovereign Bond USD ETF (PCY) (Main IRA)(Note Part VIII)	A	Dividend	J	T	Buy	10/17/16	J		
179. Powershares Preferred (PGX) (Main IRA)	A	Dividend	J	T	Buy	10/17/16	J		
180. Principal Global Real Estate Securities FD P (Main IRA)	A	Dividend			Buy	02/09/16	J		
181.					Sold (part)	05/11/16	J	A	
182.					Sold (part)	06/01/16	J	A	
183.					Sold (part)	08/10/16	J	A	
184.					Sold	10/17/16	J	A	
185. Prudential Short-Term (Main IRA)	A	Dividend			Buy	06/01/16	J		
186.					Sold (part)	08/10/16	J	A	
187.					Sold	10/17/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A -\$1,000 or less F -\$50,001 - \$100,000	B -\$1,001 - \$2,500 G -\$100,001 - \$1,000,000	C -\$2,501 - \$5,000 H1 -\$1,000,001 - \$5,000,000	D -\$5,001 - \$15,000 H2 -More than \$5,000,000	E -\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J -\$15,000 or less N -\$250,001 - \$500,000 P3 -\$25,000,001 - \$50,000,000	K -\$15,001 - \$50,000 O -\$500,001 - \$1,000,000	L -\$50,001 - \$100,000 P1 -\$1,000,001 - \$5,000,000 P4 -More than \$5,000,000	M -\$100,001 - \$250,000 P2 -\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. Putnam Abs. (Main IRA)	A	Dividend	K	T	Buy	02/09/16	K		
189. Putnam Capital Spectrum Fund CL Y (Main IRA)	A	Dividend			Buy	02/09/16	J		
190.					Sold (part)	05/11/16	J	A	
191.					Sold	06/01/16	J	B	
192. Real Estate Select Sector SPDR FD SHS (XLRE) (Main IRA)	A	Dividend	J	T	Buy	10/17/16	J		
193. Sector SPDR Consumers STPL (XLP) (Main IRA)	A	Dividend	J	T	Buy	10/17/16	J		
194. Sector SPDR Energy (XLE) (Main IRA)	A	Dividend	J	T	Buy	10/17/16	J		
195. Sector SPDR Industrial (XLI) (Main IRA)	A	Dividend	J	T	Buy	10/17/16	J		
196. Sector SPDR Utilities (XLU) (Main IRA)	A	Dividend	J	T	Buy	10/17/16	J		
197. SPDR Barclay 1-3 (Main IRA)	A	Dividend			Buy	06/01/16	J		
198.					Buy	08/10/16	J		
199.					Sold	10/17/16	J	A	
200. SPDR S&P Ins. ETF (KIE) (Main IRA)	A	Dividend	J	T	Buy	10/17/16	J		
201. TCW Emerging Markets Income I Share (Main IRA)	A	Dividend	J	T	Buy	02/09/16	J		
202.					Sold (part)	05/11/16	J	A	
203.					Sold (part)	06/01/16	J	A	
204.					Sold (part)	08/10/16	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205.					Sold (part)	10/17/16	J	A	
206. Templeton Global Bond FD Adv CL (Main IRA)	A	Dividend			Buy	02/09/16	J		
207.					Buy	05/11/16	J		
208.					Sold	06/01/16	J	A	
209. Vaneck Vectors J.P. Morgan EM Local Currency Bond (EMLC) (Main IRA)	A	Dividend	J	T	Buy	10/17/16	J		
210. Vanguard Dividend Appreciation ETF (Main IRA)	A	Dividend			Buy	02/09/16	J		
211.					Sold (part)	05/11/16	J	A	
212.					Sold (part)	06/01/16	J	A	
213.					Sold (part)	08/10/16	J	A	
214.					Sold	10/17/16	J	A	
215. Vanguard Financials ETF (VFH)	A	Dividend	J	T	Buy	10/17/16	J		
216. Vanguard Growth ETF (Main IRA)	A	Dividend			Buy	02/09/16	J		
217.					Sold (part)	05/11/16	J	A	
218.					Sold	06/10/16	J	A	
219. Vanguard Information Tech ETF (VGT) (Main IRA)	A	Dividend	K	T	Buy	10/17/16	K		
220. Vanguard Intermediate Term Bond ETF (BIV) (Main IRA)	A	Dividend	K	T	Buy	10/17/16	K		
221. Vanguard Mid-Cap (Main IRA)	A	Dividend			Buy	02/09/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222.					Sold (part)	05/11/16	J	A	
223.					Sold (part)	06/10/16	J	A	
224.					Sold	10/17/16	J	B	
225. Vanguard REIT ETF (Main IRA)	A	Dividend			Buy	02/09/16	J		
226.					Sold (part)	05/11/16	J	A	
227.					Sold (part)	08/10/16	J	A	
228.					Sold	10/17/16	J	B	
229. Vanguard Short-Term Bond (BSV) (Main IRA)	A	Dividend	J	T	Buy	10/17/16	J		
230. Vanguard Telecomm Services ETF (VDX) (Main IRA)	A	Dividend	J	T	Buy	10/17/16	J		
231. Vanguard Tot. Intern. (Main IRA)	A	Dividend			Buy	02/09/16	J		
232.					Buy	05/11/16	J		
233.					Sold (part)	06/01/16	J	A	
234.					Sold	10/17/16	J	A	
235. Western Asset Core (Main IRA)	A	Dividend			Buy	02/09/16	J		
236.					Buy	05/11/16	J		
237.					Sold (part)	06/01/16	J	A	
238.					Buy	08/10/16	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239.					Sold	10/17/16	J	A	
240.	William Blair International Small Cap Growth Fd CLI (WISIX) (Main IRA)	Dividend			Buy	02/09/16	J		(Note Part VIII)
241.					Sold (part)	05/11/16	J	A	
242.					Sold (part)	06/01/16	J	A	
243.					Buy	08/10/16	J		
244.					Sold	10/17/16	J	A	
245.									
246.									
247.									
248.									
249.									
250.									
251.									
252.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

MOLLWAY, SUSAN O.

Date of Report

12/14/2017

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Line 2: The correct name of the asset was a Columbia (not "Columbus") Life Insurance policy. This asset should have been removed from my disclosure when I got divorced in February 2006. My ex-husband was the sole beneficiary of this whole life policy (the policy was on [REDACTED] life). My ex-husband cashed in the policy after we got divorced, which I only learned in preparing this amended disclosure in response to questions from the Committee on Financial Disclosure. He was uncertain of the date he cashed in the policy, but it was before 2016.

Part VII, Line 20: On the earlier 2016 disclosure, Ishares MBS ETF was mistakenly listed twice for IRA #1. The duplicate listing (original line 23) has been deleted.

Part VII, Lines 36 and 178: Powershares Global Exchange Traded FD TR and Powershares EM Sovereign Bond USD ETF have the same Merrill Lynch symbol (PCY), so appear to be the same fund.

Part VII, Line 240: The asset listed on the original 2016 disclosure (original Line 240) as "William Blair" has been listed with a more complete name. This is a mutual fund, not a brokerage account or managed account.

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Name of Person Reporting

MOLLWAY, SUSAN O.

Date of Report

12/14/2017

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ SUSAN O. MOLLWAY

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544