

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Goldgar, A. Benjamin	2. Court or Organization Bankruptcy Court, N.D. Ill.	3. Date of Report 08/10/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address Suite 638 219 South Dearborn Street Chicago, IL 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Trustee	Family Trust No. 1
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 29

Name of Person Reporting

Goldgar, A. Benjamin

Date of Report

08/10/2017

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. American Bankruptcy Institute	6/16/16-6/19/15	Lake Geneva, WI	Central States Bankruptcy Workshop	Food, lodging, mileage
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 29

Name of Person Reporting
Goldgar, A. Benjamin

Date of Report
08/10/2017

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 29

Name of Person Reporting

Goldgar, A. Benjamin

Date of Report

08/10/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Fidelity Cash Reserves Money Market Fund	A	Dividend	K	T					
2. IRA No. 1 (H)									
3. - Fidelity Strategic Advisors Small-Mid Cap Fund	A	Dividend	K	T	Sold (part)	07/22/16	J	A	
4. - Fidelity Short-Term Bond					Sold	09/09/16	J	A	
5. - Fidelity Strategic Advisors Value Fund	B	Dividend	K	T	Sold (part)	02/18/16	J	A	
6.					Sold (part)	03/18/16	J	A	
7.					Sold (part)	07/22/16	J	A	
8.					Sold (part)	12/02/16	J	A	
9. - Fidelity Strategic Advisors International Fund	B	Dividend	L	T	Buy (add'l)	01/12/16	J		
10.					Sold (part)	03/07/16	J	A	
11.					Buy (add'l)	04/07/16	J		
12.					Sold (part)	05/20/16	J	A	
13.					Buy (add'l)	07/22/16	J		
14.					Sold (part)	09/09/16	J	A	
15.					Sold (part)	12/02/16	J	A	
16. - Fidelity Strategic Advisors Core Income Fund	B	Dividend	K	T	Sold (part)	01/12/16	J	A	
17.					Buy (add'l)	03/07/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 29

Name of Person Reporting

Goldgar, A. Benjamin

Date of Report

08/10/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.					Buy (add'l)	07/22/16	J		
19. - Templeton Global Bond CL A	A	Dividend	J	T	Sold (part)	07/22/16	J		
20. - Fidelity Strategic Advisors Core Fund	B	Dividend	L	T	Buy (add'l)	02/18/16	J		
21.					Sold (part)	05/20/16	J	A	
22. - Fidelity Strategic Advisors Growth Fund	C	Dividend	K	T	Sold (part)	03/18/16	J	A	
23.					Sold (part)	07/22/16	J	A	
24.					Buy (add'l)	12/02/16	J		
25. - Fidelity Strategic Advisors Emerging Markets	A	Dividend	J	T	Buy (add'l)	01/12/16	J		
26. - Fidelity Strategic Advisors Income Opportunity Fund	A	Dividend	J	T	Sold (part)	07/22/16	J	A	
27.					Sold (part)	10/06/16	J	A	
28. - FIMM Money Market Port Inst CL									
29. - Fidelity Strategic Advisors Short Duration Fund	A	Dividend	K	T	Buy (add'l)	09/09/16	J		
30.					Buy (add'l)	09/12/16	J		
31. - Arden Alternative Strategies Class 1		None			Sold (part)	03/07/16	J		
32.					Sold	03/31/16	J	A	
33. - Blackstone Alternative Multimanager		None			Sold (part)	03/07/16	J		
34.					Sold	04/07/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 29

Name of Person Reporting

Goldgar, A. Benjamin

Date of Report

08/10/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. - Fidelity SAI US Large Cap Index	A	Dividend	J	T	Buy	07/22/16	J		
36. - Fidelity SAI International Index	A	Dividend	J	T	Buy	03/07/16	J		
37. - Fidelity SAI Emerging Markets Index	A	Dividend	J	T	Buy	05/20/16	J		
38.					Buy (add'l)	11/02/16	J		
39. - AQR Managed Futures Fund CL N		None	J	T	Buy	05/20/16	J		
40.					Buy (add'l)	07/22/16	J		
41. - Eaton Vance GL Macro Absolute Return Adv I	A	Dividend	J	T	Buy	05/20/16	J		
42.					Buy (add'l)	10/06/16	J		
43.					Sold (part)	11/02/16	J	A	
44. - Pimco Commodity Real Return Inst	A	Dividend	J	T	Buy	03/18/16	J		
45.					Buy (add'l)	05/20/16	J		
46.					Buy (add'l)	09/09/16	J		
47. IRA No. 2 (H)									
48. - Fidelity Strategic Advisors Small-Mid Cap Fund	A	Dividend	J	T					
49. - Fidelity Strategic Advisors International Fund	A	Dividend	K	T	Sold (part)	05/19/16	J	A	
50.					Sold (part)	09/08/16	J	A	
51. - Templeton Global Bond CL A	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 29

Name of Person Reporting

Goldgar, A. Benjamin

Date of Report

08/10/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. - Fidelity Strategic Advisors Value Fund	A	Dividend	J	T					
53. - Fidelity Strategic Advisors Core Fund	A	Dividend	K	T					
54. - Fidelity Strategic Advisors Growth Fund	B	Dividend	J	T					
55. - Fidelity Strategic Advisors Emerging Markets	A	Dividend	J	T					
56. - Fidelity Strategic Advisors Core Income Fund	B	Dividend	K	T	Sold (part)	01/12/16	J	A	
57.					Sold (part)	02/17/16	J	A	
58.					Buy (add'l)	03/07/16	J		
59.					Buy (add'l)	07/26/16	J		
60. - Fidelity Strategic Advisors Income Opportunity Fund	A	Dividend	J	T					
61. - FIMM Money Market Port Inst CL	A	Dividend	J	T					
62. - Fidelity Merger Fund		None			Sold	10/06/16	J	A	
63. - Fidelity Strategic Advisors Short Duration Fund	A	Dividend	J	T					
64. - Arden Alternative Strategies Class 1		None			Sold	05/24/16	J	A	
65. - Blackstone Alternative Multimanager		None			Sold	05/24/16	J	A	
66. - Fidelity SAI US Large Cap Index	A	Dividend	J	T	Buy	07/26/16	J		
67. - Fidelity SAI Emerging Markets Index	A	Dividend	J	T	Buy	05/19/16	J		
68. - AQR Managed Futures Fund CL N	A	Dividend	J	T	Buy	05/19/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 29

Name of Person Reporting

Goldgar, A. Benjamin

Date of Report

08/10/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. - Pimco Commodity Real Return Inst	A	Dividend	J	T	Buy	03/18/16	J		
70. IRA No. 3 (H)									
71. - Fidelity Strategic Advisors Small-Mid Cap Fund	A	Dividend	K	T	Buy (add'l)	01/12/16	J		
72.					Sold (part)	05/19/16	J	A	
73.					Sold (part)	07/26/16	J	B	
74. - Fidelity Strategic Advisors International Fund	C	Dividend	M	T	Buy (add'l)	01/12/16	J		
75.					Sold (part)	03/18/16	J	A	
76.					Buy (add'l)	04/07/16	J		
77.					Sold (part)	05/19/16	J	B	
78.					Buy (add'l)	07/26/16	J		
79.					Sold (part)	09/08/16	J	B	
80.					Sold (part)	12/02/16	J	A	
81. - Templeton Global Bond Class A	A	Dividend	J	T	Sold (part)	07/26/16	J		
82. - Fidelity Money Market (Y)									
83. - Fidelity Strategic Advisors Value Fund	C	Dividend	L	T	Sold (part)	03/07/16	J	A	
84.					Sold (part)	03/18/16	J	A	
85.					Sold (part)	07/26/16	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 29

Name of Person Reporting

Goldgar, A. Benjamin

Date of Report

08/10/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

86.					Sold (part)	12/02/16	J	A	
87. - Fidelity Strategic Advisors Core Fund	C	Dividend	M	T	Buy (add'l)	01/12/16	J		
88.					Buy (add'l)	02/17/16	J		
89.					Sold (part)	03/07/16	J	A	
90.					Sold (part)	04/07/16	J	A	
91.					Sold (part)	05/19/16	J	A	
92.					Sold (part)	07/26/16	J	B	
93. - Fidelity Strategic Advisors Growth Fund	D	Dividend	L	T	Buy (add'l)	01/12/16	J		
94.					Sold (part)	03/07/16	J	A	
95.					Sold (part)	03/08/16	J	A	
96.					Sold (part)	07/26/16	J	B	
97.					Buy (add'l)	12/02/16	J		
98. - Fidelity Strategic Advisors Emerging Markets	A	Dividend	K	T	Sold (part)	09/08/16	J		
99. - Fidelity Strategic Advisors Income Opportunity Fund	B	Dividend	K	T	Sold (part)	07/26/16	J	B	
100.					Sold (part)	10/06/16	J	A	
101. - Fidelity Strategic Advisors Core Income Fund	D	Dividend	M	T	Sold (part)	01/12/16	J	A	
102.					Sold (part)	02/17/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 29

Name of Person Reporting

Goldgar, A. Benjamin

Date of Report

08/10/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103.					Buy (add'l)	03/07/16	J		
104.					Buy (add'l)	03/18/16	J		
105.					Buy (add'l)	07/26/16	J		
106.					Buy (add'l)	09/08/16	J		
107.					Sold (part)	11/04/16	J	A	
108.					Buy (add'l)	12/02/16	J		
109. - FIMM Money Market Port Inst CL		None			Sold	02/17/16	J		
110. - Fidelity Merger Fund		None			Sold (part)	01/12/16	J		
111.					Sold (part)	02/17/16	J		
112.					Sold	10/06/16	J	A	
113. - Fidelity Strategic Advisors Short Duration Fund	A	Dividend	K	T	Sold (part)	01/12/16	J		
114.					Sold (part)	02/17/16	J	A	
115.					Buy (add'l)	03/18/16	J		
116.					Buy (add'l)	09/08/16	J		
117.					Buy (add'l)	10/06/16	J		
118.					Buy (add'l)	10/07/16	J		
119.					Sold (part)	11/04/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 29

Name of Person Reporting

Goldgar, A. Benjamin

Date of Report

08/10/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. - Arden Alternative Strategies Class 1		None			Sold (part)	03/07/16	J		
121.					Sold	03/31/16	J	A	
122. - Blackstone Alternative Multimanager		None			Sold (part)	03/07/16	J		
123.					Sold (part)	04/07/16	J		
124.					Sold	05/24/16	J	A	
125. - Fidelity SAI US Large Cap Index	A	Dividend	J	T	Buy	07/26/16	J		
126.					Sold (part)	09/08/16	J	A	
127. - Fidelity SAI Emerging Markets Index	A	Dividend	J	T	Buy	05/19/16	J		
128.					Buy (add'l)	10/06/16	J		
129.					Buy (add'l)	11/04/16	J		
130.					Sold (part)	12/02/16	J	A	
131. - AQR Multi Strategy Alternative Class N	A	Dividend	J	T	Buy	02/17/16	J		
132. - AQR Managed Futures Fund CL N	A	Dividend	J	T	Buy	05/19/16	J		
133.					Buy (add'l)	05/26/16	J		
134.					Buy (add'l)	07/26/16	J		
135. - Eaton Vance Global Marco Absolute RT CL 1	A	Dividend	J	T	Buy	05/19/16	J		
136. - Pimco Commodity Real Return Inst	A	Dividend	J	T	Buy	03/18/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 29

Name of Person Reporting

Goldgar, A. Benjamin

Date of Report

08/10/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Buy (add'l)	04/07/16	J		
138.					Buy (add'l)	05/19/16	J		
139.					Buy (add'l)	09/08/16	J		
140. - FIMM Government Portfolio: Instl Cl	A	Dividend	J	T	Buy	02/17/16	J		
141.					Buy (add'l)	02/18/16	J		
142.					Buy (add'l)	03/07/16	J		
143.					Buy (add'l)	04/07/16	J		
144.					Sold (part)	05/19/16	J		
145.					Sold (part)	08/16/16	J		
146.					Sold (part)	12/28/16	J		
147. 401(k) (H)									
148. - Vanguard Institutional Index Fund Plus	A	Dividend	K	T					
149. Fidelity Short Term Bond (2)	A	Dividend			Sold	04/12/16	J	A	
150. Adirondack Trust Co. stock	D	Dividend	N	T					
151. Bank of America Growth Money Market Savings	A	Interest	J	T					
152. Deferred Annuity (H)									
153. - Fidelity VIP Investment Grade Bond Fund		None	N	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 29

Name of Person Reporting

Goldgar, A. Benjamin

Date of Report

08/10/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. - Fidelity VIP Strategic Income Fund		None	M	T					
155. IRA No. 4 (H)									
156. - Vanguard Total Bond Market Index Fund	E	Dividend	O	T					
157. - Vanguard Total International Stock Index Fund	D	Dividend	M	T					
158. - Vanguard Total Stock Market Index Fund	E	Dividend	O	T					
159. Strategic Advisors International Fund (2) (Y) (see note)									
160. Strategic Advisors Value Fund (2) (Y) (see note)									
161. Strategic Advisors Small-Mid Cap Fund (2) (Y) (see note)									
162. Strategic Advisors Emerging Markets (2) (Y) (see note)									
163. Strategic Advisors Core Fund (2) (Y) (see note)									
164. Strategic Advisors Growth Fund (2) (Y) (see note)									
165. Strategic Advisors Income Opportunities (2) (Y) (see note)									
166. Strategic Advisors Core Income Fund (2) (Y) (see note)									
167. Fidelity GNMA Fund (2) (Y) (see note)									
168. Strategic Advisors Short Duration Fund (2) (Y) (see note)									
169. Merger Fund (2) (Y) (see note)									
170. Joint Managed Account (H) (see note)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 29

Name of Person Reporting

Goldgar, A. Benjamin

Date of Report

08/10/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. - Fidelity Diversified International	A	Dividend			Sold (part)	01/29/16	J	A	
172. - Fidelity Limited Term Muni Income	A	Dividend							
173. - Fidelity Municipal Money Market	A	Dividend							
174. - Deutsche Managed Muni Bond CD S N/C	A	Dividend							
175. - Harbor Capital Appreciation Adm CL		None							
176. - Hotchkis & Wiley Mid Cap Value CL A		None							
177. - T Rowe Tax Free Inc. Fund Adv. Class	B	Dividend							
178. - Oakmark Fund I		None							
179. - T Rowe Price Real Estate Fund Adv CL	A	Dividend							
180. - Fidelity Municipal Income	A	Dividend							
181. - Franklin Federal Tax-free CL A	A	Dividend			Sold (part)	01/25/16	K	A	
182.					Sold (part)	01/29/16	J	A	
183.					Sold (part)	06/24/16	J	A	
184. - Templeton Global Bond CL A	A	Dividend			Sold (part)	01/25/16	K		
185.					Sold (part)	01/29/16	J		
186.					Buy (add'l)	04/08/16	J		
187.					Sold (part)	06/24/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 15 of 29

Name of Person Reporting

Goldgar, A. Benjamin

Date of Report

08/10/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. - iShares TR S&P 100 Index Fund	A	Dividend							
189. - MFS International Diversification FD A Conf		None							
190. - Fidelity Tax-free Bond	A	Dividend							
191. - Causeway International Value Investor		None			Buy (add'l)	01/25/16	K		
192.					Sold (part)	04/08/16	J	A	
193. - Janus Enterprise Fund Class T Shares		None			Sold (part)	01/25/16	J		
194. - Fidelity Advisor Growth Oppty CL 1		None			Sold (part)	01/29/16	J	A	
195. - Fidelity Small Cap Value		None			Sold	01/25/16	J	A	
196. - JP Morgan Mid-Cap Value Fund Select CL		None			Sold (part)	06/24/16	J	A	
197. - iShares TR Russell Mid-Cap Index Fund Avg Price	A	Dividend			Sold (part)	02/02/16	J	A	
198. - iShares TR Russell 1000 Growth Index Fund	A	Dividend			Buy (add'l)	01/27/16	J		
199.					Sold (part)	04/12/16	J	A	
200.					Sold (part)	06/28/16	J	A	
201. - iShares TR Russell 1000 Index Fund	A	Dividend			Buy (add'l)	01/27/16	K		
202.					Sold (part)	04/12/16	J	A	
203.					Sold (part)	06/28/16	J	A	
204. - iShares TR Russell 2000 Index Fund	A	Dividend							

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 16 of 29

Name of Person Reporting

Goldgar, A. Benjamin

Date of Report

08/10/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. - Vanguard Index FDS Vanguard Value Vipers	A	Dividend			Sold (part)	01/27/16	K		
206. - MFS International Value Fund CL A		None			Sold (part)	01/25/16	J		
207. - T. Rowe Price International Stock		None			Buy (add'l)	01/25/16	J		
208. - Templeton Frontier Mkts Fund		None			Sold (part)	01/19/16	J		
209.					Sold	01/25/16	J		
210. - Wells Fargo Short Term Muni Inc.-Instit. Conf	A	Dividend			Sold (part)	01/29/16	J		
211.					Buy (add'l)	06/14/16	J		
212. - Franklin International Sm Cap Growth Advisor		None			Sold (part)	04/08/16	J	A	
213. - Sector Spdr Tr Shs Ben Int Financial	A	Dividend			Sold (part)	02/02/16	J		
214.					Sold (part)	04/12/16	J	A	
215.					Sold (part)	06/16/16	J	A	
216. - Fidelity Advisor International Discovery CL 1		None			Sold	01/25/16	J		
217. - Fidelity Value Strategies		None			Sold	01/25/16	J	A	
218. - Transamerica Int'l Equity CL 1		None			Sold	01/25/16	J		
219. - Transamerica Int'l Small Cap Value 1		None			Sold	01/29/16	J		
220. - Oppenheimer International Growth CL 1		None			Sold	01/25/16	J		
221. - RS Small Cap Growth CL Y		None			Sold	01/25/16	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 17 of 29

Name of Person Reporting

Goldgar, A. Benjamin

Date of Report

08/10/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. - Acadian Emerging Markets		None			Sold	01/25/16	J		
223. - Columbia Contrarian Core FD		None			Sold	01/25/16	J		
224. - Franklin Convertible Securities Advisor		None			Sold	01/25/16	K		
225. - Morgan Stanley Inst Inc Int'l Equity		None			Sold	01/25/16	J		
226. - T Rowe Price Emerging Markets Stock		None			Sold	01/25/16	K		
227. - Wasatch Frontier Emerging Small Companies		None			Buy (add'l)	01/25/16	J		
228. - Eaton Vance Inc Fund of Boston	A	Dividend			Sold	01/25/16	J		
229. - Vanguard Index FDS Large Cap		None			Sold	01/27/16	K		
230. - Vanguard Index FDS Growth		None			Sold	01/27/16	J		
231. - Fidelity International Capital Appreciation Fund		None			Buy	01/25/16	J		
232. - Blackrock High Yield Bd Port CL K	A	Dividend			Buy	01/25/16	J		
233. - Oakmark International CL		None			Buy	01/25/16	J		
234. - iShares Russell Midcap Growth	A	Dividend			Buy	01/27/16	J		
235. - iShares Russell 1000 Value ETF	A	Dividend			Buy	01/27/16	K		
236.					Sold (part)	02/02/16	J	A	
237. - iShares S&P Small Cap 600 Value	A	Dividend			Buy	01/27/16	J		
238.					Sold (part)	02/02/16	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 18 of 29

Name of Person Reporting

Goldgar, A. Benjamin

Date of Report

08/10/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. - iShares S&P Small Cap Growth ETF	A	Dividend			Buy	01/27/16	J		
240. - iShares Inc Core MSCI Emerging Markets	A	Dividend			Buy	04/12/16	J		
241. - Lazard Emerging Markets Equity Open CL		None			Buy	01/25/16	K		
242. - Mainstay Tax Free Bond Class A	A	Dividend			Buy	04/08/16	J		
243. - Oppenheimer International Bond Fund CL 1	A	Dividend			Buy	01/25/16	K		
244. - Oppenheimer Developing Markets Fund Class 1		None			Buy	01/25/16	J		
245. - T Rowe Price Overseas Stock Fund		None			Buy	01/25/16	K		
246. - SPDR SER TR Barclays Conv Secs ETF	A	Dividend			Buy	01/27/16	K		
247. - Wells Fargo Special Midcap Adm		None			Buy	01/25/16	J		
248. Fidelity Govt Cash Reserves	A	Dividend			Buy	03/01/16	J		
249. Family Trust No. 1 (H) (see note)									
250. - Fidelity Advisor Growth Opp CL 1	A	Dividend	J	T					
251. - Fidelity Diversified International	A	Dividend	K	T					
252. - Fidelity International Cap Appreciation Fund	A	Dividend	J	T					
253. - Causeway International Value Investor	A	Dividend	K	T					
254. - Harbor Capital Appreciation Adm CL	A	Dividend	J	T					
255. - Oakmark Fund 1	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 19 of 29

Name of Person Reporting

Goldgar, A. Benjamin

Date of Report

08/10/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. - Oakmark International CL 1	A	Dividend	J	T					
257. - Hotchkiss & Wiley Midcap Value CL A	A	Dividend	J	T					
258. - Janus Enterprise Fund Class T Shares	A	Dividend	K	T					
259. - Lazard Emerging Markets Equity Open CL Shares	A	Dividend	K	T					
260. - MFS International Value Fund CL A	A	Dividend	J	T					
261. - MFS International Diversification FD A	A	Dividend	J	T					
262. - MS Frontier Emerging Markets Fund CL 1	A	Dividend	J	T	Buy	12/16/16	J		
263. - Oppenheimer Dev Markets Class 1	A	Dividend	J	T					
264. - T Rowe Price International Discovery Fund 1 CL	A	Dividend	J	T	Buy	12/16/16	J		
265. - T Rowe Price Overseas Stock 1 CL	A	Dividend	J	T					
266. - T Rowe Price International Stk 1	A	Dividend	J	T					
267. - T Rowe Price Real Estate Fund Adv CL	A	Dividend	J	T					
268. - Wasatch Frontier Emerging Small Cos.		None	J	T	Sold (part)	12/16/16	J		
269. - Wells Fargo Special Midcap Adm	A	Dividend	J	T					
270. - Fidelity Municipal Income	A	Dividend	K	T					
271. - Fidelity Tax-free Bond	A	Dividend	K	T	Sold (part)	12/16/16	J		
272. - Fidelity Limited Term Muni Income FD	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 20 of 29

Name of Person Reporting

Goldgar, A. Benjamin

Date of Report

08/10/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273. - Blackrock High Yield Bd	A	Dividend	J	T					
274. - Deutsche Managed Muni Bond Fd S	A	Dividend	K	T	Buy (add'l)	12/16/16	J		
275. - Franklin Federal Tax Free Class A	A	Dividend	K	T					
276. - Oppenheimer International Bond Fund CL I	A	Dividend	K	T					
277. - T Rowe Price Tax Free High Yield Adv	A	Dividend	K	T	Buy	12/16/16	K		
278. - T Rowe Price Tax Free Income Adv CL	A	Dividend	K	T					
279. - Templeton Global Bond Class A	A	Dividend	J	T					
280. - Wells Fargo Ultra Sht Muni Inc Adm	A	Dividend	J	T	Buy (add'l)	12/16/16	J		
281. - Fidelity Govt Cash Reserves	A	Dividend	J	T					
282. - iShares S&P 100 ETF	A	Dividend	K	T					
283. - iShares Russell Midcap Growth	A	Dividend	J	T					
284. - iShares TR Russell Midcap ETF	A	Dividend	J	T					
285. - iShares Russell 1000 Value ETF	A	Dividend	K	T					
286. - iShares Russell 1000 Growth ETF	A	Dividend	L	T	Sold (part)	10/06/16	J	A	
287.					Sold (part)	12/20/16	J	A	
288. - iShares Russell 1000 ETF	A	Dividend	K	T	Sold (part)	10/06/16	J	A	
289.					Sold (part)	12/20/16	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 21 of 29

Name of Person Reporting

Goldgar, A. Benjamin

Date of Report

08/10/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. - iShares Russell 2000 ETF	A	Dividend	J	T					
291. - iShares S&P Small Cap 600 Value	A	Dividend	J	T					
292. - iShares S&P Small Cap Growth ETF	A	Dividend	J	T					
293. - iShares Inc Core MSCI Emerging Markets	A	Dividend	J	T					
294. - Sector Spdr Tr Shares Ben Int Financial	C	Dividend	K	T					
295. - Vanguard Index FDS Vanguard Value	A	Dividend	K	T					
296. - Spdr Series Trust Bloomberg BRC CNVRT (see note)	A	Dividend	J	T					
297. - Deutsche Strategic High Yld Tax Free	A	Dividend			Buy	10/04/16	J		
298.					Sold	12/16/16	J		
299. - JP Morgan Midcap Value Fund Select		None			Sold	10/04/16	J	A	
300. - Franklin Int'l Small Cap Growth Advisor		None			Sold	12/14/16	J	A	
301. - Mainstay Tax Free Bond Class A		None			Sold	12/16/16	J		
302. Family Trust No. 2 (H) (see note)									
303. - Fidelity Advisor Growth Opportunity CL 1	A	Dividend	J	T					
304. - Fidelity Diversified International	A	Dividend	J	T					
305. - Fidelity International Cap Appreciation Fund	A	Dividend	J	T					
306. - Causeway International Value Investor	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 22 of 29

Name of Person Reporting

Goldgar, A. Benjamin

Date of Report

08/10/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. - Harbor Capital Appreciation Adm CL	A	Dividend	J	T					
308. - Oakmark Fund I	A	Dividend	J	T					
309. - Oakmark International CL I	A	Dividend	J	T					
310. - Hotchkiss & Wiley Midcap Value CL A	A	Dividend	J	T					
311. - Janus Enterprise Fund Class T Shares	A	Dividend	J	T					
312. - Lazard Emerging Markets Equity Open CL Shares	A	Dividend	J	T					
313. - MFS International Value Fund CL A	A	Dividend	J	T					
314. - MFS International Diversification FD A	A	Dividend	J	T					
315. - Oppenheimer Dev Markets Class I	A	Dividend	J	T					
316. - T Rowe Price Overseas Stock I CL	A	Dividend	J	T					
317. - T Rowe Price International Stk I	A	Dividend	J	T					
318. - Wasatch Frontier Emerging Small Cos.		None	J	T					
319. - Wells Fargo Special Midcap Adm	A	Dividend	J	T					
320. - Fidelity Municipal Income	A	Dividend	J	T					
321. - Fidelity Tax-free Bond	A	Dividend	K	T					
322. - Fidelity Limited Term Muni Income FD	A	Dividend	J	T					
323. - Blackrock High Yield Bd Port CL K	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 23 of 29

Name of Person Reporting

Goldgar, A. Benjamin

Date of Report

08/10/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324. - Deutsche Managed Muni Bond Fd S	A	Dividend	J	T					
325. - Franklin Federal Tax Free Class A	A	Dividend	J	T					
326. - Oppenheimer International Bond Fund CL	A	Dividend	J	T	Sold (part)	12/09/16	J	A	
327. - T Rowe Price Tax Free High Yield Adv	A	Dividend	J	T	Buy	12/09/16	K		
328. - T Rowe Price Tax Free Income Adv CL	A	Dividend	K	T					
329. - Wells Fargo Ultra Sht Muni Inc Adm	A	Dividend	J	T	Buy (add'l)	12/09/16	J		
330. - Fidelity Govt Cash Reserves	A	Dividend	J	T					
331. - Flexshares Trust Morningstar Global	A	Dividend	J	T	Buy	07/18/16	J		
332.					Sold (part)	09/21/16	J		
333.					Buy (add'l)	10/31/16	J		
334. - iShares S&P 100 ETF	A	Dividend	J	T					
335. - iShares Russell Midcap Growth	A	Dividend	J	T					
336. - iShares TR Russell Midcap ETF	A	Dividend	J	T					
337. - iShares Russell 1000 Value ETF	A	Dividend	J	T					
338. - iShares Russell 1000 Growth ETF	A	Dividend	K	T					
339. - iShares Russell 1000 ETF	A	Dividend	K	T					
340. - iShares Russell 2000 ETF	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A –\$1,000 or less F –\$50,001 - \$100,000	B –\$1,001 - \$2,500 G –\$100,001 - \$1,000,000	C –\$2,501 - \$5,000 H1 –\$1,000,001 - \$5,000,000	D –\$5,001 - \$15,000 H2 –More than \$5,000,000	E –\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J –\$15,000 or less N –\$250,001 - \$500,000 P3 –\$25,000,001 - \$50,000,000	K –\$15,001 - \$50,000 O –\$500,001 - \$1,000,000	L –\$50,001 - \$100,000 P1 –\$1,000,001 - \$5,000,000 P4 –More than \$50,000,000	M –\$100,001 - \$250,000 P2 –\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q –Appraisal U –Book Value	R –Cost (Real Estate Only) V –Other	S –Assessment W –Estimated	T –Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 24 of 29

Name of Person Reporting

Goldgar, A. Benjamin

Date of Report

08/10/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

341. - iShares S&P Small Cap 600 Value	A	Dividend	J	T					
342. - iShares S&P Small Cap Growth ETF	A	Dividend	J	T					
343. - iShares TR EAFA Small Cap ETF	A	Dividend	J	T	Buy	10/31/16	J		
344. - iShares Inc Core MSCI Emerging Markets	A	Dividend	J	T					
345. - Sector Spdr Tr Shares Ben Int Financial	B	Dividend	J	T	Sold (part)	12/13/16	J	A	
346. - Vanguard Index FDS Vanguard Value	A	Dividend	J	T					
347. - Spdr Series Trust Bloomberg BRC CNVRT (see note)	A	Dividend	J	T					
348. - Deutsche Strategic High Yld Tax Free S	A	Dividend			Buy	09/19/16	J		
349.					Sold	12/09/16	J		
350. - JP Morgan Midcap Value Fund Select		None			Sold	07/14/16	J	A	
351. - Franklin Int'l Small Cap Growth Advisor		None			Sold	10/27/16	J	A	
352. - Mainstay Tax Free Bond Class A	A	Dividend			Buy (add'l)	10/27/16	J		
353.					Sold	12/09/16	J		
354. - T Rowe Price Real Estate Fund Adv CL	A	Dividend			Sold	12/09/16	J	C	
355. - Templeton Global Bond Class A	A	Dividend			Sold	12/09/16	J	A	
356. Inherited IRA No. 1 (H) (see note)									
357. - T. Rowe Price Dividend Growth (see note)	A	Dividend	K	T	Redeemed (part)	12/01/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 25 of 29

Name of Person Reporting

Goldgar, A. Benjamin

Date of Report

08/10/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358. - T. Rowe Price Spectrum Income (see note)	A	Dividend	K	T	Redeemed (part)	12/01/16	J		
359. Inherited IRA No. 2 (H) (see note)									
360. - TIAA Traditional (see note)	C	Interest	L	T	Sold (part)	02/19/16	J		
361.					Sold (part)	08/19/16	J		
362. - CREF Stock (see note)			N	T	Sold (part)	02/19/16	J		
363.					Sold (part)	08/19/16	J		
364. - CREF Global Equities (see note)			K	T					
365. - TIAA Real Estate (see note)			K	T					
366. - CREF Bond Market (see note)			K	T					
367. - CREF Inflation Linked Bond (see note)			K	T					
368. Bank of America checking	A	Interest	J	T					
369. Bank of America checking (4)		None	J	T					
370. Bank of America checking (2)		None	J	T					
371. Bank of America money market (2)	A	Interest	J	T					
372.									
373.									
374.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 26 of 29

Name of Person Reporting

Goldgar, A. Benjamin

Date of Report

08/10/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

375.									
376.									
377.									
378.									
379.									
380.									
381.									
382.									
383.									
384.									
385.									
386.									
387.									
388.									
389.									
390.									
391.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 27 of 29

Name of Person Reporting

Goldgar, A. Benjamin

Date of Report

08/10/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392.									
393.									
394.									
395.									
396.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 28 of 29

Name of Person Reporting

Goldgar, A. Benjamin

Date of Report

08/10/2017

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Line 2. On last year's report, this was listed on line 2 as "Traditional IRA - Fidelity (4)."

Line 47. On last year's report, this was listed on line 30 as "Traditional IRA - Fidelity (3)."

Line 70. On last year's report, this was listed on line 54 as "Rollover IRA - Fidelity (3)."

Line 147. On last year's report, this was listed on line 96 as "State of Illinois Deferred Compensation Plan -- 401(k)."

Line 152. On last year's report, this was listed on line 101 as "Fidelity Deferred Annuity."

Line 155. On last year's report, this was listed on line 104 as "Vanguard IRA (4)."

Lines 159-69. These funds are listed with a "Y" and no information is provided because of the emancipation of a dependent child.

Line 170, 249, 302. The funds listed in lines 171-247 were owned jointly until July 10, 2016. (On last year's report, these funds appeared on lines 155 to 252.) Ownership was divided on July 11, 2016, and the funds apportioned between two newly-created trusts, Family Trust No. 1 and Family Trust No. 2. On this report, lines 171-247 show the funds and their activity from January 1, 2016, to July 10, 2016, the period during which they were jointly owned. Lines 249-300 show the funds in Family Trust No. 1 and their activity from July 11, 2016, through December 31, 2016. Lines 302-354 show the funds in Family Trust No. 2 and their activity from July 11, 2016, through December 31, 2016.

No sales or purchases took place on July 11, 2016; there was simply a change in ownership. Because there were neither sales nor purchases, the transfers are not reported as "Sold" at the end of the jointly-owned period or as "Bought" at the beginning of the trust-owned period. Because the funds were not jointly owned at the end of the reporting period, the "gross value at the end of the reporting period" is provided only for the trust-owned period, since the end of the "reporting period" falls within the trust-owned-period.

Line 239. On the initial report, the words "Growth ETF" were inadvertently omitted from the name of the fund. That has been corrected.

Line 248. This investment was not in last year's report. The investment was purchased in 2016 before the division of property into the two Family Trusts, was involved in the division, and so also appears on lines 281 and 330 of this report.

PLEASE NOTE: The addition of the investment on line 248 of this amended report has changed by one the line numbers on which every subsequent investment is reported. So, for example, the investments that appeared in the initial report on lines 279 and 328 now appear on lines 280 and 329.

Lines 280, 329. This investment (on the initial report at lines 279 and 328) appeared in last year's report on line 204 as "Wells Fargo Short Term Muni Inc - Instit. Conf." The name of the fund changed.

Lines 284, 336. This investment (on the initial report at lines 283 and 335) appeared in last year's report on line 188 as "iShares TR Russell Mid-Cap Index Fund Avg Price." The name of the fund changed.

Lines 286, 338. This investment (on the initial report at lines 285 and 337) appeared in last year's report on line 189 as "iShares TR Russell 1000 Growth Index Fund." The name of the fund changed.

Lines 288, 339. This investment (on the initial report at lines 287 and 338) appeared in last year's report on line 191 as "iShares TR Russell 1000 Index Fund." The name of the fund changed.

Lines 290, 340. This investment (on the initial report at lines 289 and 339) appeared in last year's report on line 193 as "iShares TR Russell 2000 Index Fund." The name of the fund changed.

Lines 292, 342. This investment was purchased in 2016 before the division of property into the two Family Trusts and so also appears on line 239. On the initial report, the words "Growth ETF" were inadvertently omitted from the name of the fund. That has been corrected in this amended report.

Lines 296, 347. During the jointly-owned period, this fund was called "SPDR SER TR Barclays Conv Secs ETF."

Line 356. On last year's report, this was shown on line 253 as "Inherited IRA -- T. Rowe Price."

Lines 357-58. The "redemption" is a portion of a required distribution from this inherited IRA. I have reported this as a "redemption" because that is the term T Rowe Price uses on its statement.

Line 359. On last year's report, this was shown on line 256 as "Inherited IRA -- TIAA-CREF."

Lines 360-67. This is an inherited IRA. Except for line 359, Column B is not completed because it cannot be. The TIAA-CREF statements disclose no information about income (other than for the "TIAA Traditional" fund), and TIAA-CREF personnel have told me that the information is unavailable because the investments are "annuity-based." Similarly, information about gain or loss from "sales" (the distributions I am required to take) is not reported in Column D for any portion of the IRA because TIAA-CREF personnel have told me that information about gains or losses is unavailable.

FINANCIAL DISCLOSURE REPORT

Page 29 of 29

Name of Person Reporting

Goldgar, A. Benjamin

Date of Report

08/10/2017

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ A. Benjamin Goldgar**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544