

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Edwards, Harry T.	2. Court or Organization USCA for D.C. Circuit	3. Date of Report 5/10/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior Circuit Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address 5500 U.S. Courthouse 333 Constitution Ave., NW Washington, D.C. 20001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Member	American Law Institute
2.	Member	American Academy of Arts & Sciences
3.	Member	American Bar Association
4.	Board of Directors	Institute of Judicial Administration, NYU Law School
5.	Fellow	American Bar Foundation
6.	Visiting Prof of Law	NYU Law School
7.	Member	Supreme Court Historical Society
8.	Member	Dean's Advisory Committee, University of California at Irvine Law School
9.		
10.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2011	NYU Law School, Visiting Professor of Law.

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2. _____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 12/31/11	NYU Law School, Visiting Professor of Law	\$185,242.00
2. 12/31/2011	Thomson Reuters book royalties	\$312.00
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	NYU Law School, New York, NY	1/18 - 1/19/2011	New York City	Teaching	Room, meals, transportation.
2.	NYU Law School, New York, NY	1/24 - 1/26/2011	New York City	Teaching	Room, meals, transportation.
3.	NYU Law School, New York, NY	2/1 - 2/3/2011	New York City	Teaching	Room, meals, transportation.
4.	NYU Law School, New York, NY	2/8 - 2/10/2011	New York City	Teaching	Room, meals, transportation.
5.	NYU Law School, New York, NY	2/15 - 2/17/2011	New York City	Teaching	Room, meals, transportation.

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6.	NYU Law School, New York, NY	2/22 - 2/24/2011	New York City	Teaching	Room, meals, transportation.
7.	NYU Law School, New York, NY	3/1 - 3/3/2011	New York City	Teaching	Room, meals, transportation.
8.	NYU Law School, New York, NY	3/8 - 3/10/2011	New York City	Teaching	Room, meals, transportation.
9.	NYU Law School, New York, NY	3/29 - 3/31/2011	New York City	Teaching	Room, meals, transportation.
10.	Univ of Cal Irvine Law School, Irvine, CA	4/1 - 4/3/2011	Irvine, CA	Dean's Advisory Committee	Room, meals, transportation
11.	NYU Law School, New York, NY	4/20 - 4/21/2011	New York, NY	Lecture	Room, meals, transportation
12.	NYU Law School, New York, NY	4/25 - 4/29/2011	New York, NY	Teaching	Room, meals, transportation.
13.	NYU Law School, New York, NY	5/17 - 5/18/2011	New York, NY	Teaching	Room, meals, transportation.
14.	NYU Law School, New York, NY	8/31 - 9/1/2011	New York, NY	Teaching	Room, meals, transportation.
15.	NYU Law School, New York, NY	9/6 - 9/8/2011	New York, NY	Teaching	Room, meals, transportation.
16.	NYU Law School, New York, NY	9/13 - 9/14/2011	New York, NY	Teaching	Room, meals, transportation.
17.	NYU Law School, New York, NY	9/20 - 9/22/2011	New York, NY	Teaching	Room, meals, transportation.
18.	NYU Law School, New York, NY	9/27 - 9/28/2011	New York, NY	Teaching	Room, meals, transportation.
19.	NYU Law School, New York, NY	10/4 - 10/6/2011	New York, NY	Teaching	Room, meals, transportation.
20.	NYU Law School, New York, NY	10/11 - 10/13/2011	New York, NY	Teaching	Room, meals, transportation.
21.	NYU Law School, New York, NY	10/18 - 10/19/2011	New York, NY	Teaching	Room, meals, transportation.
22.	UNLV Law School, Las Vegas, NV	10/25 - 10/28/2011	Las Vegas, NV	Lectures	Room, meals, transportation
23.	NYU Law School, New York, NY	11/8 - 11/10/2011	New York, NY	Teaching	Room, meals, transportation.
24.	NYU Law School, New York, NY	11/15 - 11/18/2011	New York, NY	Teaching	Room, meals, transportation.
25.	NYU Law School, New York, NY	11/28 - 12/2/2011	New York, NY	Teaching	Room, meals, transportation.
26.	NYU Law School, New York, NY	12/7 - 12/10/2011	New York, NY	Teaching	Room, meals, transportation.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1. _____

2. _____

3. _____

4. _____

5. _____

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1. _____

2. _____

3. _____

4. _____

5. _____

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Citibank Accounts		None	J	T					
2. Banknorth (now TD Bank)		None	J	T					
3. Justice Federal Credit Union		None	J	T					
4. Wells Fargo IRA		None	M	T					
5. Bank of America Accounts		None	J	T					
6. Vanguard Star Fund	A	Dividend	L	T					
7. Vanguard Star Fund	A	Dividend			Sold (part)	08/09/11	K		
8. Vanguard Prime Money Market	A	Dividend	L	T					
9. Vanguard Wellesley Fund	A	Dividend	L	T					
10. Vanguard Wellesley Fund	A	Dividend			Buy (add'l)	08/09/11	K		
11. Vanguard Inter-Term Bond Index	A	Dividend	J	T					
12.									
13.									
14.									
15.									
16.									
17.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Harry T. Edwards**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544