

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Stewart, Carl E.	2. Court or Organization United States Fifth Circuit Court of Appeals	3. Date of Report 05/06/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Chief Circuit Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address United States Courthouse 300 Pannin Street, Suite 5226 Shreveport, Louisiana 71101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	LSU Health Shreveport Feist-Weiller Cancer Center
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. North Texas Joint Inns of Court	01/12/2016 -- 01/13/2016	Dallas, Texas	Joint Inns of Court Meeting	Transportation, lodging, and meals
2. The Drake Bankruptcy American Inn of Court	01/26/2016 -- 01/27/2016	Atlanta, Georgia	Meeting Keynote Speaker	Transportation, lodging, and meals
3. American Inns of Court Foundation	02/11/2016 -- 02/13/2016	Alexandria, Virginia	Governance Task Force Meeting	Transportation, lodging
4. Fifth Circuit Bankruptcy Bench-Bar Association	02/24/2016 -- 02/26/2016	New Orleans, Louisiana	Meeting Keynote Speaker	Transportation, lodging, and meals
5. Delaware Joint Inns of Court	03/28/2016 -- 03/30/2016	Wilmington, Delaware	Meeting Keynote Speaker	Transportation, lodging, and meals

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6.	American Inns of Court Foundation	04/14/2016 -- 04/15/2016	Austin, Texas	Charter Presentation and American Inns of Court Summit	Transportation, lodging, and meals
7.	American Inns of Court Foundation	05/12/2016 -- 05/15/2016	Alexandria, Virginia	AIC Court Summit and Spring Board of Trustees Meeting	Transportation, lodging, and meals
8.	Ninth Circuit Joint Inns of Court	06/13/2016 -- 06/16/2016	Oakland, California	Meeting Keynote Speaker	Transportation, lodging, and meals
9.	Mississippi Bar Association	07/13/2016 -- 07/16/2016	Sandestin, Florida	Annual Meeting and Summer School for Lawyers and Judges	Transportation, lodging, and meals
10.	The Judge Wieland and The Judge Williams Joint Inns of Court	08/22/2016 -- 08/24/2016	Orlando, Florida	Luncheon Keynote Speaker	Transportation, lodging, and meals
11.	Just the Beginning--A Pipeline Organization	09/14/2016 -- 09/18/2016	New York, New York	JTB Meeting	Lodging
12.	American Inns of Court Foundation	10/01/2016 -- 10/05/2016	London, England	AIC Fall Visit to Middle Temple and Opening of the Legal Year	Transportation, lodging, and meals
13.	The William K. Thomas American Inn of Court	10/18/2016 -- 10/20/2016	Cleveland, Ohio	Meeting Keynote Speaker	Transportation, lodging, and meals
14.	Eastern District of Texas Bench/Bar Association	10/20/2016 -- 10/21/2016	Plano, Texas	Conference Panelist	Transportation, lodging, and meals
15.	Baton Rouge Bar Association	10/26/2016 -- 10/27/2016	Baton Rouge, Louisiana	Joint Inns Meeting Keynote Speaker	Lodging and meal
16.	American Inns of Court Foundation	11/03/2016 -- 11/06/2016	Washington, D.C.	AIC Meeting and Celebration of Excellence	Transportation, lodging, and meals
17.					
18.					
19.					
20.					
21.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1.	IRA (Post Office Employee Credit Union)	A	Interest	L	T				
2.	IRA (Post Office Employee Credit Union)	A	Interest	J	T				
3.									
4.									
5.									
6.									
7.									
8.									
9.									
10.									
11.									
12.									
13.									
14.									
15.									
16.									
17.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Carl E. Stewart

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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