

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) O'Connell, Beverly	2. Court or Organization United States District Court, Central District of California	3. Date of Report 10/14/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address United State District Court Central District 312 North Spring Street Los Angeles, CA 90012		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2012	Loyola Law School, agreement to serve as Adjunct Professor in 2013
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2013	Loyola Law School - teaching	\$6,600.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	Los Angeles County District Attorney's Office - Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. 3D Systems (DDD)	A	Dividend	K	T	Buy	05/28/13	K		
2. 3D Systems (DDD)	A	Dividend	K	T	Buy (add'l)	10/08/13	K		
3. Abbvie (ABBV)	B	Distribution	K	T	Buy	03/05/13	K		
4. Abbvie (ABBV)	B	Distribution	K	T	Buy (add'l)	09/03/13	K		
5. Advent Clay (AVK)	A	Dividend	J	T	Buy	07/02/13	J		
6. Alliance stock (NFJ)	B	Dividend	K	T	Buy	06/11/13	J		
7. Apple stock (AAPL)	B	Dividend	L	T	Buy	05/29/13	K		
8. Apple stock (AAPL)	B	Dividend	L	T	Buy (add'l)	06/14/13	K		
9. Am. Intel Group Stock	A	Dividend	J	T					
10. Atlas Pipeline Partners stock (APL)	B	Dividend	K	T					
11. BGC Partners stock (BGCP)	A	Dividend			Sold	04/25/13	K	B	
12. Bank of America stock (BAC)	A	Dividend	L	T	Buy	06/26/13	L		
13. Bank of America stock (BAC)	A	Dividend	L	T	Buy (add'l)	07/11/13	L		
14. Bank of America stock (BAC)	A	Dividend	L	T	Buy (add'l)	09/13/13	L		
15. Blackrock (C11)	B	Dividend	J	T	Buy	06/13/13	J		
16. Box Ships (TEV)	A	Dividend	J	T	Buy	11/20/13	J		
17. Brookfield AM (BAM)	A	Dividend	J	T	Buy	10/07/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 L = \$50,001 - \$100,000	E = \$15,001 - \$50,000 M = \$100,001 - \$250,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.001 - \$500,000.000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. CVR (CVPR)	A	Distribution	J	T	Buy	02/06/13	J		
19. CVR (CVPR)	A	Distribution	J	T	Buy (add'l)	03/27/13	J		
20. Calumet (CLMT)	B	Dividend	J	T	Buy	10/01/13	J		
21. Calamos Glo (CGO)	B	Dividend	K	T	Buy	06/11/13	K		
22. Calamos Glo (CGO)	B	Dividend	K	T	Buy (add'l)	08/21/13	K		
23. Calamos Glo (CHW)	A	Dividend	J	T	Buy (add'l)	06/06/13	J		
24. Calamos Strategic Total Return Fund (CGO)	B	Dividend	K	T	Buy	05/29/13	K		
25. Calamos Strategic Total Return Fund (CGO)	B	Dividend	K	T	Buy (add'l)	09/11/13	K		
26. CSX Corp. stock (CSX)	A	Dividend	J	T					
27. Cerovus (CVE)	A	Dividend	J	T	Buy	10/17/13	J		
28. Chesapeake Granite Water stock (CHKR)	B	Distribution			Sold	06/13/13	J	A	
29. Citigroup Inc. stock (C)	A	Distribution			Sold	01/29/13	K	A	
30. Clean Energy Fuels stock (CLNE)	A	Distribution			Sold	08/15/13	K	A	
31. Commonwealth REIT (CWH)	B	Dividend	K	T	Buy	05/15/13	K		
32. Commonwealth REIT (CWH)	B	Dividend	K	T	Buy (add'l)	06/14/13	K		
33. Commonwealth REIT (CWH)	B	Dividend	K	T	Buy (add'l)	06/19/13	K		
34. Commonwealth REIT (CWH)	B	Dividend	K	T	Buy (add'l)	09/13/13	K		

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35. Commonwealth REIT (CWH)	B	Dividend	K	T	Buy (add'l)	10/03/13	K		
36. CrossTex Energy stock (LP)	A	Distribution			Sold	06/10/13	K	D	
37. Davidson Multi-Corp. (DFMAX)	A	Dividend	K	T	Buy	10/15/13	K		
38. Davidson Multi-Corp. (DFMAX)	A	Dividend	K	T	Buy (add'l)	12/18/13	K		
39. Discovery Laboratories stock (DSCO)	A	Dividend	J	T	Buy	04/16/13	J		
40. Div & Ins Fd. (DNI)	C	Dividend	K	T	Buy	07/08/13	K		
41. Div & Ins Fd. (DNI)	C	Dividend	K	T	Buy (add'l)	09/05/13	K		
42. Div & Ins Fd. (DNI)	C	Dividend	K	T	Buy (add'l)	09/16/13	K		
43. EMC Corp. stock (EMC)	A	Dividend			Sold	02/06/13	J	A	
44. East West Bankcorp. stock (EWBC)	A	Dividend			Sold	05/13/13	J	B	
45. Easton Vance (EXG)	A	Dividend	J	T	Buy	06/17/13	K		
46. Enerplus Corp. stock (ERF)	A	Dividend			Sold	06/13/13	J	A	
47. First Trust (TDIV)	A	Dividend	J	T	Buy	09/17/13	J		
48. Ford Motor corp. stock (F)	A	Dividend	J	T	Buy	06/25/13	L		
49. Ford Motor corp. stock (F)	A	Dividend	J	T	Buy (add'l)	08/01/13	L		
50. Ford Motor corp. stock (F)	A	Dividend	J	T	Buy (add'l)	08/15/13	L		
51. Ford Motor corp. stock (F)	A	Dividend	J	T	Buy (add'l)	09/19/13	L		

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52. Frontier Communications stock	A	Dividend	J	T					
53. General Electric Corp. stock (GE)	B	Dividend	K	T					
54. Genuine Parts Co. stock (GPC)	B	Dividend			Sold	03/22/13	J	B	
55. Group Health (OPK)	A	Dividend	K	T	Buy	01/24/13	K		
56. Group Health (OPK)	A	Dividend	K	T	Buy (add'l)	02/08/13	K		
57. Group Health (OPK)	A	Dividend	K	T	Buy (add'l)	04/29/13	K		
58. Group Health (OPK)	A	Dividend	K	T	Buy (add'l)	09/04/13	K		
59. Group Health (OPK)	A	Dividend	K	T	Buy (add'l)	12/03/13	K		
60. HCP, Inc. stock (HCP)	B	Dividend	K	T	Buy	10/17/13	K		
61. Halozyme (HAYO)	B	Dividend	J	T	Buy	10/01/13	J		
62. Healthcare Tr. America stock (HTA)	A	Dividend	K	T	Buy	05/29/13	K		
63. Healthcare Tr. America stock (HTA)	A	Dividend	K	T	Buy (add'l)	06/12/13	K		
64. Healthcare Tr. America stock (HTA)	A	Dividend	K	T	Buy (add'l)	06/21/13	K		
65. Huntsman Corp. stock (HUN)	A	Dividend			Sold	09/20/13	K	C	
66. Intel corp. stock (INTC)	A	Dividend			Sold	06/13/13	J	C	
67. International Paper (IP)	A	Dividend	J	T	Buy	10/28/13	J		
68. I Shares ETF (EEM)	A	Dividend	K	T	Buy	10/01/13	K		

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69. Ishares (IEV)	A	Dividend	J	T	Buy	10/04/13	J		
70. Invest. RET stock (IRET)	A	Dividend	J	T	Buy	09/10/13	J		
71. Invest. RET stock (IRET)	A	Dividend	J	T	Buy (add'l)	09/11/13	J		
72. Janus Capital Group stock (JNS)	A	Dividend			Sold	07/22/13	J	A	
73. John Hancock Div. (HTD)	B	Dividend	K	T	Buy	10/01/13	K		
74. JP Morgan Chase Alt (AMJ)	A	Dividend	J	T	Buy	10/04/13	J		
75. Kayne Anderson stock (KED)	A	Dividend	K	T	Buy	09/04/13	J		
76. Leapfrog Ent. stock (LF)	A	Distribution			Sold	11/18/13	K	A	
77. Lexington Realty Trust stock (LXP)	B	Distribution			Sold	04/05/13	J	B	
78. Masco Corp. stock (MAS)	A	Dividend			Sold	02/12/13	J	B	
79. Merck & Co. stock (MRK)	A	Dividend			Sold	03/05/13	J	C	
80. Mercury Gen. Ins. Co. stock (MCY)	A	Dividend	J	T	Buy	03/27/13	J	A	
81. Mercury Gen. Ins. Co. stock (MCY)	A	Dividend	J		Merged (with line 80)	05/15/13	J	A	
82. Microsoft Corp. stock (MSFT)	A	Dividend	K	T	Buy	07/19/13	K		
83. Microsoft Corp. stock (MSFT)	A	Dividend	K	T	Buy (add'l)	10/24/13	K		
84. McQuarie First Trust (MFJ)	B	Dividend	J	T	Buy	10/09/13	J		
85. National Oilwell Vargo (NOV)	A	Dividend	K	T	Buy	10/07/13	K		

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86. National Tech. Sys. stock	B	Distribution			Sold	05/15/13	J	B	
87. NGP Capital Resources stock (NCPG)	A	Dividend			Sold	10/28/13	J	B	
88. NVIDIA Corp. stock (NVDA)	A	Dividend			Sold	12/11/13	J	A	
89. Nokia Corp. stock (NOK)	A	Dividend	J	T	Sold (part)	05/13/13	J	B	
90. Nokia Corp. stock (NOK)	A	Dividend	J	T	Sold (part)	09/13/13	J	B	
91. Northern Lights (TWOAX)	A	Dividend	K	T	Buy	09/12/13	K		
92. Northern Lights (TWOAX)	A	Dividend	K	T	Buy (add'l)	09/30/13	K		
93. Northern Lights (TWOAX)	A	Dividend	K	T	Buy (add'l)	12/27/13	K		
94. Novadale Tech (NVDQ)	B	Dividend	K	T	Buy	09/26/13	K		
95. Novadale Tech (NVDQ)	B	Dividend	K	T	Buy (add'l)	10/11/13	K		
96. Novavax stock (NVAX)	A	Dividend	K	T	Buy	09/20/13	K		
97. Novavax stock (NVAX)	A	Dividend	K	T	Buy (add'l)	10/30/13	K		
98. Novavax stock (NVAX)	A	Dividend	K	T	Buy (add'l)	12/16/13	K		
99. Nuverra Environmental	A	Distribution			Sold	08/20/13	J	A	
100. OCZ Technology stock (OCZTQ)	A	Dividend			Sold	04/15/13	J	A	
101. Pacific Coast Oil (ROYT)	C	Dividend	K	T	Buy	11/27/13	K		
102. Pacific Coast Oil (ROYT)	C	Dividend	K	T	Buy (add'l)	12/02/13	K		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. Petroleo Brasileiro (PBR)	A	Dividend	K	T	Buy	09/20/13	K		
104. Petroleo Brasileiro (PBR)	A	Dividend	K	T	Buy (add'l)	10/01/13	K		
105. Physicians Realty (DOC)	B	Dividend	K	T	Buy	09/17/13	K		
106. Physicians Realty (DOC)	A	Dividend	J	T	Buy (add'l)	09/23/13	K		
107. Powershares OFF (PXR)	A	Dividend	J	T	Buy	10/09/13	J		
108. Qualcomm Inc. stock (QCOM)	A	Dividend			Sold	07/08/13	J	A	
109. Quicksilver Corp. stock (ZQK)	B	Dividend			Sold	01/08/13	J	B	
110. Rayonier (RYN)	A	Dividend	K	T	Buy	10/25/13	K		
111. Realty, Inc. (O)	A	Dividend	K	T	Buy	10/07/13	K		
112. RiteAid Corp. stock (RAD)	B	Dividend			Sold	06/07/13	J	B	
113. Sandisk Corp. stock (SNDK)	B	Dividend			Sold	06/03/13	J	B	
114. Seadrill Ltd. stock (SDRL)	B	Dividend			Sold	06/19/13	K	C	
115. Sector Fin (XLF)	A	Dividend	J	T	Buy	09/25/13	J		
116. Sector Mtls ETC (XLB)	A	Dividend	J	T	Buy	09/23/13	J		
117. Senior Housing Properties stock (SNH)	C	Dividend	K	T	Buy	03/26/13	K		
118. Senior Housing Properties stock (SNH)	B	Dividend	K	T	Buy (add'l)	04/05/13	K		
119. Senior Housing Properties stock (SNH)	B	Dividend	K	T	Buy (add'l)	06/12/13	K		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Senior Housing Properties stock (SNH)	C	Dividend	K	T	Buy (add'l)	06/21/13	K		
121. Senior Housing Properties stock (SNH)	B	Dividend	K	T	Buy (add'l)	07/23/13	K		
122. Senior Housing Properties stock (SNH)	C	Dividend	K	T	Buy (add'l)	08/22/13	K		
123. Senior Housing Properties stock (SNH)	C	Dividend	K	T	Buy (add'l)	09/13/13	K		
124. Senior Housing Properties stock (SNH)	C	Dividend	K	T	Buy (add'l)	10/14/13	K		
125. Silver Bay (SBY)	A	Distribution			Sold	04/29/13	K	A	
126. Staples Corp. stock (SPLS)	A	Dividend			Sold	05/23/13	J	C	
127. Star Gas PT (SGU)	A	Dividend	J	T	Buy	10/17/13	J		
128. Starbucks Corp. stock (SBUX)	B	Dividend	M	T	Buy	09/04/13	K		
129. Starbucks Corp. stock (SBUX)	B	Dividend	M	T	Buy (add'l)	09/23/13	K		
130. Synovus Financial Corp. stock	A	Dividend	J	T					
131. TCP Capital Group stock (TCPC)	A	Dividend			Sold	10/18/13	K	A	
132. Torchlight En (TRCH)	A	Dividend	J	T	Buy	12/11/13	J		
133. Towerstream (TWER)	A	Dividend	K	T	Buy	08/15/13	K		
134. Towerstream (TWER)	A	Dividend	K	T	Buy (add'l)	08/21/13	K		
135. Towerstream (TWER)	A	Dividend	K	T	Buy (add'l)	09/16/13	K		
136. Transamerica MLP (TMLAX)	A	Dividend	J	T	Buy	10/14/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Transamerica MLP (TMLAX)	A	Dividend	J	T	Buy (add'l)	12/27/13	J		
138. Umpqua (UMP)	A	Dividend	K	T	Buy	10/02/13	K		
139. Uranium Energy Corp. stock (UEC)	C	Distribution	K	T	Sold (part)	01/08/13	K	C	
140. Uranium Energy Corp. stock (UEC)	C	Distribution	K	T	Sold (part)	03/13/13	K	C	
141. Uranium Energy Corp. stock (UEC)	C	Distribution	K	T	Sold (part)	06/27/13	K	C	
142. Vale SA APL (VALE)	B	Dividend	K	T	Buy	09/20/13	K		
143. Vale SA APL (VALE)	B	Dividend	K	T	Buy (add'l)	10/14/13	K		
144. Vale SA APL (VALE)	B	Dividend	K	T	Buy (add'l)	11/05/13	K		
145. Vanguard Emerging Market (VWO)	A	Dividend	K	T	Buy	09/26/13	K		
146. Vanguard Europe stock (VGK)	A	Dividend	J	T	Buy	10/02/13	J		
147. Vanguard PRIMECAP fund (VPMCX)	B	Dividend	K	T					
148. Vanguard Windso II Fund (VWNFX)	A	Dividend	J	T	Buy	06/28/13	J		
149. Vanguard Windso II Fund (VWNFX)	A	Dividend	J	T	Buy (add'l)	12/18/13	J		
150. Walt Disney (DIS)	A	Dividend	J	T	Buy	11/18/13	J		
151. Western Union (WV)	B	Distribution			Sold	04/29/13	J	B	
152. Weyerhaeuser (WY)	A	Dividend	J	T	Buy	11/05/13	J		
153. Windstream Corp. stock	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. CASTEFA municipal bond	A	Interest	J	T					
155. CASTPW municipal bond	B	Interest	J	T					
156. CASTCMNYS municipal bond	B	Interest	J	T					
157.									
158. Claremont Univ. Graduate bond	B	Interest	K	T					
159. Cajon Valley Elem. School municipal bond	A	Interest	J	T					
160. Cajon, CA municipal bond	A	Int./Div.	J	T					
161. California Public Works municipal bond	A	Interest	K	T					
162. Huntington Mem. Hosp. municipal bond	B	Interest	K	T					
163. Huntington Mem. Hosp. municipal bond	B	Interest	K	T					
164. Monrovia Library Proj. municipal bond	A	Interest	K	T					
165. Orange County Series A municipal bond	A	Interest	K	T					
166. Oxnard Gas municipal bond	A	Interest	K	T					
167. Ventura County Unified School Dist. municipal bond	A	Interest	K	T					
168. Woodside Elementary School municipal bond	A	Interest	K	T					
169. Chase Bank cash accounts	A	Interest	K	T					
170. Los Angeles County Horizons 2015 Tgt Date Fund		None			Sold	09/17/13	L	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. Los Angeles County Horizons Large Group Equity Fund		None			Sold	09/17/13	L	D	
172. LA County Savings Large Group Equity Fund		None			Sold	09/17/13	L	D	
173. LA County Savings Balance Fund		None			Sold	09/17/13	L	D	
174. LA County Savings Stable Value Fund		None			Sold	09/17/13	L	D	
175. LA County Savings Plan	A	Dividend	J	T					
176.									
177.									
178.									
179.									
180.									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, pages 9 and 11-14, lines 99, 125, 151, 154-156, and 160 should have been reported on the Nomination Report or the Nomination Report amendment filed in October 2013. They were erroneously omitted due to incomplete information. Items 170-175 were reported on the Nomination Report amendment filed in October 2013. They had been erroneously omitted from the Nomination Report.

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Name of Person Reporting

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Beverly O'Connell,

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544