

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) DELK, PAULETTE J.	2. Court or Organization TENNESSEE-BANKRUPTCY	3. Date of Report 05/12/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S.BANKRUPTCY JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 200 JEFFERSON MEMPHIS, TENNESSEE 38103		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	1987	TIAA-CREF; DISTRIBUTIONS AT AGE 70.5
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2016

SELF-EMPLOYED PHYSICIAN

2.

3.

4.

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	BANK OF AMERICA	BUSINESS CREDIT CARD	J
2.	BANK OF AMERICA	CREDIT CARD	J
3.	AMERICAN EXPRESS	CREDIT CARD	J
4.	SALLIE MAE	STUDENT LOANS	K
5.	CHASE	CREDIT CARD	J

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. SUNTRUST ACCOUNT	A	Interest	J	T					
2. ORION ACCOUNT	A	Dividend	J	T					
3. NORTHWESTERN MUTUAL WHOLE LIFE POLICIES	C	Interest	J	T					
4. REGIONS ACCOUNT		None	J	T					
5. BANK OF AMERICA		None	J	T					
6. NOTE FROM FANNIE HAYES	B	Interest	K	T					
7. TIAA-CREF PENSION- Traditional	D	Dividend	N	T					
8. BROKERAGE ACCOUNT #2									
9. -FIDELITY CASH RESERVES	A	Dividend	J	T					
10. -ISHARES INC CORE MSCI EMERGING MKTS ETF	A	Dividend	J	T					
11. -ISHARES RUSSELL MIDCAP GROWTH	A	Dividend	J	T					
12. -ISHARES RUSSELL MIDCAP VALUE ETF	A	Dividend	J	T					
13. -ISHARES RUSSELL 1000 GROWTH ETF	A	Dividend	J	T					
14. -ISHARES RUSSELL 1000 VALUE ETF	A	Dividend	K	T					
15. -ISHARES RUSSELL 2000 GROWTH ETF	A	Dividend	J	T					
16. -ISHARES RUSSELL 2000 VALUE ETF	A	Dividend	J	T					
17. -ISHARES TRUST 0-5 YR YIELD CORP BD	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. -ISHARES 1-3 YEAR CREDIT BOND ETF	A	Dividend	J	T					
19. -ISHARES 7-10 YEAR TREASURY BOND ETF	A	Dividend	J	T					
20. -JP MORGAN EXCHANGE TRADED FD DIV RTN	A	Dividend	J	T					
21. -JPMORGAN CHASE & CO ALERIAN MLP INDEX	A	Dividend	J	T					
22. -PIMCO ETF TR LOW DURATION ACTIVE ETF FD	A	Dividend	J	T					
23. -JPMORGAN HEDGED EQUITY CLASS A	A	Dividend	J	T					
24. -DOUBLELINE TOTAL RT BOND FD CL N	A	Dividend	J	T					
25. -OPPENHEIMER SENIOR FLOATING RATE CL Y	A	Dividend	J	T					
26. -PIMCO FUNDMNTL ADV ABSLT RTN STRAT INSL	A	Dividend	J	T					
27. BROKERAGE ACCOUNT #3									
28. -FIDELITY ADVISOR NEW INSIGHTS FUND CL A	B	Dividend	J	T					
29. AXA EQUITABLE #1 (IRA) (INVESTMENT GRADE BONDS)	A	Interest	K	T					
30. AXA EQUITABLE #2 (IRA) (INVESTMENT GRADE BONDS)	A	Interest	K	T					
31. AXA EQUITABLE #3 (TSA PS)	B	Dividend	L	T					
32. ARKANSAS DEFERRED COMPENSATION PLAN	B	Interest	K	T					
33. BROKERAGE ACCOUNT #5									
34. -SCHROEDERS MULTI-ASSET WORLD STRATEGIES	C	Dividend	L	T					

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35. -JP MORGAN STRATEGIC OPPORTUNITIES PORT	D	Dividend	M	T					
36. -T ROWE PRICE ASSET ALLOCATION	D	Dividend	M	T					
37. -JP MORGAN GLOBAL THEMATIC	D	Dividend	M	T					
38. -BLACK ROCK ISHARES ETF	D	Dividend	M	T					
39. -FRANKLIN TEMPLETON FF PLUS	D	Dividend	M	T					
40. -DEFENSIVE ASSET ALLOCATION	D	Dividend	M	T					
41. -SECURE VALUE ACCOUNT	B	Dividend	M	T					
42. BROKERAGE ACCOUNT #6									
43. -ING T. ROWE PRICE GROWTH EQ PORT	A	Dividend	K	T					
44. -FIDELITY VIP CONTRAFUND PORT	A	Dividend	K	T					
45. -THE GROWTH FUND OF AMERICA	A	Dividend	K	T					
46. -EUROPACIFIC GROWTH FUND	A	Dividend	K	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ PAULETTE J. DELK**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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