

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Dunn, Randall L.	2. Court or Organization U.S. Bankruptcy Court, OR	3. Date of Report 05/08/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address United States Bankruptcy Court 1001 S.W. 5th Av., #700 Portland, OR 97204		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	National Conference of Bankruptcy Judges	01/23/2012 - 01/25/2012	Washington, D.C.	NCBJ - Meeting with Chief Justice and AO Director	Privately funded by: National Conference of Bankruptcy Judges - 3 days
2.	National Conference of Bankruptcy Judges	03/18/2012 - 03/20/2012	Miami, FL	NCBJ Mid-Year Meeting - activity of professional association or civic association	Privately funded by: National Conference of Bankruptcy Judges - 3 days
3.	California Bankruptcy Forum	05/17/2012 - 05/20/2012	Palm Desert, CA	Non-FJC educational seminar or program	Privately funded by: California Bankruptcy Forum - 4 days
4.	National Association of Chapter 13 Trustees	07/11/2012 - 07/15/2012	New Orleans, LA	NACTT annual conference and educational program	Privately funded by: NACTT - 5 days

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5.	National Conference of Bankruptcy Judges	10/21/2012 - 10/27/2012	San Diego, CA	NCBJ Annual Conference - activity of professional association or civic association	Privately funded by: National Conference of Bankruptcy Judges - 7 days
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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. IBM (IRA)	A	Dividend	J	T					
2. GAIAM		None	J	T					
3. Schwab Money Market	A	Dividend	J	T					
4. Schwab Money Market Fund (IRA)	A	Dividend	J	T					
5. First Eagle Overseas Fund, fka Sogen Overseas Fund (IRA)	A	Dividend	J	T					
6. Euro Pacific Growth Fund (IRA) AEGFX	A	Dividend	J	T					
7. Biosolar, Inc.		None	J	T					
8. Emerson Electric (IRA)	A	Dividend	J	T	Sold (part)	03/08/12	J	A	
9. Tetra Tech, Inc. (IRA)		None	J	T					
10. Pimco Total Return Inst. (IRA)	A	Dividend	J	T					
11. Lingleaf Partners Fund (IRA)	A	Dividend	K	T					
12. I Shares TR Russell 1000 (IRA)	B	Dividend	K	T					
13. Spider Gold Trust (IRA)		None	J	T					
14. JP Morgan Core Bond (IRA)	A	Interest	J	T					
15. Schwab Fundamental U.S. - Large (IRA)	A	Dividend	J	T					
16. Schwab Fundamental U.S. - Small (IRA)	A	Dividend	J	T					
17. I Shares Russell 1000 Val (IRA) IWB	A	Dividend	K	T	Sold (part)	02/01/12	K	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. I Shares MSCIEMU (IRA)	A	Dividend			Sold	03/05/12	J	A	
19. Consolidated Edison (IRA)	A	Dividend	J	T	Sold (part)	10/01/12	J	A	
20. Spider Gold Trust		None	J	T					
21. Wells Fargo (Savings Account)	A	Interest	J	T					
22. Honeywell	A	Dividend	J	T	Sold (part)	09/14/12	J	A	
23. Boeing (IRA)	A	Dividend	J	T					
24. Diana Container Ship-DCIX (IRA)		None	J	T					
25. Verizon-VZ (IRA)	A	Dividend	J	T	Sold (part)	09/27/12	J	A	
26. Pimco Foreign Bond-PFORX (IRA)	A	Dividend			Sold	08/01/12	K	B	
27. Pimco High Yield-PHIYX (IRA)	A	Dividend	K	T					
28. Schwab Funda. Emer - SFENX (IRA)	A	Dividend	J	T					
29. Schwab Funda. Int'l - SFNNX (IRA)	A	Dividend	J	T					
30. Schwab Funda. Int'l - SFILX (IRA)	A	Dividend	J	T					
31. I Shares MSCI-Japan - EWJ (IRA)	A	Dividend			Sold	12/05/12	J	A	
32. I Shares CoreTotal - AGG (IRA)	A	Dividend	K	T					
33. I Shares Russell 2000 - IWO (IRA)	A	Dividend			Sold	04/02/12	K	C	
34. Apple		None	J	T	Sold (part)	02/28/12	J	A	

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35. Caterpillar	A	Dividend	J	T					
36. EOG		None	J	T	Sold (part)	10/01/12	J	A	
37. NUE	A	Dividend			Sold	11/19/12	J	A	
38. BK-BARODA-NY CD (IRA)	A	Interest			Sold	10/15/12	J	A	
39. CLNE (IRA)		None			Sold	10/26/12	J	A	
40. CY (IRA)	A	Dividend	J	T					
41. HAIN (IRA)		None	J	T	Sold (part)	08/23/12	J	B	
42. WY (IRA)	A	Dividend	J	T					
43. SPDR Engy Select (IRA)	A	Dividend			Sold	10/04/12	J	A	
44. HABDX (IRA)	A	Dividend	J	T	Buy	07/31/12	K		
45. Vanguard Emer Mkt VWO (IRA)	A	Dividend	J	T	Buy	12/10/12	J		
46. I Shares Russell IWS (IRA)	A	Dividend	J	T	Buy	04/02/12	K		
47. I Shares Index Fund EFA (IRA)	A	Dividend	J	T	Buy	03/05/12	J		
48. SPDR Consumer Fund XLY (IRA)	A	Dividend	J	T	Buy	09/10/12	J		
49. I Shares Russell Midcap IWS (IRA)	A	Dividend	K	T	Buy	01/15/12	K		
50. I Shares EAFE Fund Index EFA (IRA)	A	Dividend	J	T	Buy	01/15/12	J		
51. KLA Tencor KLAC (IRA)	A	Dividend	J	T	Buy	01/31/12	J		

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52. Tibco Software TIBX (IRA)		None	J	T	Buy	04/02/12	J		
53. Fortinet FTNT		None	J	T	Buy	02/14/12	J		
54. CVS	A	Dividend	J	T	Buy	11/19/12	J		
55.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Randall L. Dunn**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544