

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) KAGAN, ELENA	2. Court or Organization SUPREME COURT	3. Date of Report 05/4/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) ASSOCIATE JUSTICE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address 1 FIRST STREET NE WASHINGTON, D.C. 20543		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the <i>NONE</i> box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Visiting Professor 9/2011	Harvard Law School
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

KAGAN, ELENA

Date of Report

05/4/2012

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2011	President and Fellows of Harvard College - Teaching	\$15,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Harvard Law School	April 8-10, 2011	Cambridge, MA	Speech	Airfare, hotel, meals
2. University of New Mexico School of Law	May 13-14, 2011	Albuquerque, NM	Commencement Speech	Airfare, hotel, meals
3. New York University Law School	July 11-15, 2011	Argentina	Conference	Airfare, hotel, meals
4. Aspen Institute	July 29-Aug 4, 2011	Aspen, CO	Speech, Conference	Airfare, hotel, meals
5. Harvard Law School	Sept 4-11, 2011	Cambridge, MA	Teaching	Airfare, hotel, meals
6. National Conference of Bankruptcy Judges	Oct 14-15, 2011	Tampa, FL	Speech	Airfare, hotel, meals

FINANCIAL DISCLOSURE REPORT

Page 3 of 8

Name of Person Reporting	Date of Report
KAGAN, ELENA	05/4/2012

7.	American College of Trial Lawyers	Oct 20-23, 2011	Palm Springs, CA	Speech	Airfare, hotel, meals
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FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

KAGAN, ELENA

Date of Report

05/4/2012

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

Name of Person Reporting

KAGAN, ELENA

Date of Report

05/4/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. CAMBRIDGE SAVINGS BANK ACCT	A	Interest			Merged (with line 2)	7/29/11	N		
2. JUSTICE FEDERAL CREDIT UNION	A	Interest	M	T					
3. FRANKLIN TEMPLETON MUTUAL BEACON FUND	B	Dividend	K	T					
4. VANGUARD PRIME MONEY MKT FUND	A	Dividend	K	T					
5. VANGUARD TOTAL STOCK MKT INDEX ADM	C	Dividend	M	T					
6. UNIV. OF CHICAGO RET - TIAA TRADITIONAL	B	Interest	K	T					
7. UNIV. OF CHICAGO RET - CREF STOCK		None	K	T					
8. UNIV. OF CHICAGO RET - CREF BOND MARKET VIABILITY ANNUITY	B	Interest	K	T					
9. UNIV. OF CHICAGO RET. - VANGUARD INT-TERM BOND	B	Dividend	K	T					
10. UNIV. OF CHICAGO RET. - VANGUARD WINDSOR FUND	A	Dividend	K	T					
11. IRA # 1 - FIDELITY MAGELLAN FUND	B	Dividend	L	T					
12. IRA # 1 - FIDELITY PURITAN FUND	B	Dividend	L	T					
13. IRA # 1 - FIDELITY INTERMED BOND FUND	A	Dividend	L	T					
14. IRA # 2 - VANGUARD TOTAL BOND MKT INDEX	A	Dividend	K	T					
15. IRA # 2 - VANGUARD FED MONEY MKT FUND	A	Dividend	J	T					
16. IRA #2 - VANGUARD WELLESLEY INC FUND	B	Dividend	K	T					
17. IRA # 2 - VANGUARD 500 INDEX FUND	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 8

Name of Person Reporting

KAGAN, ELENA

Date of Report

05/4/2012

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18. IRA # 2 - VANGUARD INTL EXPLORER FUND	A	Dividend	J	T					
19. DREYFUS GNMA FUND - CLASS Z	A	Dividend	J	T					
20. VANGUARD - GNMA FUND INVESTOR SHARES	A	Dividend	J	T					
21. CHARLES SCHWAB MONEY MARKET ACCOUNT	A	Dividend	L	T					
22. SCHWAB S&P 500 INDEX FUND	B	Dividend	L	T					
23.									
24.									
25.									
26.									
27.									
28.									
29.									
30.									

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FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

KAGAN, ELENA

Date of Report

05/4/2012

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

KAGAN, ELENA

Date of Report

05/4/2012

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ ELENA KAGAN**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544