

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Freeman, Debra C.	2. Court or Organization U.S. District Court	3. Date of Report 05/05/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address U.S. Courthouse 500 Pearl Street Room 525 New York, NY 10007		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Adjunct Professor	St. John's University School of Law
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME

(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Federal Direct Loan Program (PLUS Loan)	Student loan	L
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Chase Bank account	A	Interest	J	T					
2. Chase Bank (business operating account)	A	Interest	K	T					
3. Citibank Account	A	Interest	J	T					
4. Fidelity New York Tax Free Money Market	A	Dividend	J	T					
5. Fidelity Spartan US Treasury	A	Dividend	J	T					
6. Fidelity US Government Reserves, IRA	A	Dividend	J	T					
7. Fidelity Spartan Market Index	B	Dividend	L	T					
8. Fidelity Cash Reserves (rollover IRA)	A	Dividend	K	T					
9. Vanguard New York Tax Free Money Market	A	Dividend	K	T					
10. Treasury Direct	A	Interest	L	T					
11. Treasury Direct	A	Interest	K	T					
12. Schwab Stable Value Fund (IRA)		None	N	T					
13. Schwab Institutional Large Cap Value Trust (IRA)		None	K	T					
14. TCM Small Cap Growth Fund (IRA)		None			Sold	12/12/11	J	B	
15. Janus Triton (IRA)	A	Dividend	J	T	Buy	12/12/11	J		
16. Dodge and Cox International Stock (IRA)	A	Dividend	K	T					
17. Brokerage Account #1 Charles Schwab	A	Interest	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Brokerage Account #2 Charles Schwab Money Market (IRA)	A	Interest	J	T					
19. Hellenic Telecommunications (Common) (IRA)	A	Dividend	J	T					
20. IShares EAFE Index (IRA)	A	Dividend	K	T					
21. Swiss Helvetia Fund (IRA)	A	Dividend	J	T					
22. Brokerage Account #3 Charles Schwab Money Market (IRA)	A	Interest	M	T					
23. Liberty Media Class A (Common) (IRA)		None	J	T					
24. Liberty Media Class A (Common) (IRA)		None			Sold (part)	06/02/11	J	B	
25. US Treasury Bill (IRA)	A	Interest			Buy	01/18/11	K		
26. US Treasury Bill (IRA)		None			Redeemed	04/21/11	K		
27. General Electric (Common) (IRA)	A	Dividend	J	T					
28. General Electric (Common) (IRA)	A	Dividend	J	T	Buy (add'l)	08/04/11	J		
29. General Electric (Common) (IRA)	A	Dividend	J	T	Buy (add'l)	08/08/11	J		
30. SPDR Gold (EFT) (IRA)		None			Sold	04/06/11	J	B	
31. New York Times (Common) (IRA)		None			Buy	11/23/11	J		
32. New York Times (Common) (IRA)		None			Sold	12/02/11	J	A	
33. Brokerage Account #4 Charles Schwab Money Market Account	A	Interest	J	T					
34. General Electric (Common)	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. Pfizer (Common)	C	Dividend	L	T					
36. Brokerage Account #5 Charles Schwab Money Market (IRA)	A	Interest	K	T					
37. Comcast Class A (Common) (IRA)	A	Dividend	K	T					
38. Merck (Common) (IRA)	A	Dividend	K	T					
39. Mylan (Common) (IRA)		None	J	T					
40. Mylan (Common) (IRA)		None			Buy (add'l)	11/17/11	J		
41. Pfizer (Common) (IRA)	A	Dividend			Buy	08/08/11	J		
42. Pfizer (Common) (IRA)		None			Sold	11/08/11	J	B	
43. Brokerage Account # 6 Charles Schwab Money Market	A	Interest	J	T					
44. Merck (Common)	A	Dividend	J	T					
45. Monsanto (Common)	A	Dividend	K	T					
46. Monsanto (Common)		None			Sold (part)	01/03/11	J	B	
47. Monsanto (Common)		None			Sold (part)	05/31/11	J	B	
48. Oakmark Fund	A	Dividend	J	T					
49. Mutual Beacon Fund	A	Dividend	K	T					
50. Mutual Discovery Fund	B	Dividend	K	T					
51. New York Times Class A (Common)		None	J	T					

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52. Calgon Carbon (Common)		None	J	T					
53. Walt Disney Company (Common)	A	Dividend	J	T					
54. Con Edison (Common)	A	Dividend	K	T					
55. NY Coll. Sav. Prog. Acct #1 (Mod. Age-Based Income Portfol)		None	K	T					
56. NY Coll. Sav. Prog. Acct #2 (Mod Age-Based Conserv) Growth)		None	K	T					
57. NY Coll Sav, Prog Acct #2 (Mod Age-Based Income Porf.		None	J	T	Buy (add'l)	5/3/11	J		
58. NY Coll Sav, Prog Acct #2 (Mod Age-Based Income Porf.		None			Sold (part)	3/10/11	J	B	
59. NY Coll Sav, Prog Acct #2 (Mod Age-Based Income Porf.		None			Sold (part)	9/20/11	J	A	
60. U.S. Savings Bonds, Series EE		None	K	T					
61. Fidelity Municipal Income	A	Dividend	J	T					
62. Fidelity New York Municipal Income	A	Dividend	J	T					
63. Vanguard Global Equity Fd (Inherited IRA)		None	J	T					
64. Vanguard Wellington Inv (Inherited IRA)	A	Dividend	K	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Regarding the holding of Mylan (Common) (IRA) reported in Row 39: This holding was previously inherited [REDACTED], but inadvertently omitted from my prior reports.

Regarding lines 61 and 62: In 2011, upon the death [REDACTED], I received as a partial distribution from her estate the accounts listed on these two lines.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Debra C. Freeman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544