

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Keyes, Jeffrey J	2. Court or Organization U.S. District Court; District of Minnesota	3. Date of Report 05/17/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge -- Full-time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address U.S. District Court 316 North Robert Street St. Paul, MN 55101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2015

University of Minnesota -- Salary

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. US Bank Savings Account	A	Interest	K	T					
2. US Bank Checking Account	A	Interest	J	T					
3. Signature Bank Money Market Account	A	Interest	K	T					
4. Signature Checking Account	A	Interest	J	T					
5. IBM Corp Com	A	Dividend	J	T					
6. Jensen Quality Growth Fund Class	D	Dividend	K	T	Sold (part)	04/13/15	M	E	
7. SCHWAB ADV CASH RESERVE	A	Dividend	L	T					
8. LOOMIS SAYLES BOND FUND	D	Dividend	M	T	Buy (add'l)	08/04/15	L		
9. LOOMIS SAYLES BOND FUND		None			Sold (part)	09/16/15	L	A	
10. SCHWAB FUNDAMENTAL US SMALL MID	D	Dividend	M	T	Buy (add'l)	02/04/15	L		
11. SCHWAB FUNDAMENTAL US SMALL		None			Sold (part)	12/11/15	K	D	
12. WISDOMTREE EMERGING MKT	C	Dividend	L	T	Buy (add'l)	06/14/15	K		
13. WISDOMTREE EMERGING MKT		None			Sold (part)	07/31/15	L	A	
14. BAIRD MID CAP FUND INST	A	Dividend	K	T	Sold (part)	06/02/15	K	D	
15. ISHARES RUSSELL MIDCAP	A	Dividend	L	T	Sold (part)	04/15/15	J	C	
16. ISHARES TR RUSSELL 1000	A	Dividend			Buy (add'l)	02/16/15	K		
17. ISHARES TR RUSSELL 1000		None			Sold	05/05/15	L	D	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. ISHARES TRUST S&P 500	B	Dividend	M	T	Buy (add'l)	02/06/15	J		
19. ISCHARES TR RUSSELL 2000 ETF	C	Dividend	M	T	Buy (add'l)	02/06/15	J		
20. ISHARES MSCI EMU ETF	B	Dividend	L	T	Buy (add'l)	08/04/15	J		
21. ISHARES MSCI EMU ETF		None			Sold (part)	03/31/15	J	A	
22. BLACKROCK NATIONAL MUNI	D	Dividend	M	T					
23. BLACKROCK STRAT INCM	C	Dividend	M	T	Buy (add'l)	03/26/15	L		
24. NEUBERGER BERMAN CORE BOND FUND	B	Dividend	L	T	Buy (add'l)	10/15/15	K		
25. JP MORGAN STRATEGIC INCOME	A	Dividend			Sold	02/04/15	L	A	
26. ABERDEEN TOTAL RETURN BOND FUND	C	Dividend	M	T	Buy (add'l)	12/17/15	J		
27. ABERDEEN TOTAL RETURN BOND		None			Sold (part)	09/16/15	K	A	
28. ARTISAN INTL SMALL CAP	B	Dividend	L	T	Sold (part)	03/27/15	J	A	
29. GOODHAVEN FUND	A	Dividend	M	T	Buy (add'l)	08/04/15	L		
30. T ROWE PRICE EUROPEAN FUND	C	Dividend	L	T	Sold (part)	06/22/15	K	A	
31. AQR DIVERSIFIED	A	Dividend			Buy (add'l)	06/22/15	J		
32. AQR DIVERSIFIED		None			Sold	10/15/15	M	A	
33. FIRST EAGLE OVERSCEAS FUND	C	Dividend	M	T	Sold (part)	06/22/15	J	A	
34. IVA INTL FUND CK I	E	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. OAKMARK SELECT FUND	A	Dividend	M	T					
36. CENTURY SMALL CAP	A	Dividend			Sold	02/04/15	L	A	
37. MATTHEWS ASIAN GROWTH & INCOME FUND	A	Dividend			Sold	09/10/15	L	A	
38. AQR LARGE CAP MULTI STY	A	Dividend	L	T	Buy	09/16/15	L		
39. ISHARES MSCI ALL COUNT	A	Dividend	K	T	Buy	09/08/15	K		
40. ABERDEEN SMALL CAP FUND	A	Dividend	J	T	Buy	12/21/15	J		
41. ISHARES TR S&P SMALL CAP	A	Dividend	J	T	Buy	12/15/15	J		
42. MATTHEWS PACIFIC TIGER	C	Dividend	K	T	Buy	09/10/15	K		
43. ISHARES MSCI USA QUALITY	B	Dividend	M	T	Buy	08/06/15	M		
44.		None			Sold (part)	11/16/15	J	A	
45. SCHW US BRD MKT ETF	B	Dividend	L	T	Buy	05/05/15	L		
46. ISHARES GOLD TRUST	A	Dividend			Buy	02/06/15	L		
47.		None			Sold	08/06/15	L	A	
48. ISHARES CORE MSCI EMERGING M	A	Dividend	K	T	Buy	08/28/15	K		
49. ISHARES MSCI EMERGING MARKETS	A	Dividend			Buy	08/05/15	L		
50.		None			Sold	08/28/15	L	A	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Jeffrey J Keyes**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544