

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Jones, Edith H.	2. Court or Organization 5th Circuit Court of Appeals	3. Date of Report 7/30/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Chief Circuit Judge, Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/2011 to 12/31/2011
7. Chambers or Office Address 12505 U.S. Courthouse 515 Rusk Houston, TX 77002-2600		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Board Member	Sam Houston Area Council, Boy Scouts of America
2.	Advisory Board Member	Masters in Bankruptcy Program, St. John's Law School, New York
3.	President	Garland Walker Inn of Court
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2011	Andrews & Kurth, LLP - salary
2. 2011	Prudential Ins. Co., Pension Annuity, Occidental Petrol
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. The Federalist Society	02/10-12/2011	San Francisco, CA	Speeches at Law Schools	Lodging, Meals, Travel
2. University of Richmond	05/12-14/2011	Richmond, VA	Attend Conference	Lodging Meals, Travel
3. University of Texas School of Law	06/3-4/2011	Austin, TX	Speech	Mileage
4. The Federalist Society	06/10-12/2011	Napa Valley, CA	Attend Conference	Lodging, Meals, Travel
5. St. Johns University	10/23-26/2011	New York, NY	Speech/Panel Discussion	Lodging, Meals, Travel
6. The Federalist Society	11/10-12/2011	Washington, DC	Panel Discussion Leader	Travel, Meals, Lodging

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7.	SMU Dedman School of Law	11/11/11	Washington, DC	Panel Speaker-Appellate Judges Education Institute	Travel, Meals split with Federalist Society
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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. BANK ACCOUNTS									
2. Bank of America - Accounts	A	Interest	L	T					
3. J.P. Morgan Chase Bank - Accounts	A	Interest	K	T					
4. STOCKS, BONDS & NOTES									
5. AT&T Common Stock	A	Dividend	J	T					
6. McDonald's Corp. - common	A	Dividend	J	T					
7. Invesco Comstock A (ACSTX) (fmr. Invest. Co. of America)	A	Dividend	K	T					
8. Dow Chemical - common	A	Dividend	J	T					
9. Exxon Mobil Corp	B	Dividend	M	T					
10. Nestle - common	B	Dividend	L	T					
11. Newmont Gold - common	A	Dividend	J	T					
12. Aim Constellation Fund A (CSTGX) Invesco see Note Part VIII	A	Dividend	K	T					
13. Putnam New Opportunity Fund A	A	Dividend	J	T					
14. Intel Corporation	A	Dividend	J	T					
15. Procter & Gamble	B	Dividend	L	T					
16. Newmont Gold - common	A	Dividend	J	T					
17. Morgan Stanley Bank	A	Interest	N	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Morgan Stanley Assets Acct	A	Interest	J	T					
19. Pepsico	A	Dividend	K	T					
20. NCR		None	J	T					
21. MS Global Infrastructure Q	B	Interest	K	T					
22. Am. Inv. Co. (AIVSX)	B	Dividend	L	T					
23. Franklin Insd. Tax Free Fund	B	Interest	K	T					
24. Mut. Shares Class B Tax Free	A	Dividend	K	T					
25. GPR Energy, LLC	A	None	K	U					
26. Syngenta AG ADR		None	L	T					
27. Toyota	A	Dividend	K	T					
28. Magellan Midstream Partners	C	Dividend	L	T					
29. Archer Daniels Midland	A	Dividend	K	T					
30. Verizon Comm	A	Dividend	J	T					
31. Fidelity Fund (FFFCX)	C	Int./Div.	M	T					
32. Ford Motor Credit Co.	C	Interest	M	T					
33. Smucker J M Co (X)	A	Dividend	J	T			J		
34. Columbia Funds (CFSAX) (X)	A	Dividend	J	T	Sold (part)	12/06/11	J	A	

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35. Wash Mut Fund (AWSHX) (X)	A	Dividend	J	T					
36. Invesco STIT Money Mkt (X)	A	Dividend	J	T					
37. Mainstay Convert. Fund (X)	A	Dividend	J	T					
38. Mainstay Lg Cap Growth Fund (X)	A	Dividend	J	T					
39. MFS Value Fund (X)	A	Dividend	J	T					
40. Thornbury Int'l. Fund (X)	A	Dividend	J	T					
41. Ivy High Income Fund (X)	A	Interest	J	T					
42. Pimco Total Rtn Inst'l. (X)	B	Interest	K	T					
43. Templeton Global Bond Fund (X)	A	Interest	J	T					
44. Vanguard Short Term Inv. Grade (X)	A	Interest	J	T					
45. Centurylink		None	J	T	Distributed	04/06/11	J	B	
46. Centurylink		None	J	T	Sold	05/06/11	J	B	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Section VII. Investments and Trusts

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Edith H. Jones**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544