

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Altonaga, Cecilia M.	2. Court or Organization U.S. District Court, So. FL	3. Date of Report 05/09/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge, active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address Wilkie D. Ferguson Jr. U.S. Courthouse 400 North Miami Avenue, Room 12-2 Miami, FL 33128-1810		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1. 2017	Miami-Dade County, Deferred Compensation Plan
2. _____	_____
3. _____	_____

FINANCIAL DISCLOSURE REPORT

Page 2 of 20

Name of Person Reporting

Altonaga, Cecilia M.

Date of Report

05/09/2018

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2017 _____	Holland & Knight, LLP-Partner/Employee
2. 2017 _____	Mercy & Charlie, LLC-Manager
3. _____	_____
4. _____	_____

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. _____	_____	_____	_____	_____
2. _____	_____	_____	_____	_____
3. _____	_____	_____	_____	_____
4. _____	_____	_____	_____	_____
5. _____	_____	_____	_____	_____

FINANCIAL DISCLOSURE REPORT

Page 3 of 20

Name of Person Reporting
Altonaga, Cecilia M.

Date of Report
05/09/2018

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 20

Name of Person Reporting

Altonaga, Cecilia M.

Date of Report

05/09/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)		B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure		(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1.	MiamiDade County Deferred Comp., Fidelity Contrafund	C	Dividend			Sold	09/30/17	L	B	
2.	MiamiDade County Deferred Comp., Nationwide Fixed	B	Dividend	K	T					
3.	MiamiDade County Deferred Comp., Neu Ber Eq Soc Resp	A	Dividend			Sold	09/30/17	J	A	
4.	MiamiDade County Deferred Comp., *AmFds AMCAP R6	A	Dividend	M	T	Buy	01/01/17	M		
5.	Citifunds Checking	B	Interest	N	T					
6.	Alliance Bernstein Relative Value Fund Class A	D	Dividend	M	T					
7.		E	Distribution							
8.	Holland & Knight Profit Sharing Plan, Oakmark Eq & Inc Fund Class I	A	Dividend			Sold	04/13/17	O	A	
9.	Holland & Knight Profit Sharing Plan, Vang TOT BD Mkt ADM	D	Dividend			Sold	10/06/17	O	A	
10.	Holland & Knight Profit Sharing Plan, Vang TOT BD Mkt Inst	C	Dividend	O	T	Buy	10/06/17	O		
11.	Holland & Knight Profit Sharing Plan, PRU Total RTN BD Q	D	Dividend	N	T					
12.	Holland & Knight Profit Sharing Plan, FID Freedom K 2010	E	Dividend			Sold	09/08/17	P1	A	
13.	Holland & Knight Profit Sharing Plan, FID Freedom 2010 K	E	Dividend	P1	T	Buy	09/08/17	P1		
14.	Holland & Knight Profit Sharing Plan, AF Balanced R6	E	Dividend	O	T	Buy	04/13/17	O		
15.	(NH) UNIQUE College Investing Plan, NH College Port. (Fidelity Funds)	B	Dividend	K	T					
16.	(NH) UNIQUE College Investing Plan, NH College Port. (Fidelity Funds)	B	Dividend	K	T					
17.	(NH) UNIQUE College Investing Plan, NH College Port. (Fidelity Funds)	B	Dividend	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 5 of 20

Name of Person Reporting

Altonaga, Cecilia M.

Date of Report

05/09/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. (NH) UNIQUE College Investing Plan, NH College Port.(Fidelity Funds)	B	Dividend	K	T					
19. Bank of America CD	A	Interest			Closed	04/27/17	M		
20. Holland & Knight Equity Partner Fund		None	N	T					
21. Holland & Knight Equity Partner Fund		None	N	T					
22. Bank of America Checking	A	Interest	J	T					
23. Citigold Savings	B	Interest	P1	T					
24. Citi Private Bank Checking		None			Closed	04/27/17	N		
25. Citi Private Bank Checking		None			Closed	04/27/17	J		
26. Citi Private Bank Checking		None			Closed	04/27/17	J		
27. Citi Private Bank Checking		None			Closed	04/27/17	J		
28. Florida Prepaid 4-Year University		None	J	T					
29. Bank of America Checking	A	Interest	J	T	Open	01/01/17	J		
30. Ameriprise Insured Money Market	A	Interest	J	T					
31. Citigroup Inc New	A	Dividend	K	T					
32. General Electric Company	B	Dividend	K	T					
33. Ameriprise Insured Money Market	A	Interest	J	T					
34. American Centruy Mid Cap Value INVS	A	Dividend			Buy	1/1/17	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 6 of 20

Name of Person Reporting

Altonaga, Cecilia M.

Date of Report

05/09/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35.					Sold	11/16/17	J	A	
36. American Centruy Mid Cap Value CL A	B	Dividend	K	T	Sold (part)	01/20/17	J	A	
37. Blackrock High Yield Bond I	A	Dividend			Buy	01/01/17	K		
38.					Sold	08/23/17	K	A	
39. Blackrock High Yield Bond Investor CL A	A	Dividend			Sold	01/20/17	K	A	
40. Blackrock Strategic Income Opptys Investor CL A	A	Dividend			Sold	01/20/17	J	A	
41. Blackrock Strategic Income Opptys I	A	Dividend	K	T	Buy	01/01/17	K		
42. Active Portfolio Multi Manager Small Cap Equity CL A		None			Sold	01/20/17	J	A	
43. Active Portfolio Multi Manager Growth CL A		None			Sold	01/20/17	K	A	
44. Active Portfolio Multi Manager Value CL A	B	Dividend			Sold	01/20/17	J	A	
45. Multi Manager Value Strategies CL Z	B	Dividend	K	T	Buy	01/01/17	K		
46.					Sold (part)	01/20/17	J	A	
47. Multi Manager Total Return Bond Strategies CL Z	A	Dividend	K	T	Buy	01/01/17	K		
48. Active Portfolio Multi Manager Directional ALT CL A	A	Dividend			Sold	01/20/17	J	A	
49. Multi Manager Small Cap Equity Strategies CL Z	B	Dividend	K	T	Buy	01/01/17	K		
50. Multi Manager Growth Strategies CL Z	C	Dividend	K	T	Buy	01/01/17	K		
51.					Sold (part)	08/23/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A –\$1,000 or less F –\$50,001 - \$100,000	B –\$1,001 - \$2,500 G –\$100,001 - \$1,000,000	C –\$2,501 - \$5,000 H1 –\$1,000,001 - \$5,000,000	D –\$5,001 - \$15,000 H2 –More than \$5,000,000	E –\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J –\$15,000 or less N –\$250,001 - \$500,000 P3 –\$25,000,001 - \$50,000,000	K –\$15,001 - \$50,000 O –\$500,001 - \$1,000,000	L –\$50,001 - \$100,000 P1 –\$1,000,001 - \$5,000,000 P4 –More than \$50,000,000	M –\$100,001 - \$250,000 P2 –\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q –Appraisal U –Book Value	R –Cost (Real Estate Only) V –Other	S –Assessment W –Estimated	T –Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 20

Name of Person Reporting

Altonaga, Cecilia M.

Date of Report

05/09/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52.					Sold (part)	11/16/17	J	A	
53. Multi Manager Directional Alternative Strategies CL Z	A	Dividend	K	T	Buy	01/01/17	K		
54. iShares MSCI EAFE Value ETF	A	Dividend	K	T	Buy	01/01/17	K		
55. JP Morgan Large Cap Growth CL A		None			Sold	01/20/17	J	A	
56. JP Morgan Large Cap Growth CL I	C	Distribution	K	T	Buy	01/01/17	K		
57.					Sold (part)	04/19/17	J	A	
58.					Sold (part)	11/16/17	J	A	
59. Western Asset Intermediate Term Municipal CL A	A	Dividend			Sold	04/30/17	L	A	
60. Western Asset Intermediate Term Municipal CL I	B	Dividend	L	T	Buy	01/01/17	L		
61. MFS Value CL A		None			Sold	01/20/17	J	A	
62. MFS Municipal High Income CL A	A	Dividend			Sold	01/20/17	J	A	
63. MFS Intl Value CL I	A	Dividend	K	T	Buy	01/01/17	K		
64.					Sold (part)	04/19/17	J	A	
65.					Sold (part)	08/23/17	J	A	
66.					Sold (part)	11/16/17	J	A	
67. MFS Value CL I	A	Dividend	K	T	Buy	01/01/17	K		
68.	A	Distribution			Sold (part)	04/19/17	J	A	

1. Income Gain Codes:	A –\$1,000 or less	B –\$1,001 - \$2,500	C –\$2,501 - \$5,000	D –\$5,001 - \$15,000	E –\$15,001 - \$50,000
(See Columns B1 and D4)	F –\$50,001 - \$100,000	G –\$100,001 - \$1,000,000	H1 –\$1,000,001 - \$5,000,000	H2 –More than \$5,000,000	
2. Value Codes	J –\$15,000 or less	K –\$15,001 - \$50,000	L –\$50,001 - \$100,000	M –\$100,001 - \$250,000	
(See Columns C1 and D3)	N –\$250,001 - \$500,000	O –\$500,001 - \$1,000,000	P1 –\$1,000,001 - \$5,000,000	P2 –\$5,000,001 - \$25,000,000	
	P3 –\$25,000,001 - \$50,000,000		P4 –More than \$50,000,000		
3. Value Method Codes	Q –Appraisal	R –Cost (Real Estate Only)	S –Assessment	T –Cash Market	
(See Column C2)	U –Book Value	V –Other	W –Estimated		

FINANCIAL DISCLOSURE REPORT

Page 8 of 20

Name of Person Reporting

Altonaga, Cecilia M.

Date of Report

05/09/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69.					Sold (part)	08/23/17	J	A	
70.					Sold (part)	11/16/17	J	A	
71. MFS Municipal High Income CL I	A	Dividend	K	T	Buy	01/01/17	K		
72.					Sold (part)	08/23/17	J	A	
73. Nuveen Interm Duration Mun Bond CL I	B	Dividend	L	T	Buy	01/01/17	L		
74.					Sold (part)	08/23/17	J	A	
75. Nuveen Interm Duration Mun Bond CL A	B	Dividend			Sold	01/20/17	J	A	
76. Oppenheimer Intl Growth CL A		None			Sold	01/01/17	K	A	
77. Oppenheimer Intl Growth CL Y	B	Dividend	K	T	Buy	01/01/17	K		
78.					Sold (part)	04/19/17	J	A	
79.					Sold (part)	08/23/17	J	A	
80.					Sold (part)	11/16/17	J	A	
81. Prudential Jennison Mid Cap Growth CL A		None			Sold	01/20/17	J	A	
82. Prudential Jennison Mid Cap Growth CL Z	A	Dividend	K	T	Buy	01/01/17	K		
83.	B	Distribution			Sold (part)	04/16/17	J	A	
84.					Sold (part)	08/23/17	J	A	
85.					Sold (part)	11/16/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 20

Name of Person Reporting

Altonaga, Cecilia M.

Date of Report

05/09/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86. Wells Fargo Advantage Emerging Markets Equity CL A		None			Sold	01/01/17	J	A	
87. Wells Fargo Emerging Markets Equity Instl CL	A	Dividend	K	T	Buy	01/01/17	K		
88.					Sold (part)	04/19/17	J	A	
89. Wells Fargo Advantage Short Term Municipal Bond CL A	A	Dividend			Sold	01/01/17	J	A	
90. Wells Fargo Short Term Mun Bond Instl CL	A	Dividend	K	T	Buy	01/01/17	K		
91. Ameriprise Insured Money Market	A	Interest	J	T					
92. American Century Mid Cap Value CL A		None			Sold	01/23/17	J	A	
93. American Century Mid Cap Value Investor CL	A	Dividend	J	T	Buy	01/01/17	J		
94.	A	Distribution			Sold (part)	11/16/17	J	A	
95. Blackrock High Yield Bond Investor CL A	A	Dividend			Sold	01/23/17	J	A	
96. Blackrock High Yield Bond Investor CL I	A	Dividend			Buy	01/01/17	J		
97.					Sold	08/25/17	J	A	
98. Blackrock Strategic Income Opptys Investor CL A	A	Dividend			Sold	01/23/17	J	A	
99. Blackrock Strategic Income Opptys Instl CL	A	Dividend	J	T	Buy	01/01/17	J		
100. Columbia Income Oppty CL A	A	Dividend			Sold	01/20/17	J	A	
101. Columbia Income Oppty CL I	A	Dividend			Buy	01/01/17	J		
102.					Sold	04/20/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 20

Name of Person Reporting

Altonaga, Cecilia M.

Date of Report

05/09/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code I (A-H)	(5) Identity of buyer/seller (if private transaction)

103. Active Portfolio Multi Manager Small Cap Equity CL A		None			Sold	01/23/17	J	A	
104. Active Portfolio Multi Manager Core Plus Bond CL A	B	Dividend			Sold	01/01/17	L	A	
105. Active Portfolio Multi Manager Growth CL A		None			Sold	01/01/17	J	A	
106. Active Portfolio Multi Manager Value CL A		None			Sold	01/23/17	J	A	
107. Active Portfolio Multi Manager Directional ALT CL A		None			Sold	01/23/17	J	A	
108. Multi Manager Value Strategies CL Z	A	Dividend	J	T	Buy	01/01/17	J		
109.	A	Distribution			Sold (part)	08/25/17	J	A	
110.					Sold (part)	11/16/17	J	A	
111. Multi Manager Total Return Bond Strategies CL Z	B	Dividend	M	T	Buy	01/01/17	M		
112. Multi Manager Small Cap Equity Strategies CL Z	A	Dividend	J	T	Buy	01/01/17	J		
113.	A	Distribution			Sold (part)	11/16/17	J	A	
114. Multi Manager Growth Strategies CL Z	A	Dividend	J	T	Buy	01/01/17	J		
115.	A	Distribution			Sold (part)	04/20/17	J	A	
116.					Sold (part)	08/25/17	J	A	
117.					Sold (part)	11/16/17	J	A	
118. Multi Manager Directional Alternative Strategies CL Z	A	Dividend	J	T	Buy	01/01/17	J		
119.	A	Distribution			Sold (part)	11/16/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 20

Name of Person Reporting

Altonaga, Cecilia M.

Date of Report

05/09/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120. Delaware U S Growth Instl CL	A	Dividend	J	T	Buy	01/01/17	J		
121.	A	Distribution			Sold (part)	11/16/17	J	A	
122. JP Morgan Large Cap Growth CL A		None			Sold	01/23/17	J	A	
123. JP Morgan Large Cao Growth CL I	A	Dividend			Buy	01/01/17	J		
124.					Sold (part)	04/20/17	J	A	
125.					Sold	08/25/17	J	A	
126. MFS Intl Value CL A		None			Sold	01/01/17	J	A	
127. MFS Value CL A		None			Sold	01/23/17	J	A	
128. MFS Intl Value CL I	A	Dividend	J	T	Buy	01/01/17	J		
129.	A	Distribution			Sold (part)	04/20/17	J	A	
130.					Sold (part)	08/25/17	J	A	
131.					Sold (part)	11/16/17	J	A	
132. MFS Value CL I	A	Dividend	J	T	Buy	01/01/17	J		
133.	A	Distribution			Sold (part)	08/25/17	J	A	
134.					Sold (part)	11/16/17	J	A	
135. Nuveen Symphony Floating Rate Income CL I	A	Dividend	J	T	Buy	01/01/17	J		
136.					Sold (part)	08/25/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 20

Name of Person Reporting

Altonaga, Cecilia M.

Date of Report

05/09/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Oppenheimer Intl Growth CL A		None			Sold	01/01/17	J	A	
138. Oppenheimer Intl Growth CL Y	A	Dividend	J	T	Buy	01/01/17	J		
139.					Sold (part)	04/20/17	J	A	
140.					Sold (part)	08/25/17	J	A	
141.					Sold (part)	11/16/17	J	A	
142. Wells Fargo Emerging Markets Equity Instl CL	A	Dividend	J	T	Buy	01/01/17	J		
143. Ameriprise Insured Money Markey	A	Interest	K	T					
144. American Century Mid Cap Value CL A	A	Dividend			Sold	01/20/17	J	A	
145. American Century Mid Cap Value Investor CL	A	Distribution	K	T	Buy	01/01/17	K		
146.	B	Distribution			Sold (part)	11/16/17	J	A	
147. Blackrock High Yield Bond Investor CL A	A	Dividend			Sold	01/20/17	K	A	
148. Blackrock Strategic Income Oppty Investor CL A	A	Dividend			Sold	01/20/17	K	A	
149. Blackrock High Yield Bond Investor CL I	A	Dividend			Buy	01/01/17	K		
150.					Sold	08/22/17	K	A	
151. Blackrock Strategic Income Oppty Intl CL	A	Dividend	K	T	Buy	01/01/17	K		
152. Active Portfolio Multi Manager Small Cap Equity CL A		None			Sold	01/20/17	J	A	
153. Active Portfolio Multi Manager Growth CL A		None			Sold	01/20/17	J	A	

1. Income Gain Codes:	A –\$1,000 or less	B –\$1,001 - \$2,500	C –\$2,501 - \$5,000	D –\$5,001 - \$15,000	E –\$15,001 - \$50,000
(See Columns B1 and D4)	F –\$50,001 - \$100,000	G –\$100,001 - \$1,000,000	H1 –\$1,000,001 - \$5,000,000	H2 –More than \$5,000,000	
2. Value Codes	J –\$15,000 or less	K –\$15,001 - \$50,000	L –\$50,001 - \$100,000	M –\$100,001 - \$250,000	
(See Columns C1 and D3)	N –\$250,001 - \$500,000	O –\$500,001 - \$1,000,000	P1 –\$1,000,001 - \$5,000,000	P2 –\$5,000,001 - \$25,000,000	
	P3 –\$25,000,001 - \$50,000,000		P4 –More than \$50,000,000		
3. Value Method Codes	Q –Appraisal	R –Cost (Real Estate Only)	S –Assessment	T –Cash Market	
(See Column C2)	U –Book Value	V –Other	W –Estimated		

FINANCIAL DISCLOSURE REPORT

Page 13 of 20

Name of Person Reporting

Altonaga, Cecilia M.

Date of Report

05/09/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Active Portfolio Multi Manager Value CL A	A	Dividend			Sold	01/20/17	J	A	
155. Active Portfolio Multi Manager Directional ALT CL A		None			Sold	01/20/17	J	A	
156. Multi Manager Value Strategies CL Z	A	Dividend	K	T	Buy	01/01/17	K		
157.	B	Distribution			Sold (part)	04/19/17	J	A	
158.					Sold (part)	08/22/17	J	A	
159.					Sold (part)	11/16/17	J	A	
160. Multi Manager Value Strategies CL Z	B	Dividend	M	T	Buy	01/01/17	M		
161.	A	Distribution							
162. Multi Manager Small Cap Equity Strategies CL Z	A	Dividend	K	T	Buy	01/01/17	K		
163.	B	Distribution			Sold (part)	11/16/17	J	A	
164. Multi Manager Growth Strategies CL Z	A	Dividend	K	T	Buy	01/01/17	K		
165.	B	Distribution			Sold (part)	04/19/17	J	A	
166.					Sold (part)	08/22/17	J	A	
167.					Sold (part)	11/16/17	J	A	
168. Multi Manager Growth Strategies CL Z	A	Dividend	K	T	Buy	01/01/17	K		
169.	A	Distribution			Sold (part)	08/22/17	J	A	
170.					Sold (part)	11/16/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 20

Name of Person Reporting

Altonaga, Cecilia M.

Date of Report

05/09/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171. Multi Manager T/R Bond Strategies CL A	A	Dividend			Buy	01/01/17	J		
172.					Sold	12/31/17	J	A	
173. JP Morgan Large Cap Growth CL A		None			Sold	01/20/17	J	A	
174. JP Morgan Large Cap Growth CL I	A	Dividend	K	T	Buy	01/01/17	K		
175.	C	Distribution			Sold (part)	04/19/17	J	A	
176.					Sold (part)	08/22/17	J	A	
177.					Sold (part)	11/16/17	J	A	
178. Western Asset Intermediate Term Municipal CL A	B	Dividend			Sold	01/20/17	K	A	
179. Western Asset Intermediate Term Mun CL I	C	Dividend	M	T	Buy	01/01/17	M		
180. MFS Intl Value CL A		None			Sold	01/01/17	K	A	
181. MFS Value CL A		None			Sold	01/20/17	J	A	
182. MFS Municipal High Income CL A	A	Dividend			Sold	01/20/17	J	A	
183. MFS Intl Value CL I	A	Dividend	K	T	Buy	01/01/17	K		
184.	A	Distribution			Sold (part)	04/19/17	J	A	
185.					Sold (part)	08/22/17	J	A	
186.					Sold (part)	11/16/17	J	A	
187. MFS Value CL A	A	Dividend	K	T	Buy	01/01/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A - \$1,000 or less F - \$50,001 - \$100,000 J - \$15,000 or less (See Columns C1 and D3)	B - \$1,001 - \$2,500 G - \$100,001 - \$1,000,000 K - \$15,001 - \$50,000 O - \$500,001 - \$1,000,000	C - \$2,501 - \$5,000 H1 - \$1,000,001 - \$5,000,000 L - \$50,001 - \$100,000 P1 - \$1,000,001 - \$5,000,000 P4 - More than \$50,000,000	D - \$5,001 - \$15,000 H2 - More than \$5,000,000 M - \$100,001 - \$250,000 P2 - \$5,000,001 - \$25,000,000	E - \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	N - \$250,001 - \$500,000 P3 - \$25,000,001 - \$50,000,000	Q - Appraisal U - Book Value	R - Cost (Real Estate Only) V - Other	S - Assessment W - Estimated	T - Cash Market

FINANCIAL DISCLOSURE REPORT

Page 15 of 20

Name of Person Reporting

Altonaga, Cecilia M.

Date of Report

05/09/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
188.	A	Distribution			Sold (part)	04/19/17	J	A	
189.					Sold (part)	08/22/17	J	A	
190.					Sold (part)	11/16/17	J	A	
191. MFS Municipal High Income CL I	B	Dividend	K	T	Buy	01/01/17	K		
192.					Sold (part)	04/19/17	J	A	
193.					Sold (part)	08/22/17	K	A	
194. Nuveen Interim Duration Municipal Bond CL A	A	Dividend			Sold	01/20/17	J	A	
195. Nuveen Interim Duration Mun Bond CL I	C	Dividend	M	T	Buy	01/01/17	M		
196.					Sold (part)	08/22/17	K	A	
197. Oppenheimer Intl Growth CL A		None			Sold	01/01/17	K	A	
198. Oppenheimer Intl Growth CL Y	A	Dividend	K	T	Buy	01/01/17	K		
199.					Sold (part)	04/19/17	J	A	
200.					Sold (part)	08/22/17	J	A	
201.					Sold (part)	11/16/17	J	A	
202. Wells Fargo Advantage Short Term Municipal Bond CL A	A	Dividend			Sold	01/20/17	K	A	
203. Wells Fargo Emerging Markets Equity Instl CL	A	Dividend	K	T	Buy	01/01/17	K		
204.					Sold (part)	11/16/17	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 16 of 20

Name of Person Reporting

Altonaga, Cecilia M.

Date of Report

05/09/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code I (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)

205. Wells Fargo Short Term Mun Bond Instl CL	A	Dividend	L	T	Buy	01/01/17	L		
206. Columbia High Yield Bond Fund Class A	A	Dividend	K	T	Buy	01/01/17	K		
207. UBS AG Deposit Account	A	Interest			Open	01/01/17	J		
208.					Closed	12/31/17	J		
209. UBS Bank USA Dep Account	A	Interest	J	T	Open	01/01/17	J		
210. US Treasury Bill Matures 07/20/17	A	Interest			Buy	01/01/17	M		
211.					Sold	07/20/17	M	A	
212. US Treasury Bill Matures 06/29/17	A	Interest			Buy	01/01/17	N		
213.					Sold	06/29/17	N	A	
214. US Treasury Bill Matures 08/03/17	B	Interest			Buy	01/01/17	N		
215.					Sold	08/03/17	N	A	
216. US Treasury Bill Matures 10/05/17	A	Interest			Buy	01/01/17	N		
217.					Sold	10/05/17	N	A	
218. US Treasury Bill Matures 10/12/17	A	Interest			Buy	01/01/17	M		
219.					Sold	07/24/17	M	A	
220. US Treasury Bill Matures 10/26/17	A	Interest			Buy	01/01/17	N		
221.					Sold	10/26/17	N	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 17 of 20

Name of Person Reporting

Altonaga, Cecilia M.

Date of Report

05/09/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
222. US Treasury Bill Matures 11/02/17	B	Interest			Buy	01/01/17	N		
223.					Sold	11/02/17	N	A	
224. US Treasury Bill Matures 12/14/17	B	Interest			Buy	06/24/17	M		
225.					Buy (add'l)	11/02/17	N		
226.					Sold	12/14/17	O	B	
227. US Treasury Bill Matures 01/18/18	A	Interest	O	T	Buy	07/21/17	O		
228. US Treasury Bill Matures 02/15/18	A	Interest	O	T	Buy	11/13/17	O		
229. US Treasury Bill Matures 04/12/18	A	Interest	O	T	Buy	12/14/17	O		
230. UBS Bank USA Dep Acct	A	Interest	J	T	Open	01/01/17	J		
231. COCA-COLA CO/THR NTS B/E	A	Interest	K	T	Buy	05/09/17	K		
232. ABBVIE INC B/E	A	Interest	K	T	Buy	06/19/17	K		
233. AT&T INC NTS B/E	B	Interest	L	T	Buy	06/13/17	K		
234. BANK OF AMER CORP NTS	A	Interest	L	T	Buy	05/08/17	K		
235. CITIGROUP INC NTS B/E	A	Interest	L	T	Buy	05/09/17	K		
236. GOLDMAN SACHS GROUP INC	A	Interest	L	T	Buy	10/27/17	K		
237. SAN BERNARDINO CA FA BE	A	Interest	K	T	Buy	09/18/17	K		
238. LEANDER TX ISD ULTX SCH	B	Interest	K	T	Buy	05/09/17	K		

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q ~Appraisal	R ~Cost (Real Estate Only)	S ~Assessment	T ~Cash Market	
(See Column C2)	U ~Book Value	V ~Other	W ~Estimated		

FINANCIAL DISCLOSURE REPORT

Page 18 of 20

Name of Person Reporting

Altonaga, Cecilia M.

Date of Report

05/09/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code I (A-H)	(5) Identity of buyer/seller (if private transaction)

239. VIRGINIA COMNWLTH TRANS RV	A	Interest	K	T	Buy	05/10/17	K		
240. MIAMI-DADE CNTY FLA WTR	A	Interest	L	T	Buy	11/13/17	K		
241. ARLINGTON TX INDPT SCH	A	Interest	K	T	Buy	05/05/17	K		
242. VIRGINIA COMNWLTH TRANS BD RV	B	Interest	K	T	Buy	05/10/17	K		
243. DELAWARE TRANS AU SYS RV	B	Interest	L	T	Buy	11/29/17	K		
244. SULPHUR SPRINGS CAL UNI	A	Interest	K	T	Buy	09/18/17	K		
245. MELBOURNE FLA WTR & SWR	B	Interest	L	T	Buy	07/07/17	L		
246. BIRDVILLE TX INDPT SCH D	A	Interest	K	T	Buy	05/25/17	K		
247. RIVERISDE CO CAL ASSET	B	Interest	K	T	Buy	05/09/17	K		
248. LAKE CO IL CMNTY HSD #12	A	Interest	K	T	Buy	08/17/17	K		
249. COOK CNTY ILL CMNTY CONS	A	Interest	J	T	Buy	09/25/17	J		
250. COOK CNTY IL CMNTY CONS ASSUR TAX	A	Interest	K	T	Buy	09/18/17	K		
251. BAKERSFIELD CA COP SUB	B	Interest	K	T	Buy	05/05/17	K		

1. Income Gain Codes:	A –\$1,000 or less	B –\$1,001 - \$2,500	C –\$2,501 - \$5,000	D –\$5,001 - \$15,000	E –\$15,001 - \$50,000
(See Columns B1 and D4)	F –\$50,001 - \$100,000	G –\$100,001 - \$1,000,000	H1 –\$1,000,001 - \$5,000,000	H2 –More than \$5,000,000	
2. Value Codes	J –\$15,000 or less	K –\$15,001 - \$50,000	L –\$50,001 - \$100,000	M –\$100,001 - \$250,000	
(See Columns C1 and D3)	N –\$250,001 - \$500,000	O –\$500,001 - \$1,000,000	P1 –\$1,000,001 - \$5,000,000	P2 –\$5,000,001 - \$25,000,000	
	P3 –\$25,000,001 - \$50,000,000		P4 –More than \$50,000,000		
3. Value Method Codes	Q –Appraisal	R –Cost (Real Estate Only)	S –Assessment	T –Cash Market	
(See Column C2)	U –Book Value	V –Other	W –Estimated		

FINANCIAL DISCLOSURE REPORT

Page 19 of 20

Name of Person Reporting

Altonaga, Cecilia M.

Date of Report

05/09/2018

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

VII. INVESTMENTS and TRUSTS

- Line 5. Changed the name of this bank account from 'Citifunds Interest' to 'Citifunds Checking'.
- Line 6.-7. The name of this mutual fund changed from 'Alliance Bernstein Relative Growth and Income Class A' to 'Alliance Bernstein Relative Value Fund Class A', identifier same as last year.
- Line 8.-14.. Holland & Knight Profit Sharing Plan: not self directed, there is no control and only can select level of risk.
- Line 20.-21. Holland & Knight Equity Partner Fund: spouse's firm requires each equity partner to have an equity reserve in terms of paid in capital for each of its equity partners. These funds may not be accessed until the holder ceases to be an equity member. There is no control.
- Line 23. Changed the name of this bank account from 'Citigold Interest' to 'Citigold Savings'.

FINANCIAL DISCLOSURE REPORT

Page 20 of 20

Name of Person Reporting

Altonaga, Cecilia M.

Date of Report

05/09/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Cecilia M. Altonaga

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544