

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) FRIEDMAN, BERNARD A.	2. Court or Organization EASTERN DISTRICT OF MICHIGAN	3. Date of Report 08/02/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) SENIOR U. S. DISTRICT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 100 UNITED STATES COURTHOUSE 231 WEST LAFAYETTE BOULEVARD DETROIT, MICHIGAN 48226		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	1982-pre	State of Michigan 48th District Court Pension Fund
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2017

Self-Employed Psychological Practice

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA AND PENSION ACCOUNT: 48TH DISTRICT COURT		None			(Heading)				
2. Prin Trust (SM) Tgt 2020 Fund	A	Dividend	M	T					
3. STATE OF MICHIGAN 401 K		None			(Heading)				
4. Dodge & Cox Stock Fund	A	Dividend	K	T					
5. Am Fund Euro-Pacific	A	Dividend	J	T					
6. Jennisin (Rainier)	A	Dividend	J	T					
7. FIDELITY IRA TRADITIONAL		None							(Heading)
8. Spartan Total Mk. Index Fund	A	Dividend	N	T					
9. Fidelity Stock Selector	A	Dividend	K	T					
10. Fidelity Gov. Cash Reserves	A	Dividend	N	T					
11. Fidelity Real Estate Inv.	A	Dividend	K	T					
12. Lloyd's Mobile Home Ltd. P'ship Int.		None			Sold	05/17/17	K	F	Land Contract LLC
13. High Country, Ltd.	A	Distribution	K	W					
14. OLTC, Ltd.		None	K	W					
15. JPMorgan Chase	B	Dividend	J	T					
16. Certificates of Deposit/Regular Savings - JP Morgan Chase	B	Interest			Redeemed	09/18/17	M	A	JP Morgan
17. Chemical Bank f/k/a Talmer Bank	B	Interest	M	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Nationwise Ins. Co.	A	Interest	K	T					
19. U. S. Savings Bonds	B	Interest	K	T					
20. (CAPTION) COMMON STOCKS		None			(Heading)				
21. Pepsico	C	Dividend	O	T					
22. Disney	A	Dividend	M	T					
23. Kellogg	A	Dividend	L	T					
24. Lockheed Martin	A	Dividend	J	T					
25. Wal-Mart	A	Dividend	J	T					
26. Wells Fargo Bank	A	Int./Div.	O	T					
27. Ford Motor Credit Bonds	A	Int./Div.			Redeemed	12/16/17	M	A	Wells Fargo
28. Magellan Fund - Fidelity	A	Interest	J	T					
29. Amazon	A	Dividend	K	T	Buy	03/07/17	K		Fidelity Investments
30. (CAPTION) MUNICIPAL BONDS		None			(Heading)				
31. Pennsylvania State U	A	Interest			Redeemed	12/20/17	K	A	Wells Fargo
32. Ill Financial Aut Rev	A	Interest	K	T					
33. Apple Inc Sr Bond	A	Interest	M	T	Buy	12/06/17	M		
34. (CAPTION) IRAs and SEPs		None			(Heading)				

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. (CAPTION) FIDELITY CORP. IRA		None			(Heading)				
36. Diversified International	A	Dividend	J	T					
37. Appreciation Fund	A	Dividend	L	T					
38. Stock Selector Fund	A	Dividend	J	T					
39. Magellan	A	Dividend	J	T					
40. (CAPTION) FIDELITY SEP/IRA		None			(Heading)				
41. Fidelity Canada	A	Dividend	J	T					
42. Contrafund	A	Dividend	K	T					
43. Fidelity Bal	A	Dividend	J	T					
44. Fidelity Growth & Income	A	Dividend	K	T					
45. Alpine Realty Income	A	Dividend	J	T					
46. Fidelity International Disc.	A	Dividend	J	T					
47. Newberger Brennan P/S	A	Dividend	J	T					
48. Janus Fund	A	Dividend	J	T					
49. American Century IRA/S & F Ultra Fund	A	Dividend	J	T					
50. (CAPTION) T. ROWE PRICE SEP/IRA		None			(Heading)				
51. Capital Appreciation	A	Dividend	J	T					

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52. (CAPTION) COMMON STOCK HELD BY WELLS FARGO		None			(Heading)				
53. Adient PLC	A	Dividend	J	T	Spinoff (from line 77)	12/16/17	J		Spinoff from JCI
54. Alcatel - Lucent	A	Dividend			Redeemed	06/12/17	J	A	
55. American Bal. Fund	A	Dividend	K	T					
56. American International Group	A	Dividend	J	T					
57. Archer Daniels Midland	A	Dividend	J	T					
58. Arkema	A	Dividend	J	T					
59. AT&T	A	Dividend	K	T					
60. Autoliv, Inc.	A	Dividend	J	T					
61. BP PLC	A	Dividend	J	T					
62. Bristol Myers Squibb	A	Dividend	K	T					
63. CISCO Systems	A	Dividend	K	T					
64. CMS	A	Dividend	J	T					
65. Coca-Cola	A	Dividend	K	T					
66. Disney Walt	A	Dividend	M	T					
67. Duke Energy	A	Dividend	J	T					
68. Fifth Third Bank	A	Dividend	J	T					

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69. Gartner Group - B	A	Dividend	J	T					
70. General Electric	A	Dividend	K	T					
71. General Mills	A	Dividend	K	T					
72. Haylan Health	A	Dividend	J	T					
73. Home Depot	A	Dividend	J	T					
74. Intel Corp.	A	Dividend	J	T					
75. Janus Cap Group, Inc.	A	Dividend	J	T					
76. Johnson & Johnson	A	Dividend	N	T					
77. Johnson Controls	A	Dividend				12/16/17	J		JC1 Spinoff to Adient
78. J. P. Morgan Chase	A	Dividend	L	T					
79. Kimberly Clark	A	Dividend	K	T					
80. L Brands (Limited)	A	Dividend	J	T					
81. Manu Financial	A	Dividend	K	T					
82. Masco Corp.	A	Dividend	J	T					
83. McDonald's Corp.	A	Dividend	M	T					
84. Merck & Co.	B	Dividend	M	T					
85. MGM Resorts International	A	Dividend	J	T					

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86. NCR	A	Dividend	J	T					
87. Pentair Ltd	A	Dividend	J	T					
88. Proassurance	A	Dividend	K	T					
89. Prudential Financial	A	Dividend	J	T					
90. Royal Dutch Petroleum	A	Dividend	K	T					
91. Target	A	Dividend	K	T					
92. TE Connectivity	A	Dividend	J	T					
93. Teradata	A	Dividend	J	T					
94. Teva Pharmaceutical ADR	A	Dividend	J	T					
95. Top Build Corp.	A	Dividend	J	T					
96. Tyco International	A	Dividend	J	T					
97. US Bancorp (was line 50)	A	Dividend	K	T					
98. Wells Fargo	A	Dividend	L	T					
99. Walgreen (Boots)	A	Dividend	J	T					
100. Waste Management	A	Dividend	J	T					
101. Whole Foods	A	Dividend			Sold	06/21/17	J	A	Wells Fargo
102. COLUMBIA SPECIAL FUND	A	Dividend	J	T					

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103. Invesco FDS European Growth	A	Dividend	K	T					
104. Federated Kaufman	A	Dividend	J	T					
105. R S Value Fund	A	Dividend	J	T					
106. Janus Contrarian *X	A	Dividend	J	T					
107. Janus Overseas Fund Class T	A	Int./Div.	J	T					
108. Neuberger & Berman Equity FD *X	A	Dividend	J	T					
109. Select Am Shares *X	A	Dividend	J	T					
110. Wells Fargo Bank CD	A	Dividend	N	T					
111. (CAPTION) J. P. MORGAN		None			(Heading)				
112. J. P. Morgan Mid-Cap Value Fund	A	Dividend	K	T					
113. J. P. Morgan Small Cap Value Fund	A	Dividend	K	T					
114. J. P. Morgan International Fund	A	Dividend	J	T					
115. (CAPTION) VANGUARD		None			(Heading)				
116. Growth Index Fund	A	Dividend	J	T					
117. T Rowe Price Tax Free Income ADV CL	B	Dividend	K	T					
118. DFCU CD	A	Interest	N	T					
119. WELLS FARGO IRA (heading)									(Heading)

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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120. Vanguard Equity Income *X	A	Interest	K	T					
121. Vanguard Prime Cap *X	A	Interest	J	T					
122. Vanguard Wellington *X	A	Interest	K	T					
123. Vanguard Windsor *X	A	Interest	J	T					
124.									
125.									
126.									
127.									
128.									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

FRIEDMAN, BERNARD A.

Date of Report

08/02/2018

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

All errors appearing on the Audit Report are heading lines (not investments) except line 77. Line 77 was a merger with line 53. Spinoff occurred on 12/16/17, so 77 was not held on the last day of the year, so "C" is blank, but an error was received as to D(1), and it was manually typed in, as it could not be entered.

FINANCIAL DISCLOSURE REPORT

Page 13 of 13

Name of Person Reporting

FRIEDMAN, BERNARD A.

Date of Report

08/02/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **BERNARD A. FRIEDMAN**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544