

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Magnus-Stinson, Jane E.	2. Court or Organization US District Court Southern District of Indiana	3. Date of Report 5/1/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge- active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address Birch Bayh United States Courthouse 46 E. Ohio St., Room 304 Indianapolis, IN 46204		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Member, Board of Visitors	Indiana University Robert H. McKimney School of Law
2.	Member, Board of Trustees	Butler University
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1995	Indiana Judges Retirement Fund, pension upon retirement, eligible for benefits 2020-2023
2.	
3.	

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Magnus-Stinson, Jane E.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 10/14/16	National Institute for Trial Advocacy	\$3,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	Cripe Architects + Engineers, wages
2. 2016	Indiana Public Employees Retirement Fund, pension
3. 2016	Indianapolis Airport Authority, wages
4. 2016	Indiana Alcohol & Tobacco Commission, wages

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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Magnus-Stinson, Jane E.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Vanguard 500 Index Fund	A	Dividend	J	T					
2. Settlers Life IRA	C	Interest	L	T					
3. Indiana Members Credit Union Accts.	A	Int./Div.	K	T					
4. Huntington Bank Acct.	A	Interest	K	T					
5. Old National Bank Acct.	A	Interest	J	T					
6. Cripe Deferred Comp Alliance Benefit Group									
7. -Columbia Balanced Fund	B	Int./Div.	K	T					
8. -Dodge & Cox Stock (DODGX)	B	Interest	K	T					
9. J IN 529 Age Based Income Portfolio	A	Interest	J	T	Buy (add'l)	05/17/16	J		
10.					Distributed (part)	07/25/16	J	A	
11.					Distributed (part)	09/20/16	J	A	
12.					Distributed (part)	11/29/16	J	A	
13.					Distributed (part)	12/23/16	J	A	
14. G IN 529 Age Based Income Portfolio	A	Interest	L	T	Buy (add'l)	06/01/16	J		
15.					Buy (add'l)	10/06/16	J		
16.					Buy (add'l)	11/15/16	J		
17.					Distributed (part)	07/25/16	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.					Distributed (part)	12/23/16	K	A	
19. Charles Schwab Joint Brokerage Account									
20. -CERES California Muni Bond	A	Interest	K	T					
21. -Indiana Financial Authority Muni Bond	A	Interest	J	T					
22. -Matthews Asia Dividend Fund (MAPIX)	A	Dividend	J	T					
23. -Schwab Bank Cash	A	Interest	K	T					
24. Schwab Brokerage William Stinson									
25. Schwab Bank Cash	A	Interest	J	T					
26. Charles Schwab IRA William Stinson									
27. Schwab Bank Cash	A	Interest	J	T					
28. Loomis Sayles Global Bond (LSGLX)	A	Dividend	J	T					
29. Pioneer Strategic Income (STRYX)	A	Dividend	J	T					
30. Artisan International Fund (ARTIX)	A	Dividend	K	T					
31. Diamond Hill Small Cap (DHSCX)	A	Dividend	J	T					
32. Dodge & Cox Stock (DODGX)	B	Dividend	K	T					
33. Forward International Small Company	A	Dividend	J	T					
34. Goldman Sachs Mid Cap Value (GCMAX)	A	Dividend			Redeemed	06/07/16	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Harbor Capital Appreciation (HACAX)	B	Dividend	K	T	Sold (part)	11/03/16	J	A	
36. Matthews Asia Dividend (MAPIX)	A	Dividend	J	T					
37. Neuberger Berman Gensis (NBGNX)	A	Dividend	J	T					
38. Prudential Jennison Mid Cap Growth (PEGZX)	A	Dividend	J	T					
39. Scout Unconstrained Bond (SUBEX)	A	Dividend	J	T					
40. Doubleline Total Return (DBLTX)	A	Dividend	J	T					
41. Charles Schwab IRA - Jane Magnus-Stinson									
42. Schwab Bank Cash	A	Int./Div.	J	T					
43. Loomis Sayles Global Bond (LSGLX)	A	Dividend	J	T					
44. Pioneer Strategic Income Institutional (STRYX0	A	Dividend	J	T					
45. Vanguard Short Term Inv (VFSTX)	A	Dividend	J	T					
46. American Funds AMCAP (AMCFX)	B	Dividend	K	T					
47. Artisan Intl Fund (ARTIX)	A	Dividend	J	T					
48. Diamond Hill Small Cap (DHSCX)	A	Dividend	J	T					
49. Goldman Sachs Mid Cap Value (GSMCX)	A	Dividend	J	T					
50. Harbor International (HAINX)	A	Dividend	J	T					
51. Matthews Asia Dividend (MAPIX)	A	Dividend	J	T					

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52. NB Genesis (NBGNX0)	A	Dividend	J	T					
53. Vanguard Windsor II (VWNFX)	B	Dividend	K	T	Buy (add'l)	01/06/16	J		
54. Prudential Jennison Mid Cap Growth (PEGZX)	A	Dividend	J	T					
55. MFS International New Discovery (MWNIX)	A	Dividend	J	T					
56. Doubleline Total Return (DBLTX)	A	Dividend	J	T					
57. Charles Schwab Brokerage - Jane									
58. Schwab Bank Cash	A	Interest	J	T					
59.									
60.									
61.									
62.									
63.									
64.									
65.									
66.									
67.									
68.									

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69.									
70.									
71.									
72.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Jane E. Magnus-Stinson**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544