

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Chan, Ashely M.	2. Court or Organization Eastern District of Pennsylvania	3. Date of Report 07/18/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address Robert N.C. Nix Sr. Federal Courthouse 900 Market Street Suite 204 Philadelphia, PA 19107		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	10/22/2012	Hangley Aronchick Segal Pudlin & Schiller 401k Plan - with former law firm, no control
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 15

Name of Person Reporting

Chan, Ashely M.

Date of Report

07/18/2018

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. National Conference of Bankruptcy Judges	10/8/2017 to 10/10/2017	Las Vegas, NV	speaker on education panel	airfare and hotel
2. New York Institute of Credit's Women's Division	11/9/2017	New York, NY	speaker on education panel	train fare
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 15

Name of Person Reporting

Chan, Ashely M.

Date of Report

07/18/2018

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1. American Airlines AAdvantage	credit card	K
2. Bank of America	credit card	J
3. American Advantage	credit card	J
4. Discover	credit card	J
5. Target	credit card	J

FINANCIAL DISCLOSURE REPORT

Page 4 of 15

Name of Person Reporting

Chan, Ashely M.

Date of Report

07/18/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. PNC Bank		None	J	T					
2. TD Bank		None	J	T					
3. Fidelity 401k (H)									
4. -Spartan US Bond Index	A	Dividend	K	T					
5. -Spartan 500 Index	B	Dividend	M	T					
6. -Fidelity Freedom 2035 Fund	C	Dividend	L	T					
7. -Harbor International	C	Dividend	L	T					
8. Northwestern IRA (H)									
9. -American Century Real Estate Fund	A	Dividend	J	T					
10. -American Century Small Cap Growth (X)	A	Dividend	J	T					
11. -Capital World Growth & Income Fund	A	Dividend	J	T					
12. -Fidelity Advisor Diversified International	A	Dividend	J	T					
13. -Fidelity Advior New Insights Fund	A	Dividend	J	T					
14. -Fidelity Advisor Strategic Fund	A	Dividend	J	T					
15. -Growth Fund of America.	A	Dividend	J	T					
16. -iShares Trust Russell 2000 Value Index	A	Dividend	J	T					
17. -New World Fund	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 5 of 15

Name of Person Reporting

Chan, Ashely M.

Date of Report

07/18/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -PIMCO Commodity Real Return Strategy	A	Dividend	J	T					
19. -Prudential Jennison Natural Resources		None	J	T					
20. -SPDR S&P 500 ETF Tr	A	Dividend	J	T					
21. -Vanguard GNMA Fund	A	Dividend	J	T					
22. -Vanguard Mid-Cap ETF	A	Dividend	J	T					
23. -Vanguard Primecap Fund	A	Dividend	J	T					
24. Northwestern Roth IRA (H)									
25. -American Century Diversified Bond	A	Dividend	J	T					
26. -American Century Heritage Fund	B	Dividend	J	T					
27. -American Heritage Strategic Allocation Moderate Fund	B	Dividend	K	T					
28. -Fidelity Advisor New Insights Fund	A	Dividend	J	T					
29. Morgan Stanley IRA (H)									
30. -Bank of America 5.625% 7/1/2020	B	Interest	K	T					
31. Capital One NZ 2.25% 6-7-2021	A	Int./Div.	K	T	Buy	06/02/17	K		
32. -Cisco Systems	A	Dividend	K	T					
33. -Conagra Foods	A	Dividend	J	T					
34. -Covanta Holding Corp	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 15

Name of Person Reporting

Chan, Ashely M.

Date of Report

07/18/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Crown Castle Intl Corp	A	Dividend	K	T	Buy	06/02/17	K		
36. -CSX Transportation 9.75% 6/15/2020	B	Interest	K	T					
37. -CVS Health Corp	A	Dividend	J	T					
38. -Digital Realty Trust	A	Dividend	K	T					
39. -Exxon Mobil	A	Dividend	K	T					
40. -Gilead Science	A	Dividend	J	T					
41. -Goldman Sachs 2.00% 5/21/2019	A	Interest	K	T					
42. -Harley Davidson	A	Dividend	J	T					
43. -Hewlett Packard 4.30% 6/1/2021	B	Interest	K	T					
44. -International Paper	A	Dividend	K	T					
45. -iShares Intl Select Div ETF	B	Dividend	K	T					
46. -iShares JP Morgan EM Bond Fund	B	Dividend	K	T					
47. -Jonesboro AK 3.00% 2/1/2022	A	Interest	K	T					
48. -JP Morgan Chase & Co	B	Dividend	L	T					
49. -Kinder Morgan Inc	A	Dividend	J	T					
50. -Lamb Weston Holdings	A	Dividend	J	T					
51. -Liberty Property 4.75% 10/1/2020	B	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 15

Name of Person Reporting

Chan, Ashely M.

Date of Report

07/18/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. Medtronic PLC		None	K	T	Buy	09/28/17	K		
53. -Merrill Lynch 5.70% 5/2/2017	A	Interest			Matured	05/02/17	K	A	
54. -Morgan Stanley 5.50% 7/24/2020	B	Interest	K	T					
55. -Morgan Stanley Bank	A	Interest	K	T					
56. -Newell Brands	A	Dividend	K	T					
57. -Norfolk Southern 9.75% 6/15/2020	B	Interest	K	T					
58. Oaktree Capital GP LLC UT	A	Distribution	J	T					
59. -Pentair PLC	A	Dividend	K	T					
60. -Pepsico	A	Dividend	K	T					
61. -Pitney Bowes 5.75% 9/15/2017	B	Interest			Matured	09/15/17	K	A	
62. Primerica Inc 4.75% 7/15/2022	B	Interest	K	T					
63. -Quest Diagnostics 4.75% 1/30/2020	B	Interest	K	T					
64. -Sallie Mae Bank CD 2.15% 10/30/2018	A	Interest	K	T					
65. -Simon Property Group	B	Dividend	K	T					
66. Unilever PLC	A	Dividend	J	T	Sold (part)	03/03/17	J	B	
67. -Vanguard FTSE Developed Markets E	A	Dividend	K	T					
68. -Veritiv Corp		None			Sold	09/28/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 15

Name of Person Reporting

Chan, Ashely M.

Date of Report

07/18/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

69. Virtus Multi Select Short Term Bond T	A	Dividend	J	T					
70. Walt Disney Co Holding	A	Dividend	K	T					
71. -Western Asset Short Term Bond C1	A	Dividend	J	T					
72. Washington Prime Group	A	Dividend			Sold	09/28/17	J	A	
73. -Western Union Co 3.65% 8/22/2018	A	Interest	K	T					
74. Morgan Stanley xxx612 (H)									
75. -Hasbro Inc	A	Dividend	K	T					
76. -Microsoft Corp	A	Dividend	K	T					
77. -Pfizer Inc	A	Dividend	K	T					
78. -Utah County UT 5.125% 12/1/2017	B	Interest			Matured	12/01/17	K	A	
79. -Verizon	A	Dividend	J	T					
80. -Morgan Stanley Bank	A	Interest	K	T					
81. Morgan Stanley xxx450 (H)									
82. -Alliance Holdings GP LP	B	Distribution	J	T					
83. -Apple Inc	A	Dividend	K	T					
84. Brighthouse Financial		None	J	T	Spinoff (from line 99)	08/10/17	J		
85. -Bristol Myers Squibb	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 15

Name of Person Reporting

Chan, Ashely M.

Date of Report

07/18/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86. -Cardinal Health Inc	A	Dividend	J	T					
87. -Chemours Co	A	Dividend	J	T					
88. -DowDuPont Inc	A	Dividend	K	T					
89. -Energy Transfer Partners LP	A	Distribution	J	T					
90. -Equity Residential	A	Dividend	K	T					
91. -General Electric	A	Dividend	J	T					
92. -Goldman Sachs 5.95% 1/18/2018	B	Dividend	K	T					
93. -H&R Block Inc	A	Dividend	J	T	Sold (part)	07/12/17	J	B	
94. -Habersham County GA 4.00% 4/1/2019	A	Interest	K	T					
95. -HCP Incorporated	A	Dividend	J	T					
96. -Intel Corp	A	Dividend	K	T					
97. -Macquarie Infrastructure Co	B	Dividend	K	T					
98. -McDonalds	A	Dividend	K	T					
99. -Metlife Inc	A	Dividend	K	T					
100. -Microsoft Corp	A	Dividend	L	T					
101. Morgan Stanley Bank		None	J	T					
102. -Morgan Stanley 5.50% 7/24/2020	B	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 15

Name of Person Reporting

Chan, Ashely M.

Date of Report

07/18/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. -Morgan Stanley 5.75% 1/25/2021	B	Interest	K	T					
104. -New York State Thruway Auth 5.00% 3/15/2025	B	Interest	K	T					
105. -NuStar Energy LP	B	Distribution	J	T					
106. -Pennsylvania State Genl Oblig 5.00% 1/15/2022	B	Interest	K	T					
107. -Pfizer Inc	A	Dividend	K	T					
108. -Quality Care Properties		None	J	T					
109. -Royal Dutch Shell PLC	A	Dividend	K	T					
110. -Sarasota County FL Sch Brd 5.00% 7/1/2021	B	Interest	K	T					
111. -Tri-County Metro Trans Dist of Oregon 3.00% 11/1/2019	A	Interest	K	T					
112. -University of Cincinnati Gen Rcpts 4.00% 6/1/2025	A	Interest	K	T					
113. -Walgreens Boots Alliance Inc	A	Dividend	K	T					
114. -Washington State Genl Oblig 5.00% 7/1/2020	B	Interest	K	T					
115. -Washoe County NV Genl Oblig 3.00% 3/1/2023	A	Interest	K	T					
116. -Washoe County NV Genl Oblig 3/1/2024	A	Interest	K	T					
117. -Wellpoint Inc 3.30% 1/15/2023	A	Interest	K	T					
118. Morgan Stanley xxx082 (H)									
119. Alphabet Inc Cl A		None	K	T	Buy	07/26/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 15

Name of Person Reporting

Chan, Ashely M.

Date of Report

07/18/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. American Express 2.25% 6-1-2021	A	Interest	K	T	Buy	05/23/17	K		
121. American Express 2.40% 9-6-2022		None	K	T	Buy	08/29/17	K		
122. -Amex Centurion 2.10% 9/26/2018	A	Interest	K	T					
123. -Apple Inc	A	Dividend	K	T					
124. -AT&T Inc	B	Dividend	K	T					
125. Blackstone Group LP	A	Distribution	K	T	Buy	01/13/17	K		
126. Brighthouse Financial		None	J	T	Buy	08/10/17	J		
127. -Buckeye Partners	B	Distribution	K	T					
128. -CA Inc 5.375% 12/1/2019	B	Interest	K	T					
129. Chemours Co	A	Dividend	J	T					
130. -Clark County NV Sch Dist 3.50% 6/15/2024	A	Interest			Sold	06/15/17	K	A	
131. CVS Health Corp	A	Dividend			Sold	11/15/17	K	A	
132. -Digital Realty Trust LP 5.25% 3/15/2021	B	Interest	K	T					
133. Discover Financial Services	A	Dividend	K	T	Sold (part)	01/10/17	K	C	
134. DowDuPont, Inc	A	Dividend	K	T					
135. Energy Transfer Partners LP		None	K	T	Buy	12/21/17	K		
136. ExxonMobil Corp	A	Dividend	K	T	Buy	01/26/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 15

Name of Person Reporting

Chan, Ashely M.

Date of Report

07/18/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -General Electric Cap Corp 5.30% 2/11/2021	B	Interest	K	T					
138. -iShares JP Morgan Em Bond FUnd	B	Dividend	K	T					
139. JP Morgan Chase 2.00% 2/23/2028	A	Interest	K	T	Buy	02/16/17	K		
140. -Kansas State Dev Fin Auth 5.00% 12/1/2019	B	Interest	K	T					
141. -Macy's	A	Dividend	J	T					
142. -McDonalds Corp	A	Dividend	K	T					
143. -Metlife Inc	A	Dividend	J	T					
144. Microsoft Corp	A	Dividend	K	T					
145. -Morgan Stanley Bank	A	Interest	K	T					
146. -New York State Mtg Agy Homeowner 3.619% 4/1/2023	A	Interest	K	T					
147. -Oaktee Capital GP LLC	A	Distribution	J	T					
148. Pentair PLC	A	Dividend	J	T	Sold (part)	05/04/17	J	B	
149. -Pfizer	A	Dividend	K	T					
150. Plains All American Pipeline, LP		None	K	T	Buy	12/27/17	K		
151. -San Antonio TX Pub Facs 3.00% 9/15/2027	A	Interest	K	T					
152. -Tanger Factory Outlet Centers	A	Dividend	J	T					
153. -Thomson Reuters Corp	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. -Truckee Meadows NV Wtr Auth 4.25% 7/1/2022	B	Interest			Sold	07/03/17	K	A	
155. -United Technologies Corp	A	Dividend	K	T					
156. Walt Disney Co Holding	A	Dividend	K	T					
157. Wells Fargo 4.48% 1/16/2024		None	K	T	Buy	07/20/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 15

Name of Person Reporting

Chan, Ashely M.

Date of Report

07/18/2018

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 15 of 15

Name of Person Reporting

Chan, Ashely M.

Date of Report

07/18/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ Ashely M. Chan**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544